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### PROCEEDINGS OF THE 16TH ANNUAL CONFERENCE ON ATMOSPHERIC TRANSMISSION MODELS, 8-9 JUNE 1993

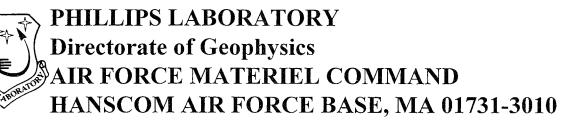
**Editors:** 

Gail P. Anderson James H. Chetwynd

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7 April 1995

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"This technical report has been reviewed and is approved for publication"

WILLIAM A.M. BLUMBERG, Chief

Simulation Branch

Optical Environment Division

ROGER A. VAN TASSEL, Director

Optical Environment Division

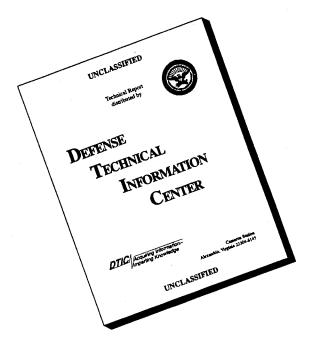
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Carl R. Zeisse	

### ATMOSPHERIC TRANSMISSION MODELS MEETING

### 8-9 JUNE 1993

### **ATTENDANCE LIST**

NAME	AFFILIATION	PHONE NUMBER
ROBERT O. GREEN	JPL	818-354-9136
PAUL E. LEWIS	NPIC	202-863-3422
DANIEL E. CRAUN	NRAA	619-553-4598
BROOKE KOFFEND	AEROSPACE	319-336-7412
KURT STAMMES	UNIV OF ALASKA	907-474-7368
STACY L. ANGLE	LORAL	617-863-3918
ISABELLE LE NAOUR	ENSSAT BPHH7	33 96465030
WILLIAM M. CORNETTE	PRA	619-455-9741
LARRY BERNSTEIN	SSI	617-273-4770
TATSUYA YOKOTA	NIFS	81 298-51-6111
SHINUI KADOTURA	CRIEPE	81-3-3480-2111
ROBERT L. HAWKINS	PL/GPOS	617-377-8664
DOUGLAS YOUMANS	W J SCHAFER	508-256-2070
ROBERT WATERLAND	DUPONT	302-695-1511
JEAN RENE JEVAIS	CEA	33 56685083
YONG HAN	WPL	303-497-7109
ALLEN S. GROSSMAN	LLNL	510-423-6371
KEN PATTEN	LLNL	510-423-9418
RICHARD SHIRKEY	ARL/BE	505-678-5470
TOM SCHMUGGE	USDA/HYDROLOGY	301-504-8554
DAN LESLIE	W J SCHAFER	703-558-7900
JOHN SCHROEDER	ONTAR	617-739-6607
JEAN-MARIE FLAUD	LPMA, CNRS	33 144273161
NOBUO TAKEUCHI	RSIRC, CHIBA UNIV	81 43-251-1111
STEVE MAZUK	AEROSPACE	310-336-5614
MELANIE GOUVEIA	HUGHES STX	617-862-0715
STEVEN J. LIPSON	PL/GPOS	617-377-3626
JON MURRAY	SMITHSONIAN OBSER	617-496-7614
SAM MAKHLOUF	STEWART RADIANCE LAB	617-377-4203
VINCE DILEONE	MIT-LINCOLN LAB	617-981-2333
STEVEN ADLER-GOLDEN	SSI	617-273-4770
SEAN P. McGOWAN	MISSION RESEARCH	603-891-0070
LAILA S. JEONG	PL/GPOS	617-377-3671
RUTH LIEBOWITZ	PL/HO	617-377-3643
K. DIERER KLAES	EUMETSAT	49 6151 950 211
RICHARD TIPPING	UNIV OF ALABAMA	205-348-3799

C. MULER BELG INST SPACE AER 32 2 3' J MESGENTHCLER LOCKHEED 415-42 ERIC SHETTLE NRL 202-40 TESS HOFFMAN PL/EDWARDS 805-27 ALAN WETMORE ARL-BED, WSMR, NM 505-67 C R ZEISSE NCWSC RDT&E 619-55 ZHENGMING WAN UNIV OF CA 805-89 SHERMAN NESTE MARTIN MARIETTA 215-53 RICHARD GARNER PHOTOMETRICS 617-93 GEORG ECHLE METEOROLOGIE INSTITUT 49 724 JOHN KEREKES MIT LINCOLN LAB 617-98 ROLANDO RIZZI ECMWF, UK 44 734 CHARLES HUMPHREY VISIDYNE 617-27 REBECCA HEALEY YAP ANALYTICS 617-86 KOUICHI YOSHINU CTR FOR ASTROPHYSICS 617-86 KOUICHI YOSHINU CTR FOR ASTROPHYSICS 617-93 EARL CURTIS ROCKETDYNE 818-58 MIREILLE TANGUY DGA/PCN 33 941 ROBERT DEMAJISTRE CPI/APL 301-95 NEAL KILMER PS LAB, NMSU 505-52 ED NIPLE ARI 508-66 PIERRE SIMONEAU ONERA, FRANCE 33 469 PAUL EITNER MARTIN MARIETTA 215-53 ELEANOR WALTHER SANDIA NATIONAL LABS 505-84 MARK CANN YORK UNIV 416-73 IAN S. ROBINSON AEROSPACE 310-33 MARC R. HAMMOND MISSION RESEARCH CORP 603-89 RONALD E. ALLEY JET PROPULSION LAB 818-35 AL ZACHOR	62190 3-6000 2-9495 3-9500 36850 1-1195 4-4728 6-2100 6-6142 1-0070 4-0751 3-1931
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ROBERT R. O'NEIL PL/GPOS 617-37	7-4775
MARIE-ANNIDE GIRAUD DGA/DCN 33 941 SHEPARD A. CLOUGH AER 617-34 WILLIAM A.M. BLUMBERG PL/GPOS 617-37	9-2282

	QUANCHENG MA	GISS, NASA	212-678-5574
	OINAS VALDAR	HUGHES HTX	212-678-5528
	RICHARD H. PICARD	PL/GPOS	617-377-2222
	SUSAN MCKENZIE	MRC	603-891-0070
	CHAN TOUART	HSTX	617-863-0677
	STUART GATHMAN	NCCOSC-NKAD	619-554-1417
<b>D</b>	DAVID EDWARDS	NCAR, BOULDER, CO	303-497-1857
	HARRY FINKLE	MIT/LL	617-981-4905
	HANK REVERCOMB	UNIV OF WISCONSIN	608-263-6758
J	BERNARD SALLES	DGA/DCN	33 94162281
•	TERRY E. BATTALINO	NAWC	805-989-8383
	ROBERT WILSON	BOSTON COLLEGE	617-552-8765
	MARGARET GARDNER	VISIDYNE	617-273-2820
	JAMES H. BROWN	PL/GPOS	617-377-4412
	LARRY S. ROTHMAN	PL/GPOS	617-377-2336
	JOHN EOLL	GRC	508-777-6323
	JAMES WALLACE	FAR FIELD, INC	508-443-9214
	JOHN O. WISE	PL/GPOB	617-377-5993
	NICOLE HUSSON	LMD/CNRS	33 69334802
	AGNES BAUER	LSH-UNIV LILLE	33 20434789
	SUSAN DOWNER	MRC	603-891-0070
	ROGER A. VAN TASSEL	PL/GPO	617-377-2951
	WILLIAM GALLERY	AER	617-349-2284
	RAFAEL QUIROGA	ITT-FED SERV	805-734-8232
	MEG NOAH	MRC	613-891-0070
	JAMES J. GIBSON	PL/GPOS	617-377-4171
	JAMES J. KLEESPIES	PL/GPAS	617-377-3136
	KELLY CHANCE	SMITHSONIAN/HARVARD	617-495-7389
	ALBERT BOEHM	HUGHES STX	617-377-2971
	DON GRANTHAM	PL/GPA	617-377-2982
	DAVE LONGTIN	SPARTA	617-863-1060
	RICHARD ENG	MIT/LINCOLN LAB	617-981-3695
	JEREMY R. WINICK	PL/GPOS	617-377-3619
	JULIA VAIL	YAP ANALYTICS	617-377-3654
	G. DAVID EMMITT	SWA	804-979-3571
•	ROBERT A. JOSEPH	ARCON	617-890-3330
	VINCENT FALCONE	PL/GPAS	617-377-4029
	PRECILA IP	MRC	603-891-0070
	PETER P. WINTERSTEINER	ARCON	617-890-3330
P	PAUL HILTON	HUGHES STX	617-863-0388
	ROBERT SUNDBERG	SPECTRAL SCIENCES	617-377-4770
	PHAN DAO		
		PL/GPIM	617-377-4944
	EDMOND M. DEWAN	PL/GPOS	617-377-4401
	ROBERT HUFFMAN	PL/GPIM	617-377-3311
	ARMAND PABOOJIAN	ARCON	617-377-2262
	PIALI DE	SPECTRAL SCIENCES	617-273-4770

GABRIEL VAZQUEZ	NCAR	303-497-1605
MICHAEL CHEIFETZ	SPARTA	617-863-1060
JAN HERRMANN	MIT/LINCOLN LAB	617-981-3780
JOHN HUMMEL	SPARTA	617-863-1060
ROY WALTERS	GENERAL RESEARCH	508-777-6323
DAVID EDWARDS	NCAR	303-497-1857

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### ANNUAL REVIEW CONFERENCE ON: ATMOSPHERIC TRANSMISSION MODELS

Tuesday 8 June 1993 a.m.

SESSION A: REMOTE SENSING AND APPLICATIONS Chairs: Gail Anderson, PL/GPOS; David Robertson, SSI

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### DEPENDENCE OF REMOTE TEMPERATURE RETRIEVAL ON ATMOSPHERIC TRANSMITTANCE ACCURACY

J.I.F. King

Geophysics Directorate Phillips Laboratory Hanscom AFB, MA 01731-3010

In the remote temperature sensing problem the earth-atmospheric system is scanned across the far-infrared emission bands of  $CO_2$  and  $H_2O$ . The Planck radiation profile is degraded in its upward passage by scattering, absorption, and re-emission interactions with the transmitting medium. The effects of inaccurate atmospheric transmittances on the temperature inferences from the satellite data will be demonstrated and discussed.

### VIEWGRAPHS UNAVAILABLE

MAPPING ATMOSPHERIC WATER VAPOR AND THE INVERSION OF SPECTRAL RADIANCE TO APPARENT REFLECTANCE WITH MODTRAN2 AND DATA MEASURED BY THE AIRBORNE VISIBLE-INFRARED IMAGING SPECTROMETER (AVIRIS)

R.O. Green, J.E. Conel, T.G. Chrien

Jet Propulsion Laboratory California Institute of Technology

The Airborne Visible-Infrared Imaging Spectrometer (AVIRIS) is a NASA-sponsored Earth-looking imaging spectrometer designed, built and operated by the Jet Propulsion Laboratory. AVIRIS acquires flight data from the Q-bay of a NASA ER-2 at 20 km altitude that is operated from the Ames Research Center. This imaging spectrometer measures the total upwelling spectral radiance from 400 to 2500 nm in the electromagnetic spectrum through 224 channels at 10 nm spectral intervals. Data are acquired as 11 km by up to 100 km images with 20 m by 20 m spatial resolution. The spectral, radiometric and geometric characteristics of AVIRIS are calibrated in the laboratory. These characteristics are validated through inflight calibration experiments that are carried out each year. MODTRAN2 is used for mapping atmospheric water vapor and for the inversion of spectral radiance to apparent surface reflectance with data measured by AVIRIS.

## Work with MODTRAN2 and the

Airborne Visible-Infrared Imaging Spectrometer (AVIRIS)

Robert O. Green

NASA

JET PROPULSION LABORATORY

### OVERVIEW

**AVIRIS Characteristics and Objectives** 

Inflight Calibration Experiment

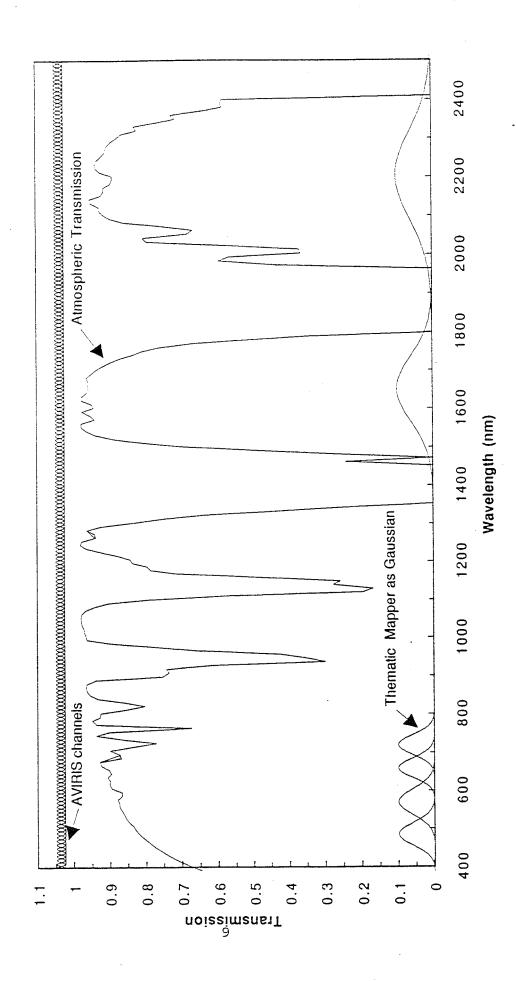
Water Vapor

Reflectance Calculation

Possible Improvements to MODTRAN

# AVIRIS Characteristics and Objectives

## **AVIRIS SPECTRAL RANGE AND RESOLUTION**



**AVIRIS Spectral Coverage in the MODTRAN Range** (μ**W**/**cm**^2nm) 1.50m 1.50m 1.50m Irradiance 1.00E+02 0.00E+00 2.50E+02 2.00E+02 5.00E+01

55000

50000

45000

40000

35000

30000

25000

20000

15000

10000

5000

Wave Number (v)

## SCIENCE OBJECTIVE

Quantitative characterization of the Earth's terrestrial surface and atmosphere from geometrically coherent spectroradiometric measurements.

### APPROACH

Measure the contiguous spectral signature of the upwelling radiance from 400 to 2500 nm

# Use the resolved molecular spectral absorptions and partical scattering signatures to:

- Detect and identify the surface and atmospheric constituents present
- Assess and measure the expressed constituent concentrations
- Assign proportions to constiuents in mixed spatial elements
- Delineate spatial distribution of the constituents
- Monitor changes in constituents through periodic data acquisitions

## SCIENCE/APPLICATION DISCIPLINES

Terrestrial ecology: ecosystem type, constituents, chemistry, delineation, change...

constituents, sediments, productivity, bathymetry ... Oceanography/inland waters:

Geology & soils: mineralogy, rock & soil type, degradation, regional analysis...

Atmosphere: water vapor, gas constitutes, aerosols...

Clouds: type, extent, radiative properties...

Snow and ice hydrology: extent, grain size, impurities, runoff...

Environmental: resource monitoring, land use planing, evaluation...

Agriculture: crop type, state of growth, yield, stress...

Volcanology: gases, lava temperatures, lava type, lava age relationships...

Calibration: atmosphere, satellites, aircraft systems

## DATA CHARACTERISTICS

SPECTRAL

Wavelength range

Sampling Spectral response (fwhm)

Calibration

400 to 2500 nm <10 nm

10 nm nominal

RADIOMETRIC

Radiometric range

Sampling

Intra flight calibration Absolute calibration

Noise

0 to maximum lambertion radiance ~ 1 dn noise rms

<= 7 % <= 2 %

Exceeding nedl/snr requirement

GEOMETRIC

Instantaneous FOV Field of view Calibration

Flight line length

30 degrees (11 km) 1.0 mrad (20 m) <=0.1 mrad

Ten 100 km

R. O. GREEN 8 June 93 (818)354-9136

### R. O. GRREEN 8 June 93 (818)354-9136

## OPERATIONAL CHARACTERISTICS

### SENSOR

Spectrum rate Data capacity Imager type Digitization Dispersion Launches Detection Data rate

Four grating spectrometers (a,b,c,d) 224 detectors (32,64,64,64) Si and InSb 10 bits (12 in 94) 17 mbits/second (20.4 in 94) Whiskbroom scanner (12 hz)

>10 gigabytes (>10,000 km^2) 7300 spectra/second

~30 per year

### PLATFORM

Range Flight duration Velocity Altitude Aircraft

aunch sites

Domestic & foreign <=6.5 hours NASA ER-2 <= 2100km 20 km 734 km/h

## DATA ACQUISITION AND DISTRIBUTION

### **AVIRIS DATA ACQUISTION**

NASA investigators with flight request and sponsor support Non-NASA reimbursable acquisiton with flight request

### DATA DISTRIBUION

One week for quicklook distribution

Two weeks for calibration and distribution upon request

Possible special processing for same day distribution

## HISTORIC DATA FROM THE ARCHIVE

For marginal costs of reproduction \$250.00 per scene (10\*11km)

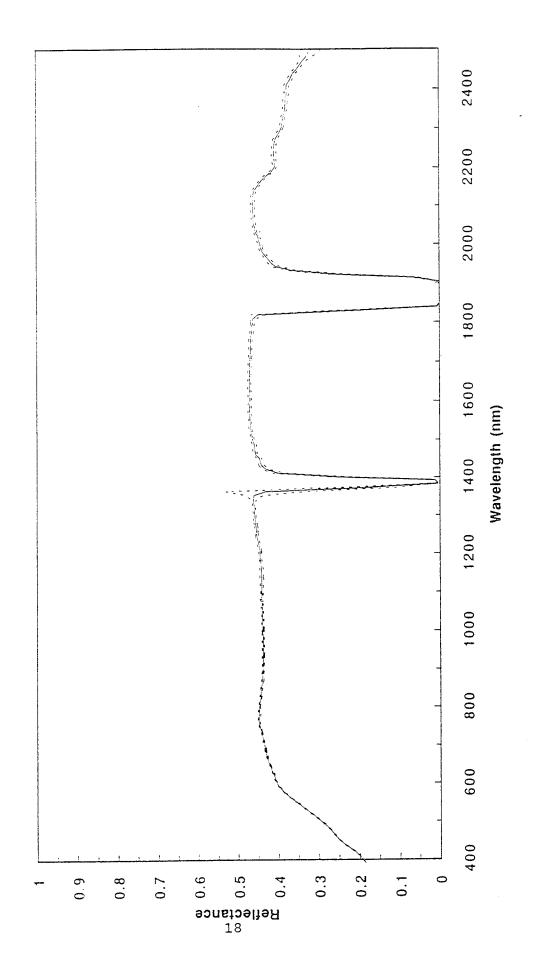
## Inflight Calibration Experiment

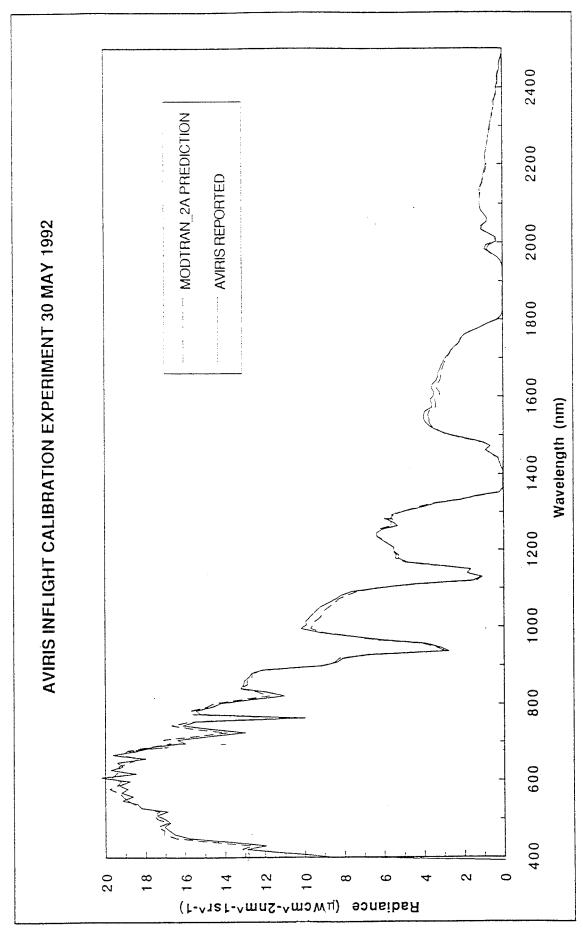
## CALIBRATION REQUIREMENT

Imaging spectrometry data must be spectrally, radiometrically and geometrically calibrated in

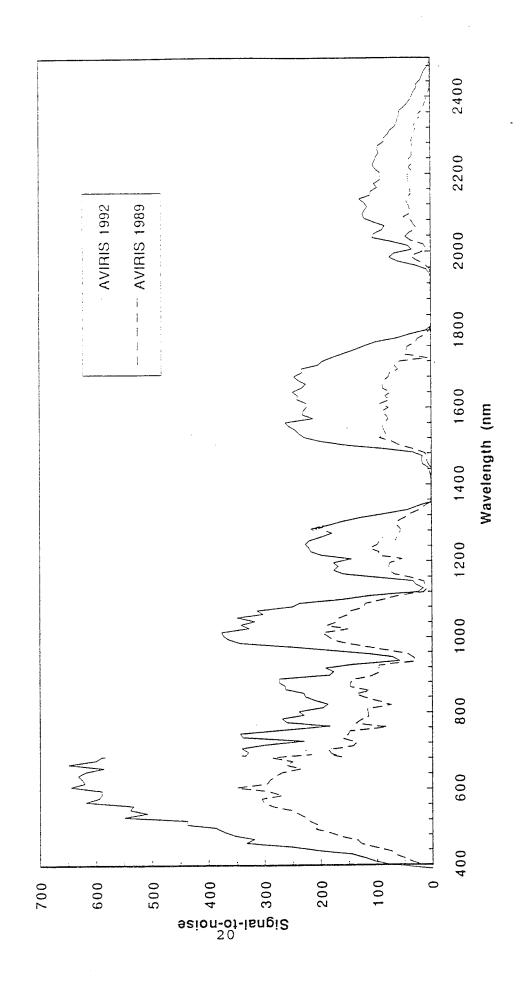
- Derive physical parameters from measured radiance
- Compare data acquired from different regions and from different times
- Compare and analyze imaging spectrometry data with data acquired by other instruments or generated by system models

Inflight Calibration Experiment Rogers Dry Lake, 30 May 92



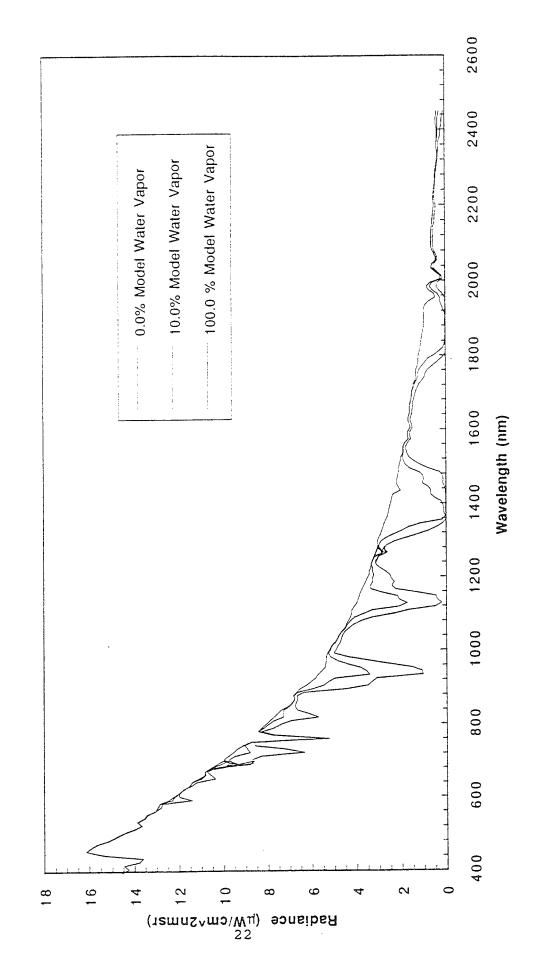


# AVIRIS SIGNAL-TO-NOISE AT REFERENCE RADIANCE

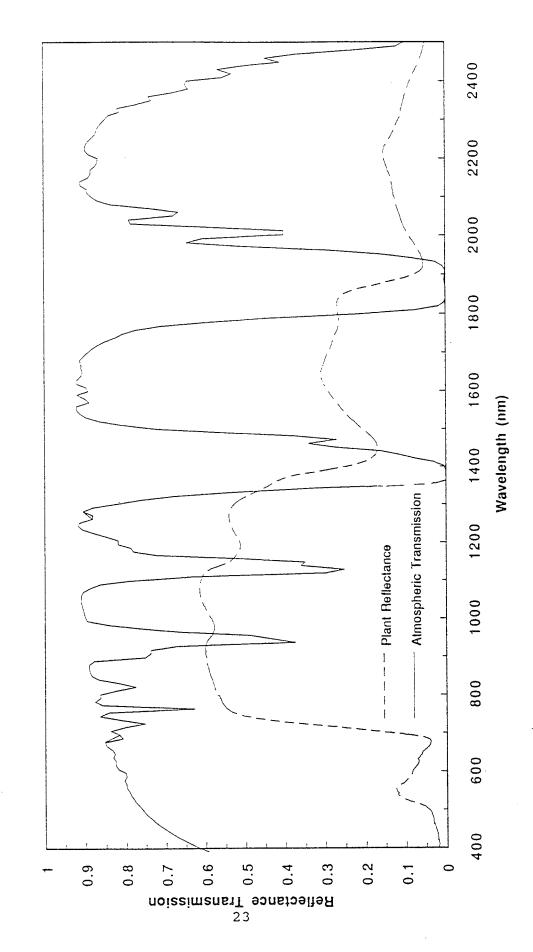


### Water Vapor

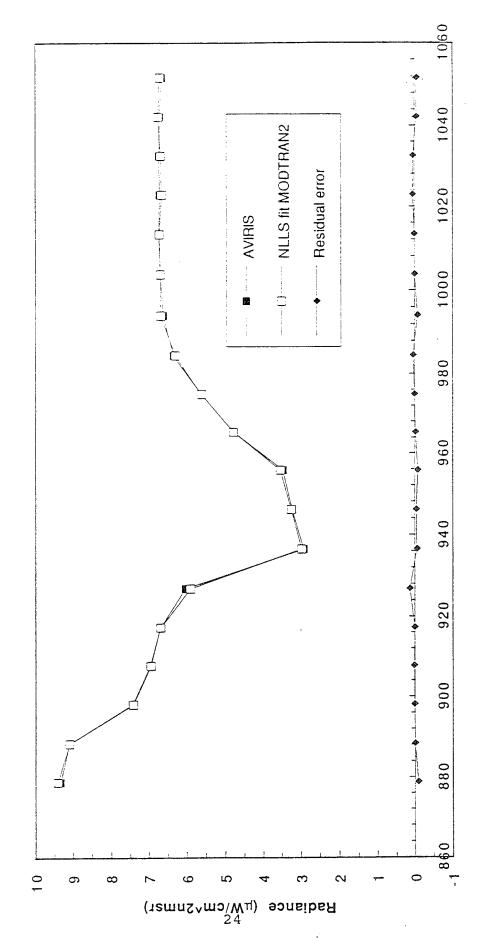
Influence of Water Vapor on AVIRIS Measured Radiance



Atmospheric Transmission and Plant Reflectance

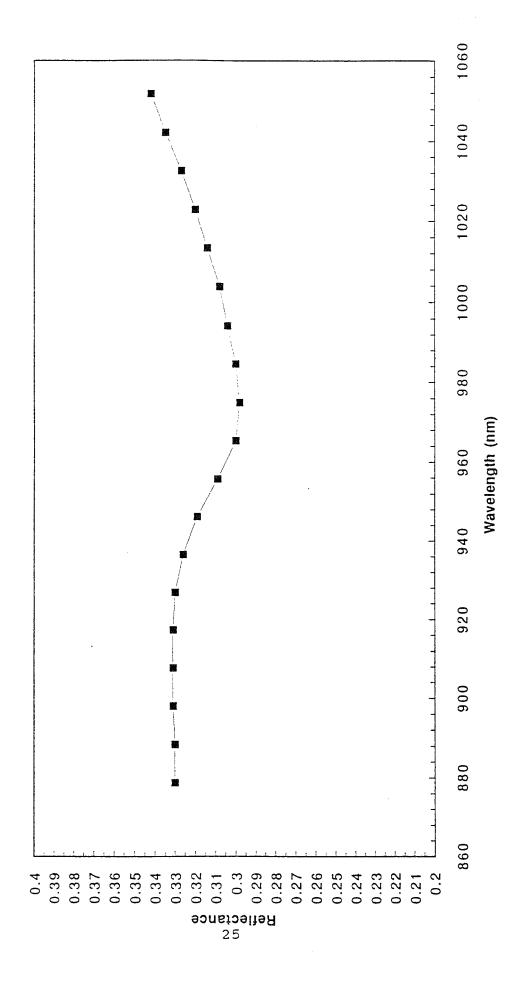


**AVIRIS to NLLS Fit of MODTRAN2** 



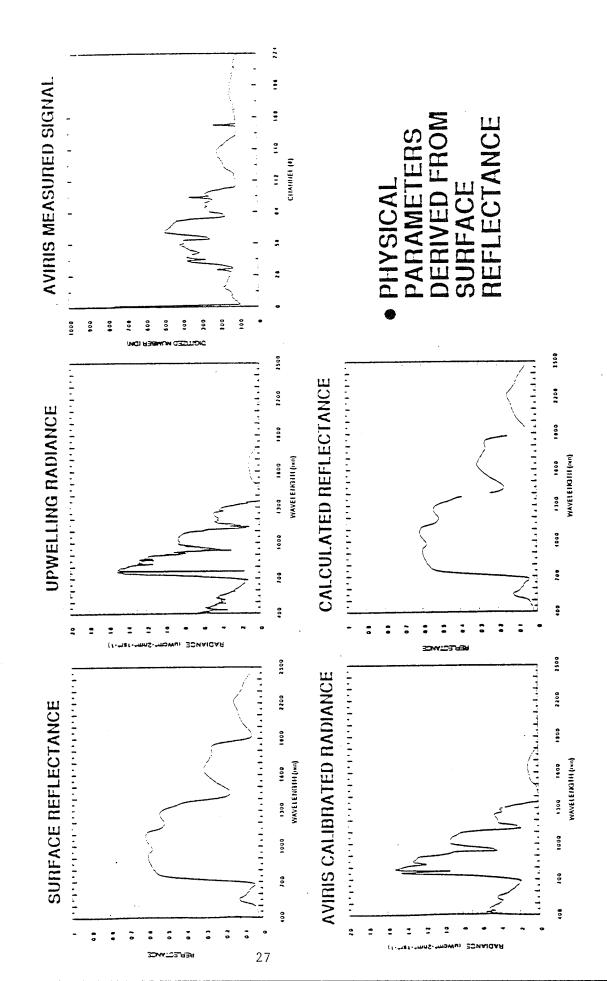
Wavelength (nm)

Reflectance for NLLS fit with MODTRAN2



## Reflectance Calculation

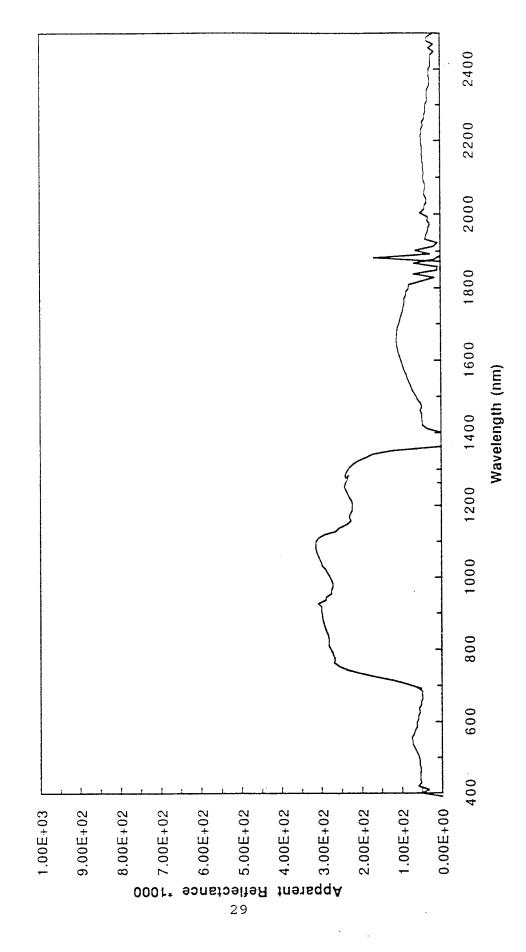
## AVIRIS: MEASUREMENT, CALIBRATION AND CALCULATED REFLECTANCE



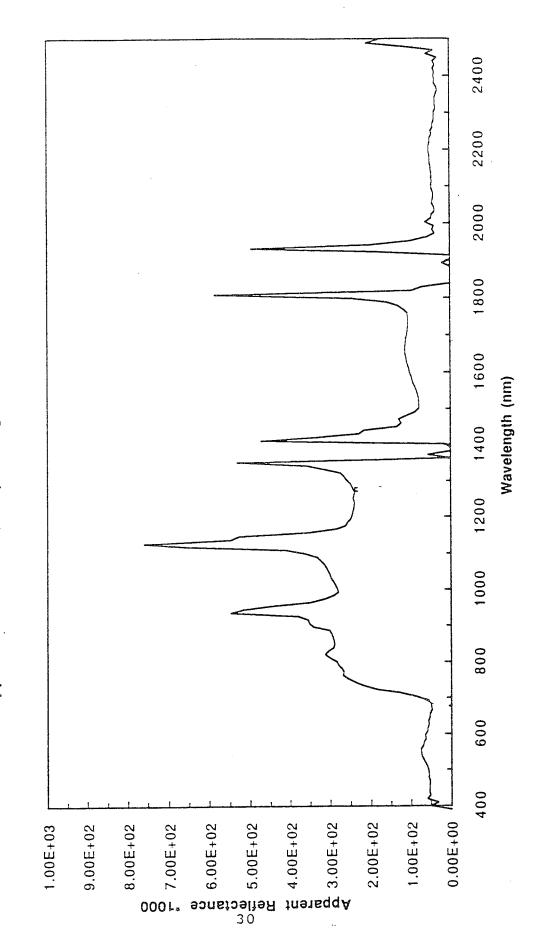
2400 2200 2000 1800 1600 Wavelength (nm) 1400 1200 1000 800 009 400 3.00E+03 Radian 2.00E+03 0.00E+00 1.00E+03 1.00E+04 9.00E+03 8.00E+03

AVIRIS Calibrated Radiance, Jasper Ridge: Forest Target

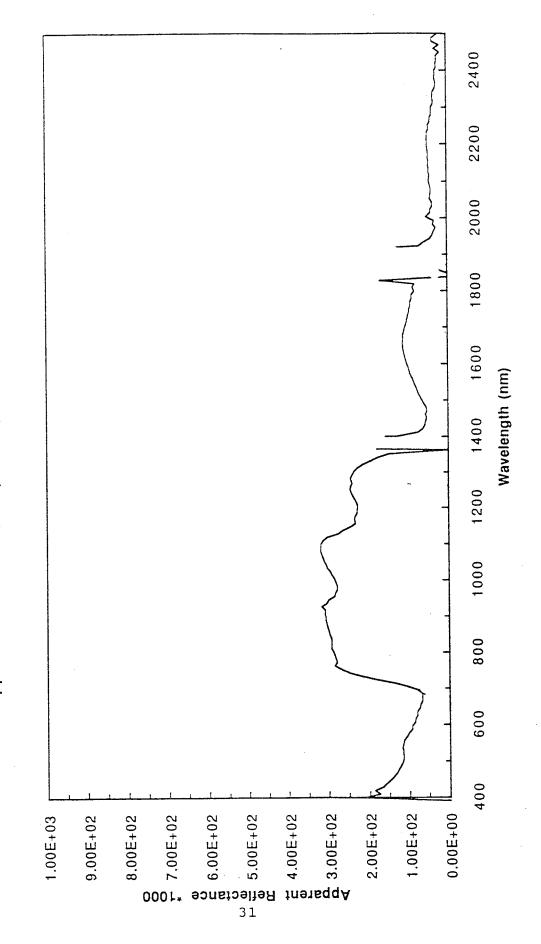
AVIRIS Apparent Reflectance, Jasper Ridge: Forest Target



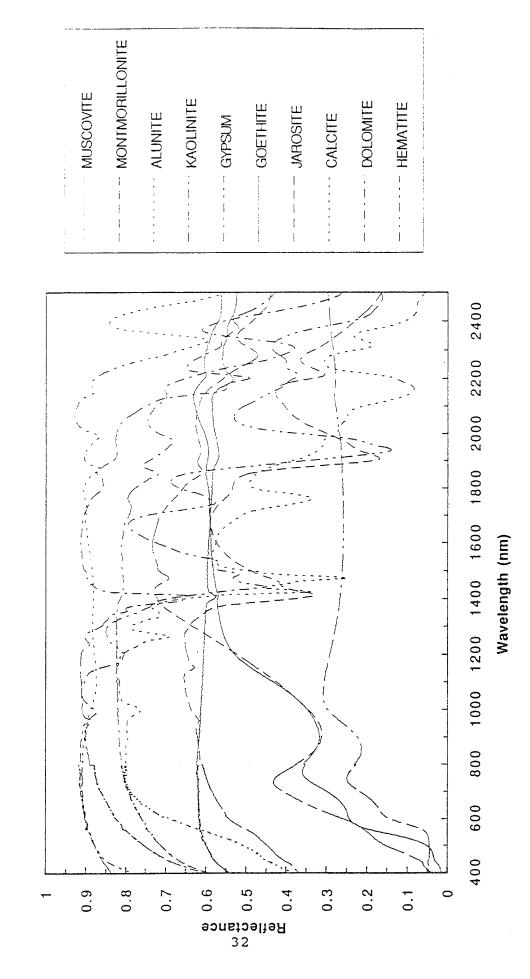
AVIRIS Apparent Reflectance, Jasper Ridge: Forest Target (incorrect water vapor)



AVIRIS Apparent Reflectance, Jasper Ridge: Forest Target (incorrect path radiance)



Mineral Spectra in the AVIRIS Spectral Range



# Possible Improvements to MODTRAN

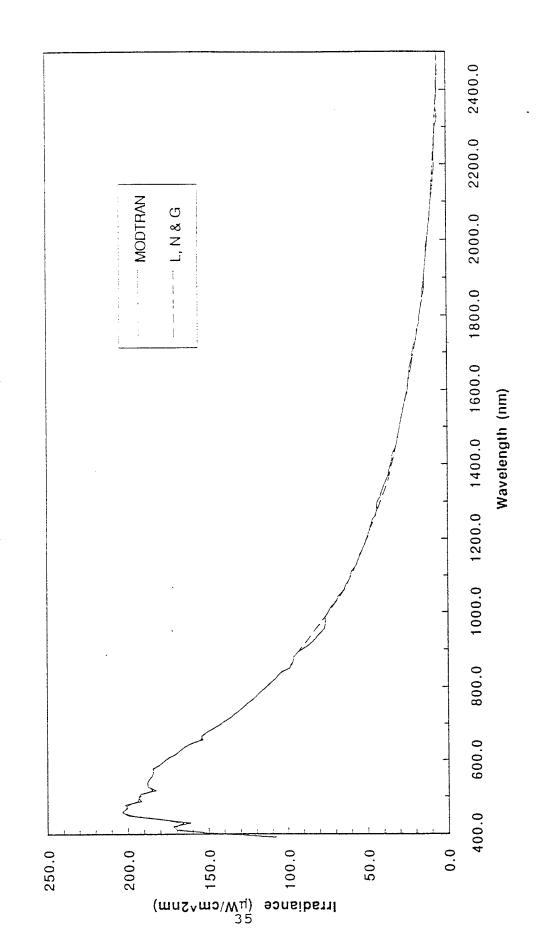
Solar Irradiance

Aerosols (350 to 1000 nm)

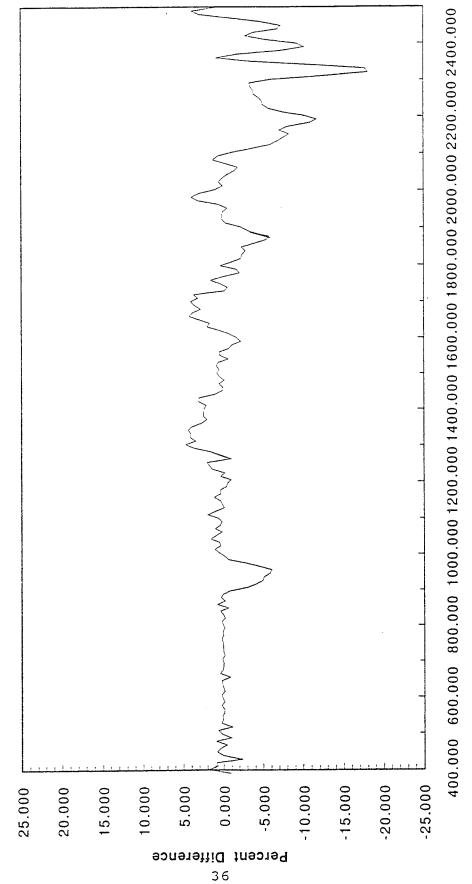
Water vapor models

Other gases

MODTRAN and L, N & G Exo Atmospheric Solar Irradiane



MODTRAN and L, N & G Exo Atmospheric Solar Irradiance Percent Differen



Wavelength (nm)

## ABSORPTION BANDS IN THE LOWTRAN7 SOLAR IRRADIANCE CURVE

Author: Bo-Cai Gao

NASA/Goddard Space Flight Center, Greenbelt, MD University Space Research Association (USRA)

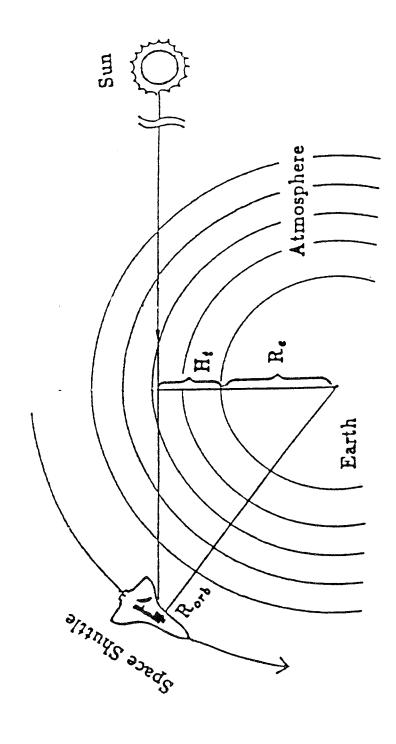
Presented by: Robert O. Green

California Institute of Technology, Pasadena, CA Jet Propulsion Laboratory

### INTRODUCTION

- The ATMOS Experiment during Spacelab 3 Mission in the Spring of 1985.
- Reduction of ATMOS spectra above the earth atmosphere to obtain a transmittance spectrum of the solar atmosphere
- Comparison of the ATMOS spectrum with LOWTRAN7 and other solar irradiance curves
- Problems with solar irradiance measurements in late 1960's and early 1970's.
- Improved measurements of solar irradiance spectra in the visible and near-IR from satellite platforms are necessary in the future.

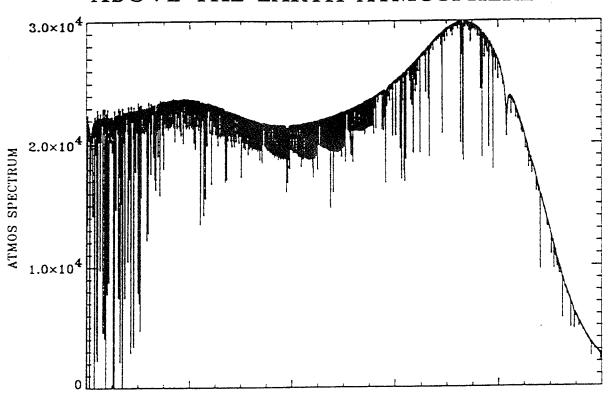
## THE ATMOS EXPERIMENT



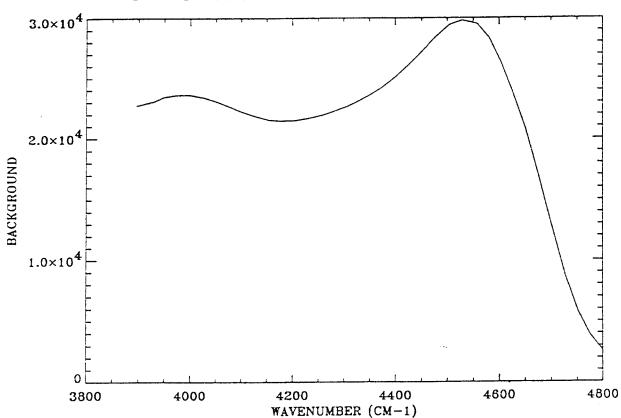
## ATMOS instrument characteristics.

|--|

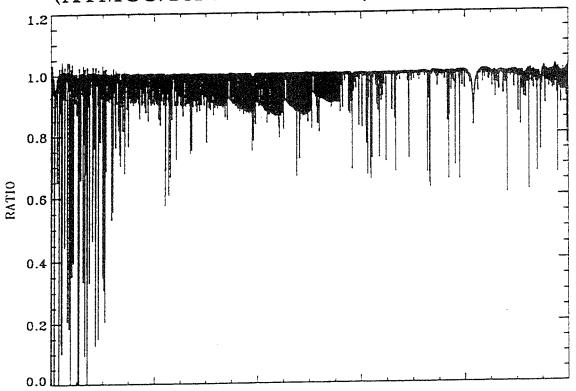
### AN ATMOS SOLAR SPECTRUM ABOVE THE EARTH ATMOSPHERE



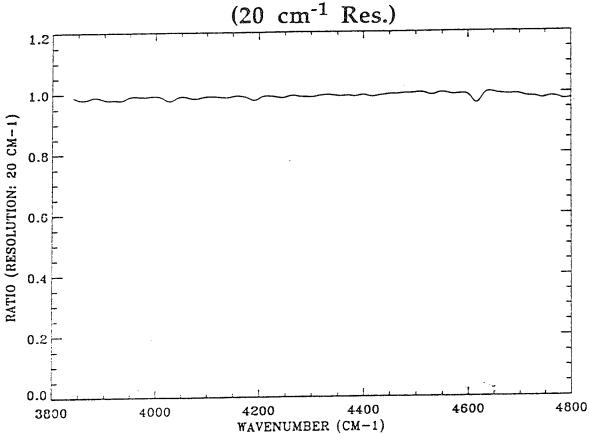
### SPECTRAL BACKGROUND LEVEL

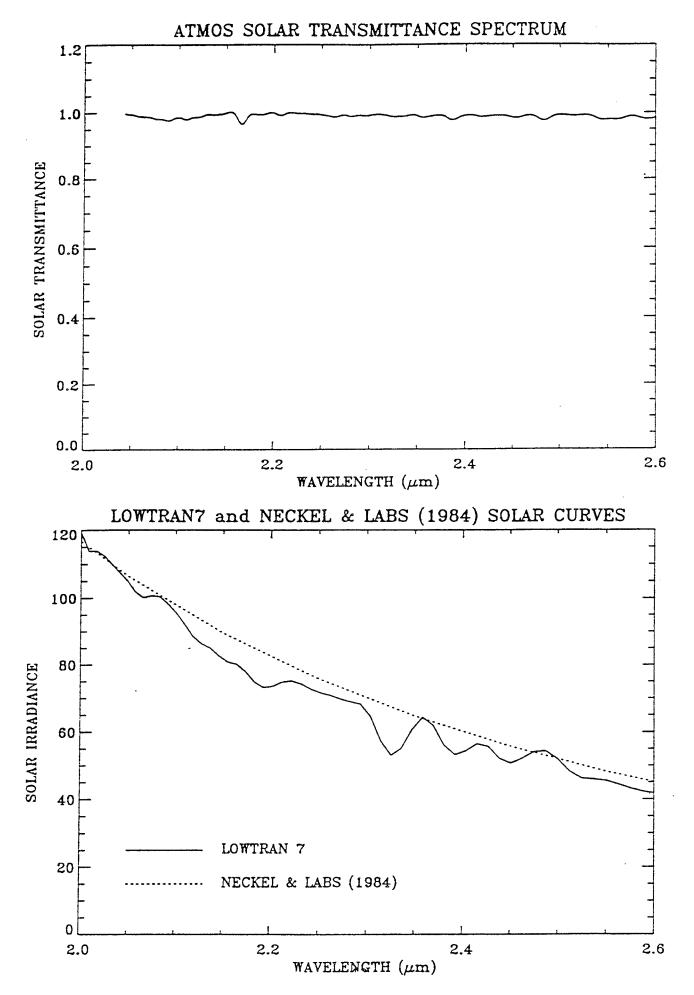


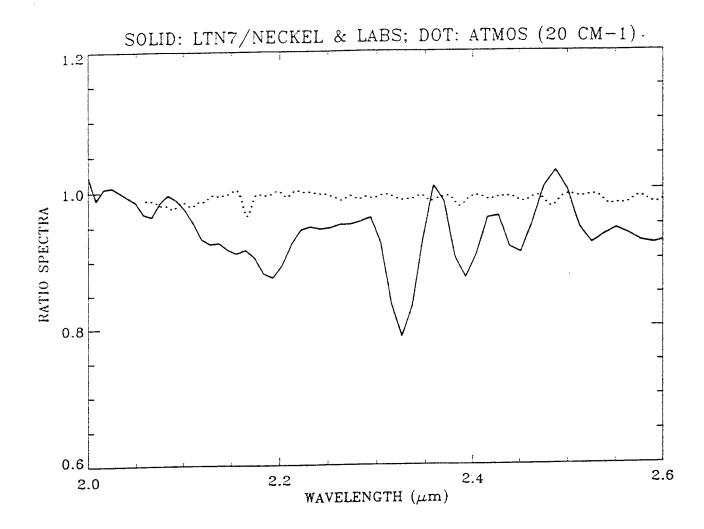
### SOLAR TRANSMITTANCE SPECTRUM (ATMOS/BACKGROUND, 0.01 cm<sup>-1</sup> Res.)

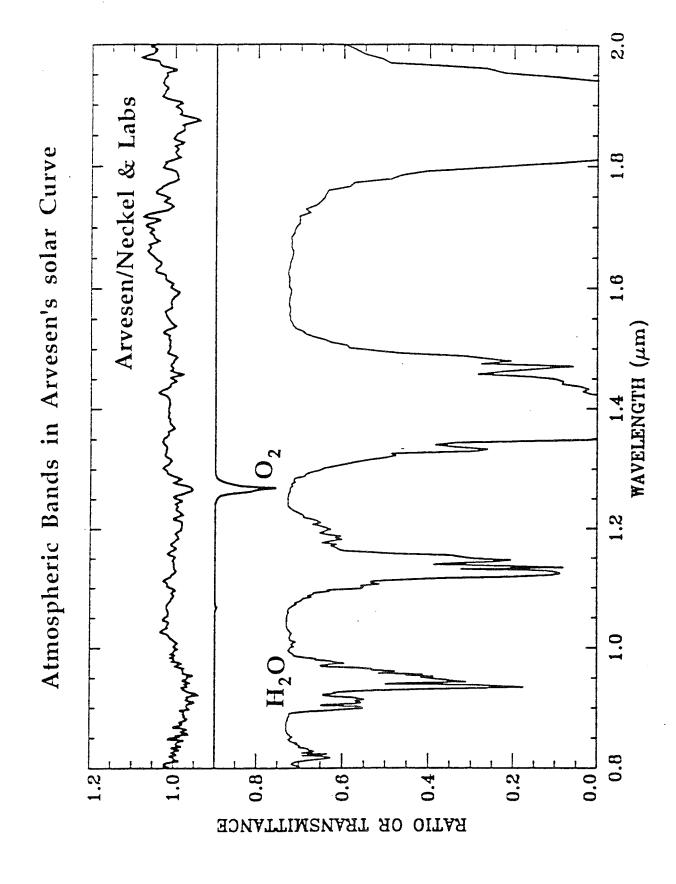


### SOLAR TRANSMITTANCE SPECTRUM

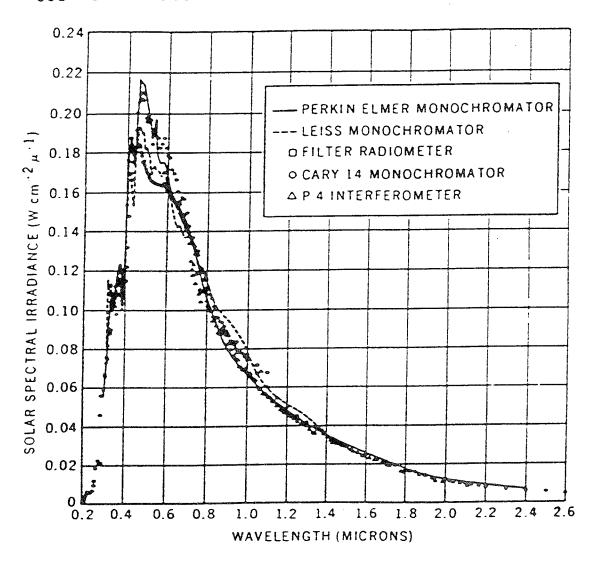








### SOLAR SPECTRAL CURVES FROM INSTRUMENTS ON BOARD NASA 711



From Thekaekara (1974). Poor instrumental radiometric calibrations.

### SUMMARY

A solar transmittance spectrum has been derived from the ATMOS data. The spectrum shows weak solar features with peak absorptions of less than 3% at a spectral resolution of  $20 \text{ cm}^{-1}$ .

radiometric calibration problems. The solar irradiance curve of The LOWTRAN 7 solar irradiance curve in the 2-2.5 µm region The solar irradiance measurements from Thekaekara (1974) had contains earth atmospheric features. The Neckel & Labs (1984) solar curve in the 2-2.5 µm region contains no solar features at all. Arvesen et al. (1969) contains atmospheric  $O_2$  and  $H_2O$  features.

near-IR region with accurate radiometric calibrations from satellite Improved measurements of solar irradiances in the visible and plattorms are necessary in the future.

### THE IMPACT OF THIN CIRRUS CLOUDS ON TERRAIN REMOTE SENSING

W.M. Cornette, J.G. Shanks

Photon Research Associates, Inc. 10350 N. Torrey Pines Road, Suite 300 La Jolla, CA 92037-1020

The existence of thin, or sub-visual, cirrus clouds within the field-of-view of a satellite sensor can alter the sensor's perception of the terrain radiance. Since the existence of sub-visual cirrus is frequently unknown, this alteration of the terrain radiance can lead to erroneous conclusions from analysis using this data. Even if the existence of thin cirrus is known to exist, the cirrus parameters (e.g., cloud optical depth, cloud altitude and thickness, particle size distribution) are not fully known. This paper will quickly review cirrus cloud parameters and how these parameters are used in MODTRAN to evaluate the impact of thin cirrus clouds on remote sensing. The difference between actual and predicted surface temperatures in the presence of cirrus will be presented. A couple of numerical problems with MODTRAN that were encountered during this study will be discussed.

### THE IMPACT OF THIN CIRRUS CLOUDS ON TERRAIN REMOTE SENSING

Presented at the Annual Review Conference on Atmospheric Models Hanscom AFB, Massachusetts 8-9 June 1993

Presented By: Dr. William M. Cornette Dr. Joseph G. Shanks



Photon Research Associates, Inc.

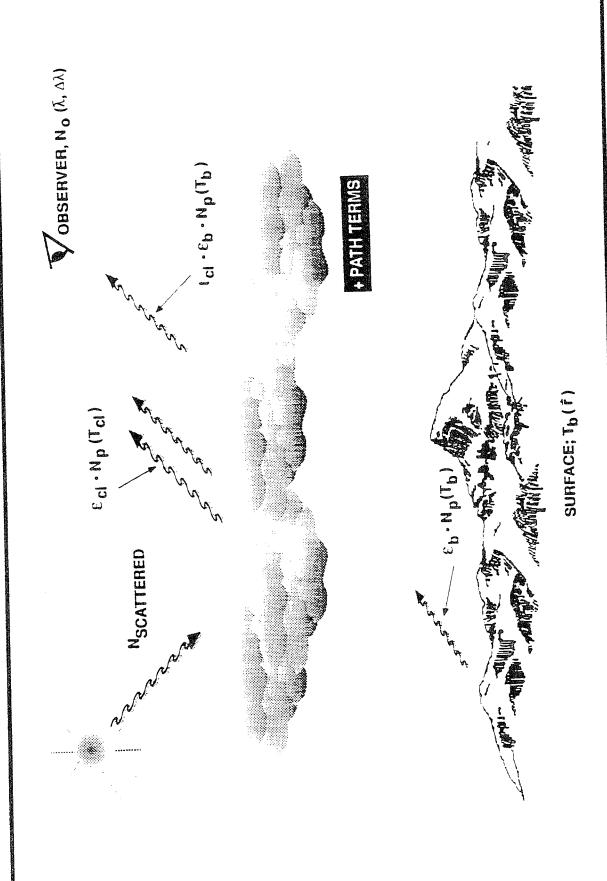
10350 N. Torrey Pines Court, #300 La Jolla, California 92037-1020 (619) 455-9741 Fax: (619) 455-0658

e-mail: wmc@photon.com

49



### 





I apparent Fig-A. Apparent Temperature Image, Thin Cirrus Over Desert

- \* Spectral Band: [11.4, 12.4] (um), (= AVHRR Band 5) \* 1024 x 1024 @ 0.12 (km) (from LANDSAT), Hist Eq. Scale \* SSGM R 5.0 / Modified CLDSIM, GENESSIS Scenes, 3/31/93 > Joe Shanks, Photon Research Associates, Inc., La Jolla



## MPACT OF CHAUS CLOUDS

- Increased Attenuation
- Hydrometeors
- Water Vapor
- Modified Path Radiance
- Thermal Emissions
- Scattered
- Modified Reflective Components
- Direct Solar
- Skyshine
- Modified Temperature
- Solar Load
- The mail load



# TEMPERATURE INVERSION EQUATION

$$\Delta T = T_{apparent} - T_{surface}$$

$$= P^{-1} \left\{ \begin{array}{ll} N_{c_i} - N_{clear} + (1 - \epsilon_b) \cdot \left( \frac{\varphi_{ci} \cdot t_{ci} - \varphi_{clear} \cdot t_{clear}}{\pi} \right) + \epsilon_b t_{c_i} P(T_b) \\ \epsilon_b t_{clear} \end{array} \right\} - T_b$$

 $P(T), P^{-1}\{N\}$ 

III

Planck Function, Inverse

ь, <sup>6</sup>ь

Terrain Temperature, Emissivity

N<sub>clear</sub>, N<sub>ci</sub>

Total Path Radiance, Clear, Cirrus LOS

tclear, tci

Transmission (Terrain → Observer) for Clear, Cirrus LOS 

 $oldsymbol{\phi}_{ ext{ci}},\,oldsymbol{\phi}_{ ext{clear}}$ 

Irradiance Incident on Terrain With, Without Intervening Ci



## N MERSON DEPORTED ASSESSED TO SERVICE ASSESSED

• In Some Cases,  $\tau_{clear} < \tau_{cirrus}$  Which is Not Physically Reasonable  Numerical Instability Causes Equivalent Blackbody Temperature to Exceed Reasonable Values. Currently Limited Between 100 - 330 K.

• Numerical Underflow Results in  $\varepsilon$   $\tau_{clear}$  = 0

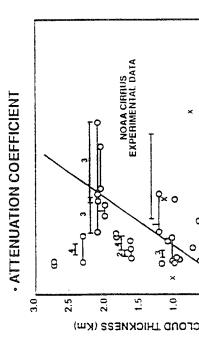


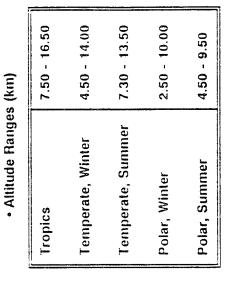
## CIRRUS CLOUD PARAMETERS

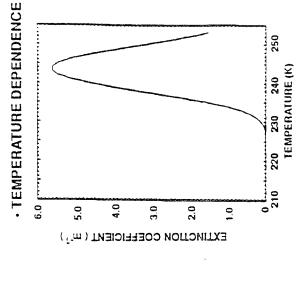
- Geographical Occurrence
- Altitude
- Thickness
- Particle Shapes
- Particle Size Distribution
- Index of Refraction
- Extinction Efficiency, Extinction Coefficient, and Optical Depth
- Single Scattering Albedo
- Phase Function and Asymmetry Parameter

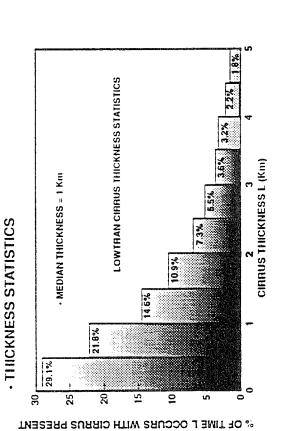


### 









1 0.3 0.5 ATTENUATION COEFFICIENT ( $\mathrm{Km}^{-1}$ )

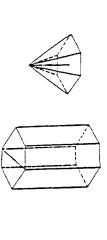
0.1

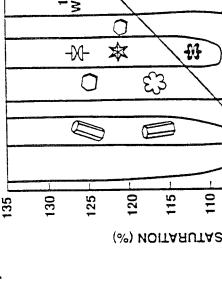
0.5



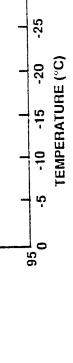
### PARTICLE SHAPES

- Hexagonal Plates (Thick/Thin)
- Hexagonal Columns (Solid/Hollow/Hollow Ends)
- Cirrostratus (40 x 100 µm)
- Cirrocumulus (50 100 x 200 300  $\mu$ m) (Incompletely Built)
- Cirrus Generating Cells (? x 60 100 µm)





- Bullet Rosettes (75%)
- Hollow Cones Plates (25%)
- · Stars
- Pyramids
- Combinations and Polycrystalline Forms

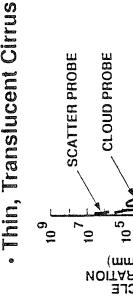


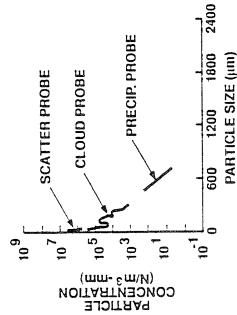
## 



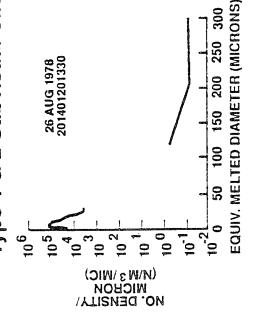








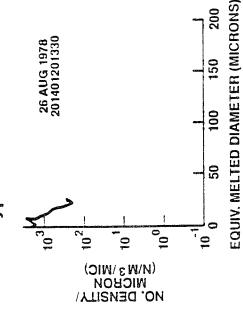




B 003-93:1-18.12

B 147 90 4 BC





58

2400

1800

1200

009

10.1

<u>.</u>

103

РАНТІСІЕ СОИСЕИТВАТІОИ  $^{\circ}$  (mm-  $^{\circ}$  (M/M)

0

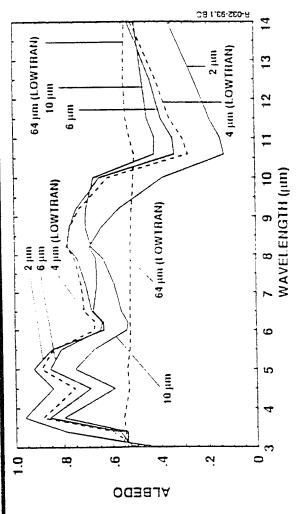
PARTICLE SIZE (µm)

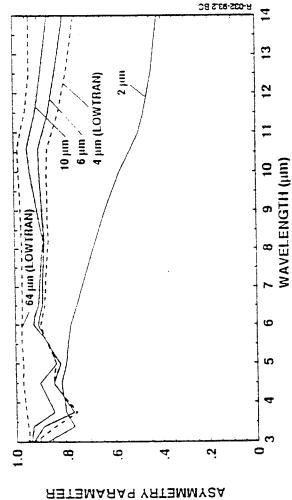


### FOR A LOG-NORMAL DISTRIBUTION ALBEDO, ASYMMETRY PARAMETER

 Curves Labeled by Median Radius

 $\overset{\wedge}{\sigma}=0.3$ 





B-042-61.11



# DARANCIA VARATONS

Optical Dept

Surface Temperature (230 - 310)

· Cloud Temperature (Tropical, Midlatitude Summer, Subarctic Winter)

· Surface Albedo (Water, Ice, Terrain)

Cloud Altitude and Thickness

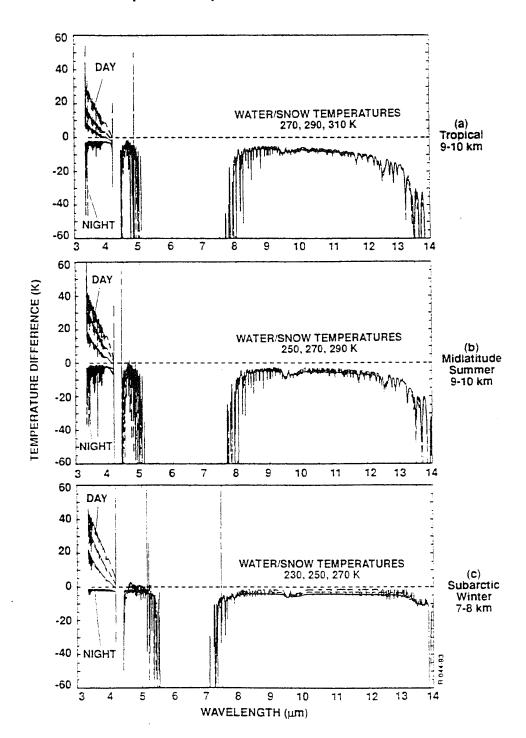
	Tropopause (km) Altitude (km) Thickness (km)	Altitude (km)	Thickness (km)
Tropical	16	10, 12, 16(*)	0.2, 1
Midlatitude Summer	2	8, 10, 12	0.2, 1
Subarctic Winter	8	æ	0.2, 1

(\*) Add 2, 3, 5 km Thickness



#### $\Delta T$ vs $\lambda$ [R<sub>median</sub> = 64 $\mu$ m, $\tau$ ( $\lambda$ = 0.55 $\mu$ m) = 0.1]

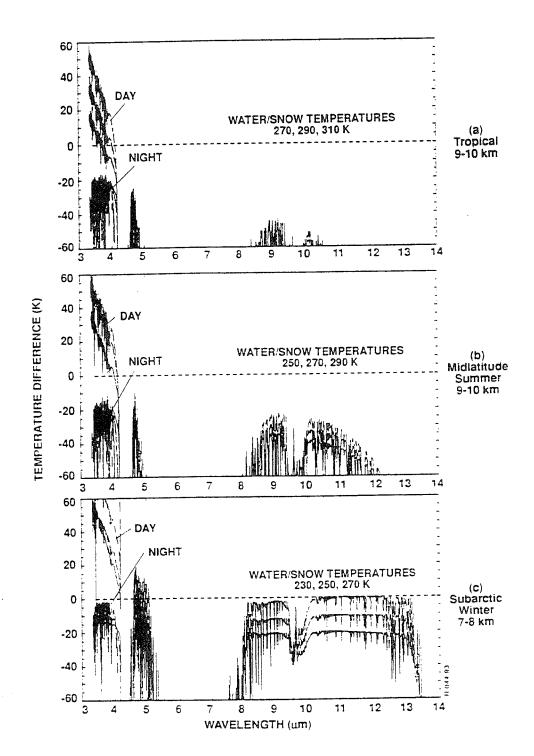
#### Temperature Differences for 64 $\mu$ m Median Radius Cloud with Optical Depth = 0.1 at 0.55 $\mu$ m





#### $\Delta T$ vs $\lambda$ [R<sub>median</sub> = 64 $\mu$ m, $\tau$ ( $\lambda$ = 0.55 $\mu$ m) = 0.8]

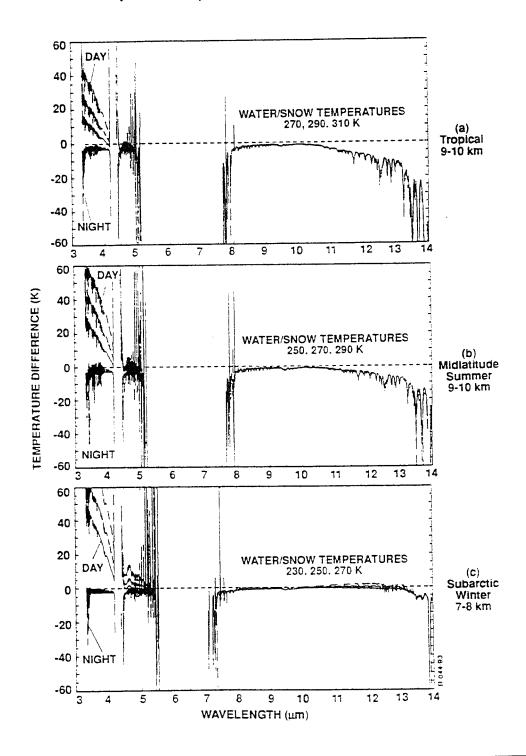
#### Temperature Differences for 64 $\mu$ m Median Radius Cloud with Optical Depth = 0.8 at 0.55 $\mu$ m





#### $\Delta T$ vs $\lambda$ [R<sub>median</sub> = 2 $\mu$ m, $\tau$ ( $\lambda$ = 0.55 $\mu$ m) = 0.1]

#### Temperature Differences for 2 $\mu$ m Median Radius Cloud with Optical Depth = 0.1 at 0.55 $\mu$ m

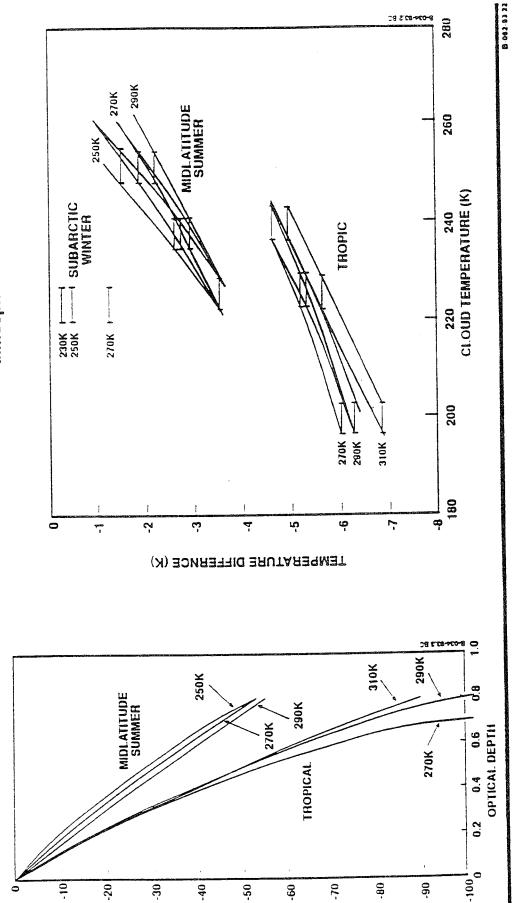




# AT VS OPTICAL DEPTH, CLOUD TENDERATURE

Median Radius Cirrus Cloud Between 9 and 10 km Cloud Optical Depth Effects at 11 µm for a 64 µm for Various Terrain Temperatures.

 $0.55~\mu m$  is 0.10. Only one temperature for a Subarctic Winter Radius Cirrus Cloud Between 9 and 10 km. Optical depth at Cloud Temperature Effects at 11 µm for a 64 µm Medlan atmosphere is shown.



30

-50

ТЕМРЕВАТИВЕ DIFFERENCE (К)

-40

-70

දු

-80

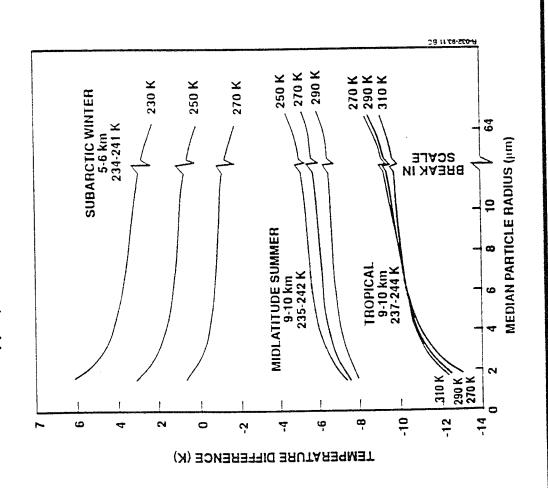
2

-20



# $\Delta T$ vs MEDIAN RADIUS [ $\tau$ ( $\lambda$ = 11 $\mu$ m) = 0.1]

Cloud Temperatures are Shown for each Model Atmosphere and Appropriate Terrain Temperatures.



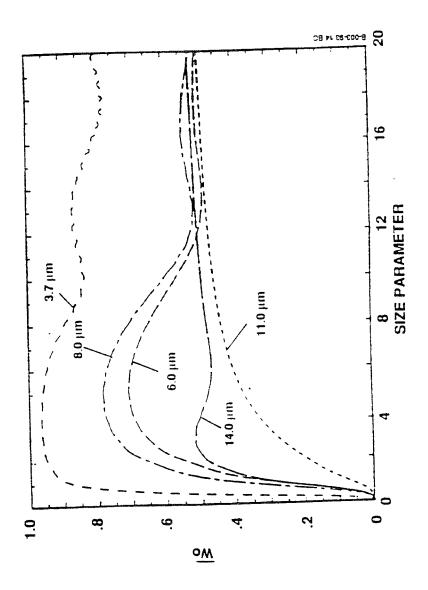


## DAHAMETRIC ANALYSIS

- Optical Depth:
- Major Impact ( $\sim$ 100 K/ $\tau$ )
- Model Atmosphere:
- Moderate Impact (~2-4 K/15° Lat)
- Cloud Temperature and Altitude:
- Minor Impact (~0.05 K/1 K)
- Cloud Thickness:
- Minor Impact (<0.2 K/∆H)</li>
- Particle Size Distributions:
- · Single Scattering Albedo
- Asymmetry Parameter
- . Moderate Impact (~2-3 K/r<sub>mean</sub>)



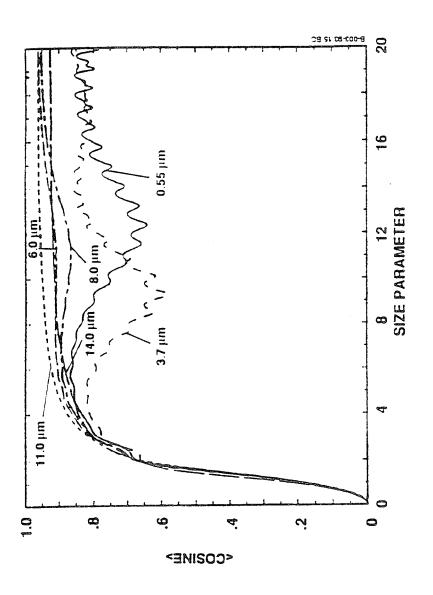
# MIE SCATTERING ALBEDO



### Spherical Ice

### noton Research Associates, Inc.

# ASYMMETRY PARAMETER



### Spherical Ice

#### APPLICATION OF LOWTRAN-7 TO AVHRR THERMAL DATA IN FIFE

T. Schmugge, P. Bougarel M. Sugita W. Brutsaert

USDA Hydrology Lab Env. Res. Ctr. Cornell University
Beltsville, MD Univ. of Tsukuba
Japan

LOWTRAN-7 was used with near coincident radio soundings of the atmosphere to estimate surface brightness temperatures from the NOAA-9 AVHRR data. The data were obtained for 8 days during the First ISLSCP Field Experiment (FIFE) conducted in central Kansas between the end of June and October of 1987. In general the channel 4 results were up to 2° K warmer than those from channel 5 indicating that we were undercorrecting for the atmospheric water vapor. However on one day the reverse was true and the channel 5 result was higher. In this case we were able to get agreement by reducing water vapor content to 80% of its measpured value. The results were compared also with various split window approaches for estimating surface temperature and with ground based broad band radiometers with AVHRR results being generally warmer.

# Application of LOWTRAN-7 to AVHRR Thermal Data In FIFE

T. Schmugge and P. Bougarel, USDA Hydrology Lab, Beltsville MD

M. Sugita, Environmental Research Center, Univ. of Tsukuba, Japan

W. Brutsaert, Cornell University

ABSTRACT for Annual Review Conference on Atmospheric Transmission Models

Application of LOWTRAN-7 to AVHRR Thermal Data In FIFE

- T. Schmugge and P. Bougarel, USDA Hydrology Lab, Beltsville MD
- M. Sugita, Environmental Research Center, Univ. of Tsukuba, Japan
- W. Brutsaert, Cornell University

LOWTRAN-7 was used with near coincident radio soundings of the atmosphere to estimate surface brightness temperatures from the NOAA-9 avhrr data. The data were obtained for 8 days during the First ISLSCP Field Experiment (FIFE) conducted in central Kansas between the end of June and October of 1987. In general the channel 4 results were up to 2 K warmer than those from channel 5 indicating that we were undercorrecting for the atmospheric water vapor. However on one day the reverse was true and the channel 5 result was higher. In this case we were able to get agreement by reducing water vapor content to 80% of its measured value. The results were compared also with various split window approaches for estimating surface temperature and with ground based broad band radiometers with avhrr results being generally warmer.

AVHRR DATA for 8 days during the summer 1987 from FIFE

- 256 X 256 Images from FIFE Information System (FIS))

Supporting radiosoundings before and after the overpass

- Calculations done with both Lowtran7 and Modtran

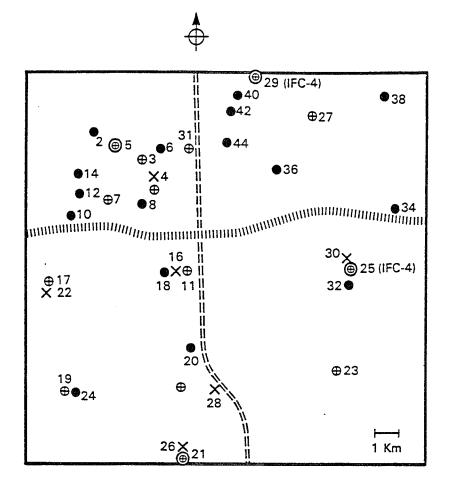
Ground measurements from 8 to 10 stations over a 15 x 15 km area

- Obtained from FIS

Differences:

- Spatial Scale: 1 km vs ~ 1 m

- Spectral: narrow band 10-11 µm vs 8-14 µm



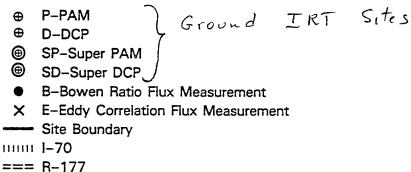


Figure 2.2b: Map of FIFE sites showing flux stations and AMS as actually located during FIFE-1987. Stations are marked by station number; even numbers for flux stations, odd numbers for AMS.

Note (i) No DCPs displayed, as data is currently inaccessible

(ii) Stations 40 (flux) and 31 (AMS) moved from positions as marked in EXPLAN

(iii) 25 (east) and 29 (north) operating in IFC-4 only.

See also Table 2.2b and Figure/Table 2.4b in EXPLAN

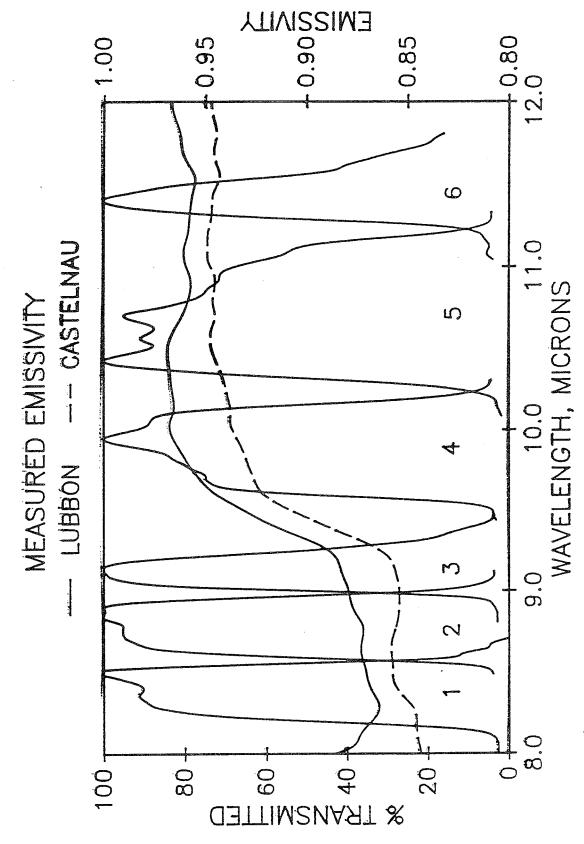
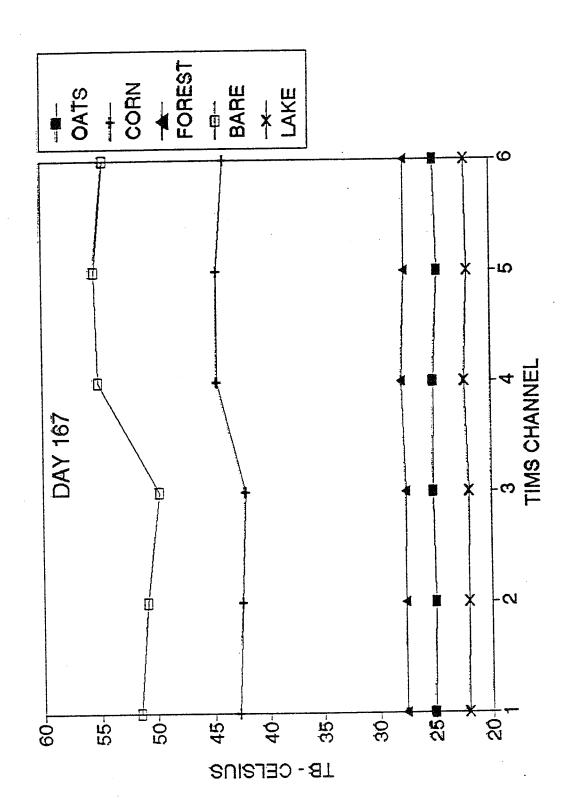


Figure 1. The filter functions for the 6 TIMS channels superimposed on the laboratory measurements of emissivity for two soils from the HAPEX test area (Nerry et al., 1988).

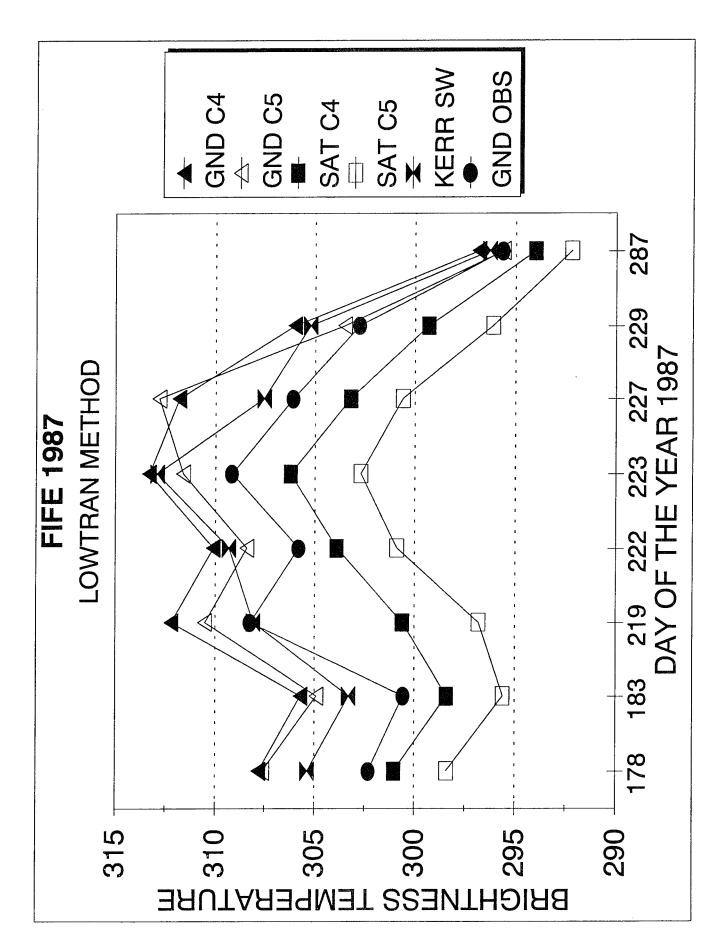


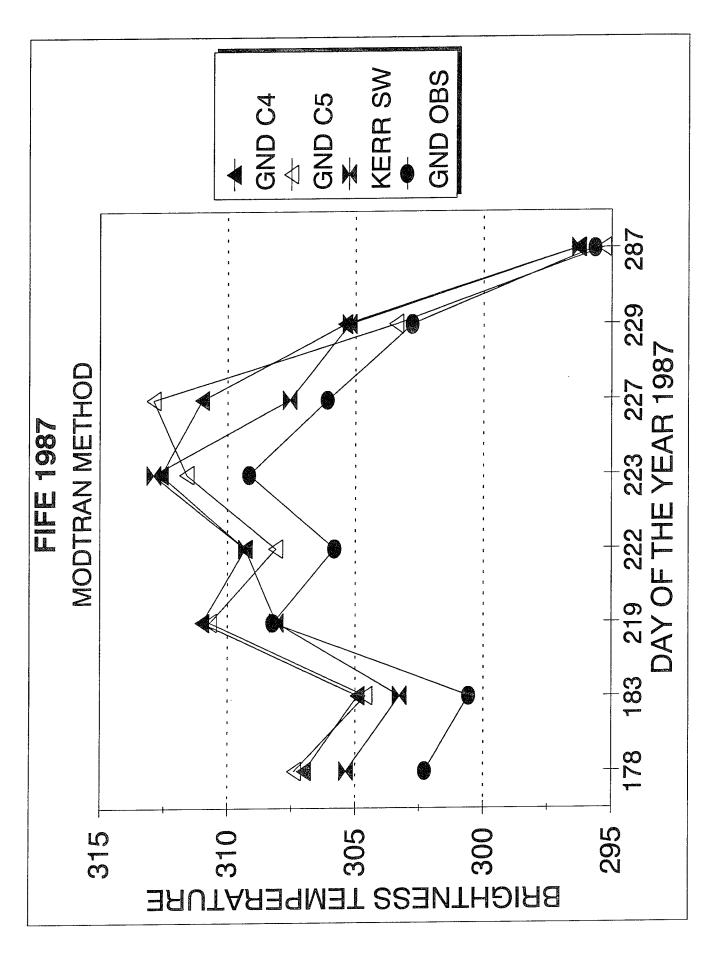
## KERR Split Window approach

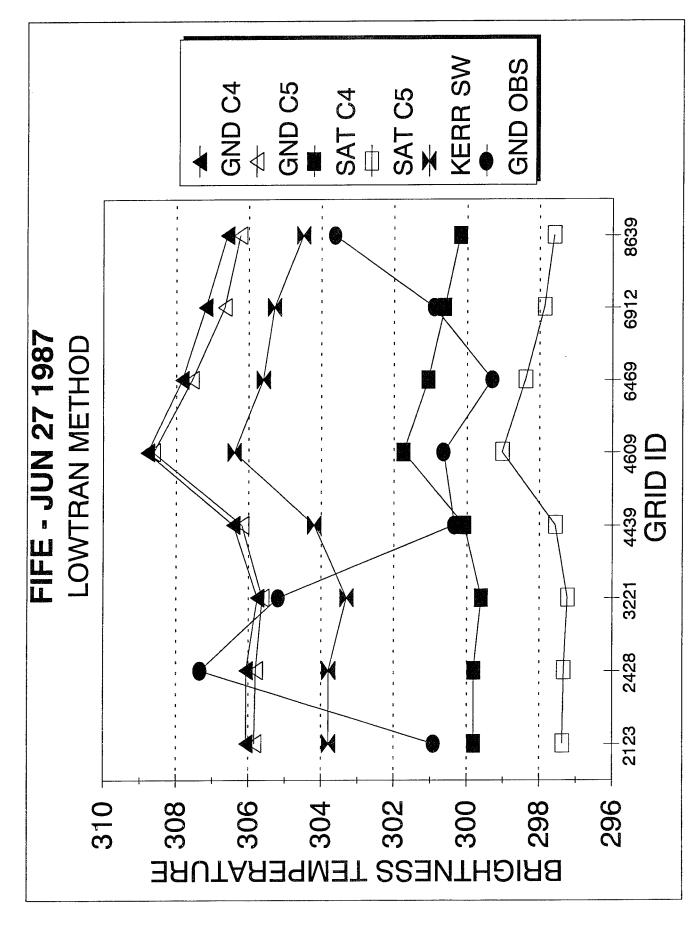
- Developed by comparison with ground measurements
- For vegetated surfaces: T<sub>surf</sub> = -2.4 + 3.6 T<sub>4</sub> - 2.6 T<sub>5</sub>
- For bare soil:  $\mathsf{T}_{\mathsf{surf}} = 3.1 + 3.1 \mathsf{eT}_{4} 2.1 \mathsf{eT}_{5}$

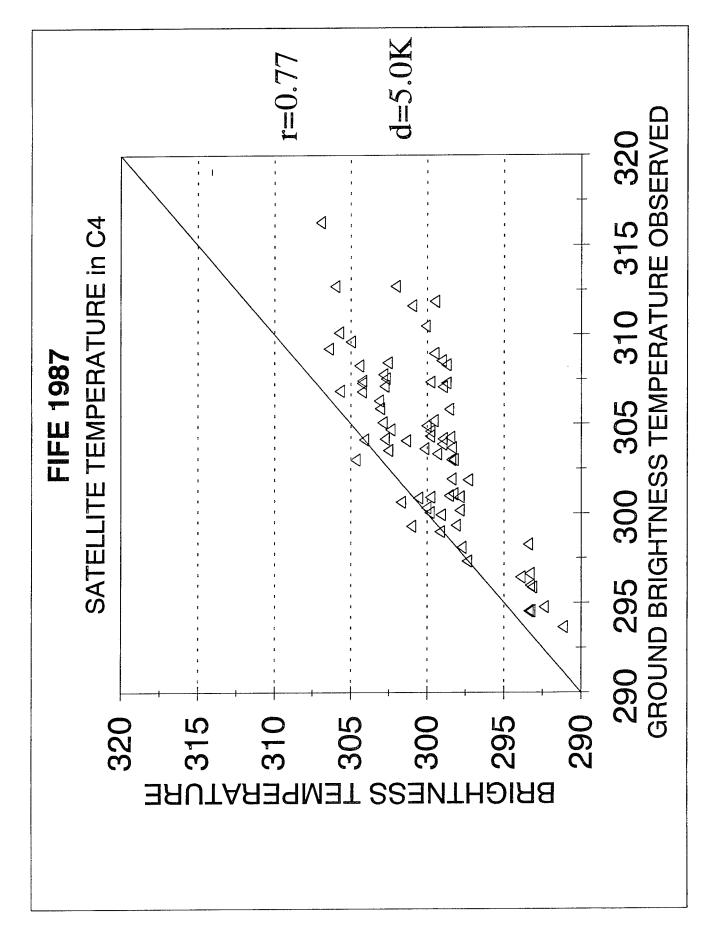
We assumed vegetated surfaces for each of the ground sites.

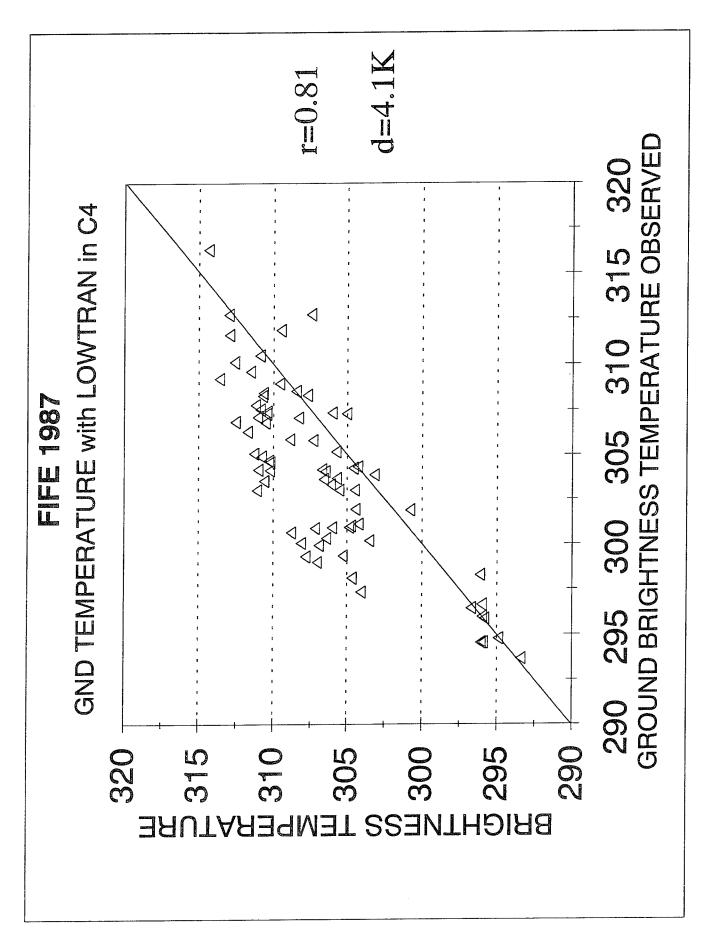
Kerr et al. Remote Sensing of Environment, 41: 197-204 (1992)

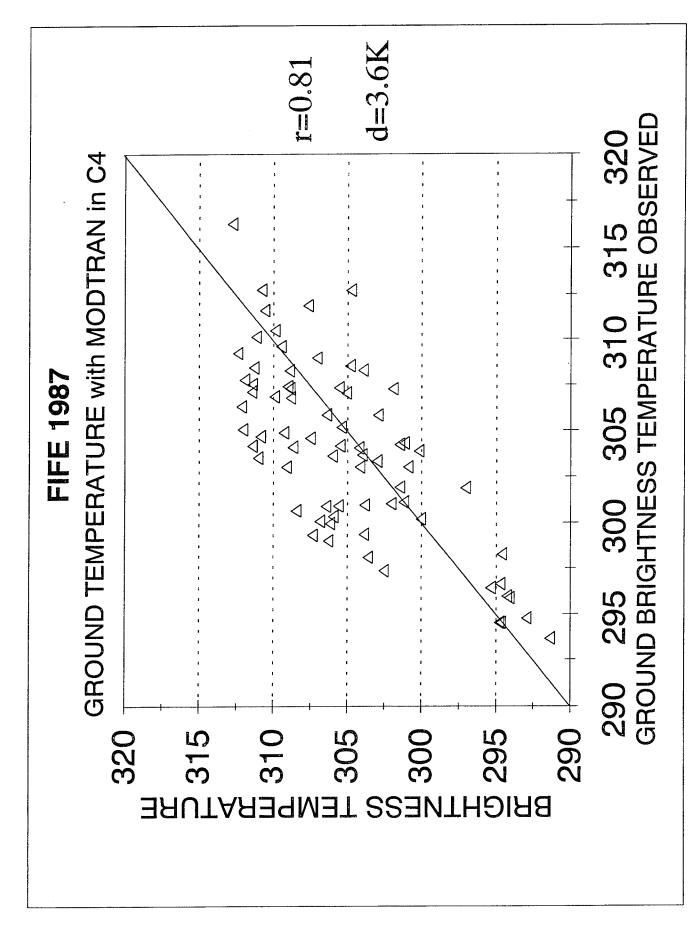


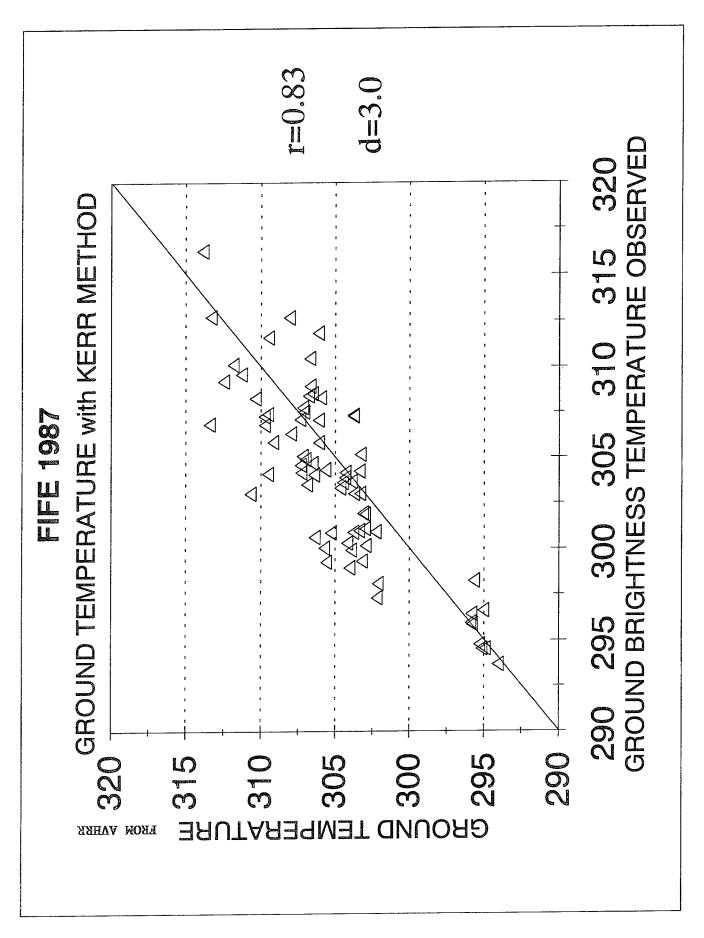












### CONCLUSIONS

Lowtran/Modtran appears to work reasonably well with concurrent radiosoundings of the atmosphere

- Differences ~ 2 to 3C with ground observations
  - even at large angles
- Modtran works slightly better

What are the causes of the remaining differences?

- Uncertainties in the profile determinations?
- or in the models??

#### AN URGENT NEED OF VALIDATING WATER VAPOR ABSORPTION COEFFICIENTS FOR THE DEVELOPMENT OF EOS'S EARTH SURFACE TEMPERATURE ALGORITHMS

Z. Wan, J. Dozier

Computer Systems Laboratory Center for Remote Sensing & Env. Optics Univ. of California Santa Barbara, CA 93106

In order to remotely measure surface temperature from space to an accuracy of 0.2 K for oceans and 1 K for land, as required by NASA's Earth Observing System (EOS), radiative transfer simulations should be accurate to tenths of a percent. Through "exponential-sum-fit" tables, the atmospheric transmission models in LOWTRAN and MODTRAN have been incorporated into accurate radiative transfer models based on adding/doubling or discrete-ordinate methods. Simulated cross-comparisons show that approximations used in LOWTRAN and MODTRAN can cause AVHRR band radiances to differ by as much as 1%, and the uncertainties caused by absorption by the water vapor continuum are larger. In combination with the water vapor band absorption coefficients in MODTRAN, with a finer spectral resolution and dependence on temperature and pressure, an exponential form of water vapor continuum absorption with a factor of 1.157 on the coefficient at 296 K used in LOWTRAN7 gave a better agreement between AVHRR data and simulations that were based on radiometric SST data and measured atmospheric temperature and humidity profiles. Accurate measurements and validations of water vapor absorption in a wide temperature range at a moderate spectral resolution, 5 cm<sup>-1</sup>, are recommended.

# A URGENT NEED OF VALIDATING WATER VAPOR ABSORPTION COEFFICIENTS FOR THE DEVELOPMENT OF EOS'S EARTH SURFACE TEMPERATURE ALGORITHMS

Zhengming Wan and Jeff Dozier

University of California, Santa Barbara, CA 93106, USA Computer Systems Laboratory / CRSEO

absorption by the water vapor continuum are larger. In combination with the water vapor accuracy of 0.2 °K for oceans and 1 °K for land, as required by NASA's Earth Observing System (EOS), radiative transfer simulations should be accurate to tenths of a percent. LOWTRAN7 gave a better agreement between AVHRR data and simulations that were comparisons show that approximations used in LOWTRAN and MODTRAN can cause based on radiometric SST data and measured atmospheric temperature and humidity profiles. Accurate measurements and validations of water vapor absorption in a wide AVHRR band radiances to differ by as much as 1%, and the uncertainties caused by LOWTRAN and MODTRAN have been incorporated into accurate radiative transfer models based on adding/doubling or discrete-ordinate methods. Simulated cross-Abstract — In order to remotely measure surface temperature from space to an temperature range at a moderate spectral resolution, 5 cm<sup>-1</sup>, are recommended. continuum absorption with a factor of 1.157 on the coefficient at 296 °K used in band absorption coefficients in MODTRAN, with a finer spectral resolution and dependence on temperature and pressure, an exponential form of water vapor Through "exponential-sum-fit" tables, the atmospheric transmission models in

### NTRODUCTION

- Accuracy requirements for the Earth surface temperature:
- SST of 0.3 °K specified by the International Tropical Ocean Global Atmosphere (TOGA) program for global numerical models of
- Earth Observing System (EOS) specified 0.2 °K for SST.
- Earth Observing System (EOS) specified 1 °K for LST in 270-340 °K.
- The accuracy of radiative transfer simulations is required better than 0.25% according to a simple error analysis of NOAA7 MCSST.
- $T_{ss} = 3.6125T_4 2.5779T_5 10.05 \rightarrow \delta T_{ss} = 6.19\delta T_b$  if  $\delta T_4 = \delta T_5 = \delta T_b$
- EOS MODIS and ASTER will provide an unique opportunity for LST, and give a challenge for the high accuracy of radiative transfer simulations
- at a higher spectral resolution (Table 1),
- need to check various approximations used in radiative transfer models,
- need more accurate water vapor absorption coefficients.

TABLE 1. Specifications of MODIS and ASTER thermal infrared bands for surface temperature

MODIS	center (µm)	width (µm)	NEAT (°K)	T <sub>max</sub> (°K)	ASTER	center (μm)	width (µm)	NEAT (°K)
20	3.75	0.18	0.05	335				
22	3.96	0.05	5.0.0	328	10	8.30	0.35	< 0.3
23	4.05	0.05	0.07	328	<del></del>	8.65	0.35	< 0.3
29	8.55	0.30 40	cui 10.05	324	12	9.10	0.35	< 0.3
31	11.03	0.50	0.05	324	13	10.6	0.70	< 0.3
32	12.02	0.50 35	35 cm 10.05	324	4	11.3	0.70	< 0.3

# COMPARISON BETWEEN ATMOSPHERIC RADIATIVE TRANSFER MODELS

- Radiative transfer models used in comparison:
- LOWTRAN 6 uses
- temperature-independent band model absorption coefficients C; for  $H_2O$ ,  $CO_2+$ , and for  $O_3$ , at spectral interval 5  $cm^{-1}$
- The transmission function is expressed as

$$\tau_i = \exp\{-C_i W_{ij}\}, \quad W_i = (\frac{P}{P_0})^2 n_i (\frac{T_0}{T})^{n_i} U_i.$$
 (1)

Approximations: two-stream method with single scattering.

## - LOWTRAN 7 uses

- (nominally sea level at 296 °K) for eleven atmospheric molecules temperature-independent band model absorption parameter  $C^{\prime}$ at spectral interval 5  $cm^{-1}$ .
- The transmission function expressed in the double exponential

$$\tau = \exp\{-(C W)^a\}, C = 10^{C'}, W = (\frac{P}{P_0})^m (\frac{T_0}{T})^n U.$$
 (6)

- updated water vapor continuum absorption.
- Approximations: two-stream method and a three-term Kdistribution multiple scattering parameterization.

## - MODTRAN uses

- cm<sup>-1</sup> bins from 0-17900 cm<sup>-1</sup> and at five temperatures from 200 band model parameters formulated from the HITRAN line atlas for twelve atmospheric molecules. They were calculated for 5 300 °K.
- The transmission function is based on a statistical model for a finite number of lines within the spectral bin, and is given by

$$\tau = (1 - \langle W_{S/} \rangle)^{< n > }.$$

- the same water vapor continuum absorption as in LOWTRAN 7.
  - Approximations: two-stream method, a multiple scattering approximation without K-distribution, the Curtis-Godson approximation for transmission, and the Beer's Law for calculation of layer optical depths.

#### ATRAD

- deals with accurate multiple scattering based on the interaction principle and adding/doubling method
- and uses an exponential-sum for each atmospheric molecular's exponential-sums for  $H_2O$ ,  $CO_2+$  and  $O_3$  are included in transmission function. All cross terms in the product of radiative transfer calculations.
- It has been validated with the discrete-ordinate method.

# Comparison between MODTRAN and LOWTRAN

and ASTER thermal bands for a land surface with  $\epsilon$  = 0.98 and at TABLE 2. The band transmission and brightness temperature of MODIS  $T_s = T_{air} = 299.7$  °K under tropical atmosphere with surface visibility 23 km at 0.55 µm and nadir viewing.

sensor band no.		$\Delta$ (transm $t_m - t_{17}$	ittance) $t_m - t_{16}$	band range $\Delta(\text{transmittance}) T_{mod} - T_{low7} T_{mod} - T_{low6}$ ( $\mu m$ ) $t_m - t_{l7} t_m - t_{l6}$ (°K)	$T_{mod}^- T_{low6}$
MODIS					
	3.660-3.840	+6.0%	-2.1%	0.77	0.48
	3.934-3.984	+1.9%	-1.3%	0.29	0.44
23	4.025-4.075	+3.7%	-2.0%	0.55	0.29
29	8.400-8.700	-0.7%	+1.2%	0.32	0.62
31	10.78-11.28	-0.1%	+7.7%	0.21	1.23
32	11.77-12.27	+2.7%	10.9%	0.65	1.47
ASTER					
<del>0</del>	8.125-8.475	-2.7%	-1.6%	0.65	0.74
	8.475-8.825	-0.4%	+0.8%	0.33	0.68
5	8.925-9.275	+1.3%	+9.8%	0.49	1.90
က	10.25-10.95	+1.0%	+9.6%	0.45	1.80
7	10.95-11.65	-0.6%	+6.8%	0.07	1.06

## Factor Analysis

TABLE 3. Comparison of molecular absorptions in different models with MODTRAN.

model	spectral	H <sub>2</sub> O-band	CO <sub>2</sub> +	03	H2O-cont
	1 cm-1	model 1	model 1	model 1	=model 4
U)	5 cm <sup>-1</sup>	≈model 1	≈model 5	≈model 4	=model 4
5	$5  cm^{-1}$	≈model 1	≈model 4	≈model 4	=model 4
5	5 cm <sup>-1</sup>	≈model 4	≈model 5	≈model 4	=model 4
2	5 cm <sup>-1</sup>	≈model 4	≈model 4	≈model 4	=model 4
5	5 cm <sup>-1</sup>	+, +	<b>+</b> . ∷	11	model 4
5	$5  cm^{-1}$	1 +	₩ + ₩	<b>11</b> _ +	+ ' ++

Note: (+, -) means that it is larger in NOAA-7 AVHRR channel 4 but smaller in channel 5.

*TABLE 4.* Quantitative comparisons between different models in NOAA7 AVHRR band brightness temperature and MCSST values simulated for tropical atmosphere, surface visibility 23 km at 0.55  $\mu$ m,  $\epsilon$  = 0.98,  $T_{ss}$  =  $T_{air}$  = 299.7 °K, viewing at 11.4 °.

no.		MODEL	<i>T</i> <sub>4</sub> (°K)		T <sub>e</sub> (°K	<del>5</del> )	T₄−7 (°K)	MCSST (°K)	MCSST - SST			
1	MC	DTRAN	295.4	34	293.5	509	1.925	300.57	+0.87			
2	ATR	RAD-MOD1	295.1	75	293.7	'60	1.415	298.99	-0.71			
2'	ATR	RAD-MOD2	295.1	17	293.5	31	1.586	299.37	-0.33			
3	ATF	RAD-LOW1	294.6	74	293.20		1.467	298.60	-1.10			
3'	ATF	RAD-LOW2	294.6	11	292.9	71	1.640	298.98	-0.72			
4	LOW	/TRAN7-MS	294.8	72	293.2	261	1.611	299.18	-0.52			
4'	LOW	TRAN7-SS	294.4	41	292.8	314	1.627	298.77	-0.93			
5	LC	WTRAN6	293.6	41	292.4	122	1.219	296.89	-2.81			
5'	LC	WTRAN6	_	_			n LOWTF					
			293.9	93	292.7	14	1.279	297.41	-2.29			
compare $\Delta T_4$ models (°K)			Δ <i>Τ<sub>5</sub></i> (°K)	$\Delta(T_4)$			MCSST (°K)	factors	<b>.</b>			
2' - 3' +0		+0.51	+0.56	-0.	0.05		-0.39	H <sub>2</sub> O band difference				
5'	5' - 5 +0.35		+0.29	+0.	0.06		-0.52	H <sub>2</sub> O-cont difference				
3,	3' - 3 -0.06		-0.24	+0.	).17 +		-0.38	CO <sub>2</sub> band difference				
4	4 - 4' +0.43		+0.45 -(		0.02		-0.41	multiple scattering				
3'	3' - 4 -0.26		-0.29	+0.	-0.03		0.20	overlap effect				
1 -	2	+0.26	-0.25	+0.	+0.51		-1.58	following effects in model 1				
		+0.02	+0.01	+0.	+0.01		-0.04	no k-distribution				
		+0.26	+0.29	-0.	03	4	-0.20	no overlap				
		+0.13	+0.42	-0.	.29		0.61	Curtis-Godson approx.				
		-0.15	-0.97 +		82	-i	-1.95	Beer's law & others				

SST data sets of Barton (Applied Optics, pp. 2929-2934, 1991). Comparison between Simulations and SST Measurements.

TABLE 5. Sea surface temperature measurements data sets.

t elev. (km)	1.39 0.28 3.39 1.09 0.32	0.00	0.00 2.73 8.60 9.40 1.86 5.09
RH <sub>max</sub> at elev. (%) (km)	95 76 60 93 88 85	94	95 91 98 105 91
RHs (%)	56 70 58 40 72	94	95 70 62 59 82
T <sub>a</sub> (°C)	9.75 13.65 15.05 21.35 20.65	15.55	29.85 22.25 30.25 26.35 28.35
wind speed knots/direction	18/080 2/275	15/090	8/150 3/240 4/160 13/270 9/280 17/175
satellite T <sub>4</sub> T <sub>5</sub>	8.7 12.1 12.6 16.2 17.1	13.6	17.7 12.6 16.0 21.4 20.7 15.1
sate T <sub>4</sub>	9.6 13.7 13.6 17.5 18.1	14.6	19.9 15.6 19.1 22.5 17.9
SST (°C)	12.6 18.0 15.6 20.7 20.3	16.1	26.7 28.4 29.2 27.3 27.1 26.9
zenith angle	33° 59° 27° 16° 49°	30° 30°	50° 65° 36° 5° 43°
Long. (°E)	147.92 151.22 150.35 153.53 153.90	148.12	153.50 156.53 154.93 151.75 150.75
Lat. (°S)	40.77 40.77 34.88 36.57 32.90 31.58	38.80 38.67 9)	18.42 15.57 13.37 13.03 13.53
data date lid. d/m/y (	05/07/84 08/08/84 08/08/84 07/10/84 12/10/84	d07 01/12/84 d08 02/12/84 Coral Sea (NOAA-9)	25/10/85 28/10/85 29/10/85 31/10/85 31/10/85 04/11/85
data id.	d02 d02 d04 d05	d07 d08 Coral (	d10 d12 d13 d13

# Comparison with radiative transfer simulations

TABLE 6. RT simulation results compared with SST measurements.

model MCSST – SST (°C)	sat. MCSST - SST = $0.45^{\circ}C$	-0.10	-0.02	60.0	0.11	sat. MCSST - SST = -1.20 $^{\circ}$ C	-0.79	99.0-	-0.46	-0.58	sat. MCSST - SST = -4.21°C}	-3.72	-3.55	-3.02	-3.38	sat. MCSST - SST = $0.45^{\circ}C$	-0.21	60.0-	0.05	-0.02
model $T_5 - T_5$ (°C)		-0.20	0.30	0.18	0.07		0.47	0.93	0.84	0.31		0.66	1.09	0.58	-0.28		0.54	96.0	0.92	0.55
model $T_4 - T_4$ (°C)	$SST = 15.6^{\circ}C$ ,	-0.29	0.08	0.03	-0.05	$SST = 26.7^{\circ}C,$	0.23	09.0	0.59	0.16	cm, SST = $28.4^{\circ}$ C,	0.61	0.97	0.75	0.05	$SST = 27.1^{\circ}C$ ,	0.25	0.56	0.56	0.28
water vapor absorption Ind continuum	data id. = d03, precip. water = 1.33 cm, SST :	lowtran7	lowtran7	exp. form	1.157 × exp. form	data id. = d09, precip. water = 4.13 cm, SST = 26.7°C,	lowtran7	lowtran7	exp. form	1.157 × exp. form	data id. = d10, precip. water = 4.01 cm,	lowtran7	lowtran7	exp. form	1.157 × exp. form	{ data id. = d13, precip. water = $3.40 \text{ cm}$ , SST = $27.1^{\circ}$ C,	lowtran7	lowtran7	exp. form	1.157 × exp. form
water vapo	{ data id. = d03, pr	exptbl (lowtran7)	exptbl (modtran)	exptbl (modtran)	exptbl (modtran)	{ data id. = d09, pr	exptbl (lowtran7)	exptbl (modtran)	exptbl (modtran)	exptbl (modtran)	{ data id. = d10, pr	exptbl (lowtran7)	exptbl (modtran)	exptbl (modtran)	exptbl (modtran)	{ data id. = d13, pr	exptbl (lowtran7)	exptbl (modtran)	exptbl (modtran)	exptbl (modtran)

### — Notes:

- The sea-surface emissivity model (Masuda et al., 1988) has been used.
- "exptbl(lowtran7)" means the exponential-sum-fitting table from the transmission values used in LOWTRAN7.
- "exp. form" means the exponential form of the  $H_2O$  self-broading cofficient  $In C_s^0 = \Theta/T + constant$  based on the coefficient at 296 °K in LOWTRAN7, and data of Burch and Gryvnak (1980).

# STAUS OF VALIDATION OF WATER VAPOR ABSORPTION COEFFICIENTS

- Atmospheric CVF transmissometer measurements at low spectral resolutions (2-6% of the wavelength).
- Kneizys et al., 1984
- Oppenheim & Lipson, 1985
- Atmospheric transmission measurements in the 2.8-5.5 μm region with Fourier interferometric transmissometer.
- Theriault et al., 1990

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# RECOMMENDATIONS ON VALIDATION OF WATER VAPOR ABSORPTION

- At a moderate spectral resolution, 5 cm<sup>-1</sup>.
- For a wide temperature range from 240 to 330 °K.
- The desirable accuracy of absorption coefficients, 5%.
- Quantitative results (Table 4).

Wan & Dozier, UCSB - 10

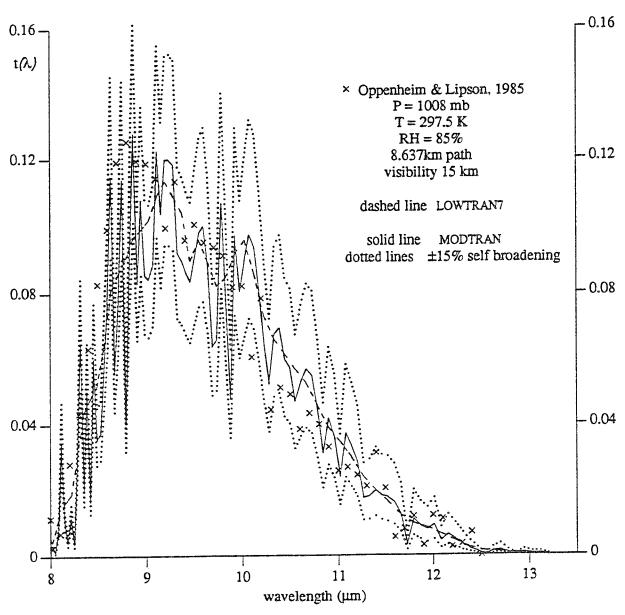
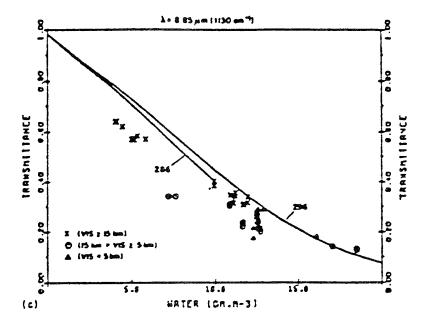
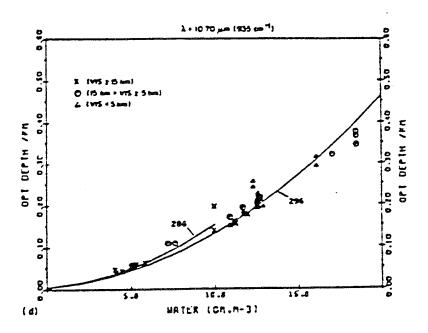


Figure 4. Comparison between atmospheric transmission data and RT simulations.



(c) 8.85-µm Filter, Transmittance Scale, All Data



(d) 10.70-µm Filter, Optical Depth/km Scale, All Data

Figure 15. Measured Transmittances (Symbols) and LOWTRAN Calculations (Solid Lines) at 286 and 296K for the 8- to 12-µm Region and the 8-km Path

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Kneizys et al, 1984 (ADA154218)

### ANALYSIS OF CLOUD-TOP HEIGHT AND RELATED CLOUD PARAMETERS FROM SATELLITES USING THE O<sub>2</sub> A AND B BANDS

A. Kuze, K. Chance

Harvard-Smithsonian Ctr. for Astrophysics Atomic & Molecular Physics Div. 60 Garden Street Cambridge, MA 02138

Cloud height and cloud coverage detection are important for total ozone retrieval using ultraviolet scattered light. Use of the O<sub>2</sub> A and B bands, around 762 and 688 nm, make it possible to detect both cloud top height and cloud percentage. The measured values of a space borne high resolution spectrometer are convolutions of the instrument slit function and the atmospheric transmittance between cloud top and satellite. Optical thicknesses between the satellite orbit and each height are calculated with high accuracy using FASCODE3P. Cloud parameters are determined by least-squares fitting. A grid-search method is used to search the parameter space of cloud top height and percentage to minimize the variance. For this search, nonlinearity of atmospheric transmittance is important. Using the above-mentioned method, operational cloud detection is possible with minimal computation time. Measurement of clouds and atmospheric trace gases in the same IFOV are also possible.

### Analysis of Cloud-top Height and Related Cloud Parameters from Satellites Using the O<sub>2</sub> A and B Bands

June 8, 1993

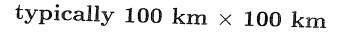
### AKIHIKO KUZE AND KELLY V. CHANCE

 $Harvard\text{-}Smithsonian\ Center\ for\ Astrophysics$ 

### IFOV of Satellite Borne High Resolution Spectrometer

### IFOV of Radiometer

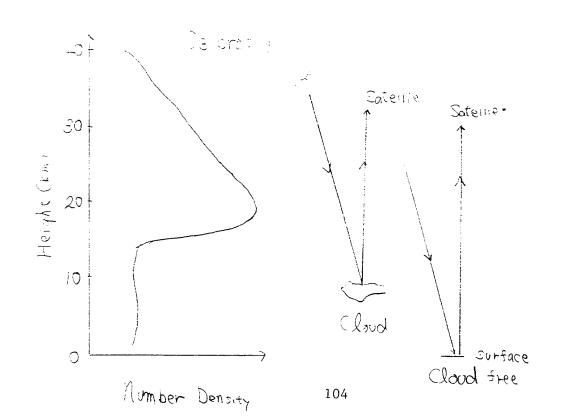






typically 100 m  $\times$  100 m

### Ozone Profile and Cloud Effect on Measurement



### GOME and SCIAMACHY Project

Satellite Borne High Resolution Spectrometer

Orbit: Sun-Synchronous

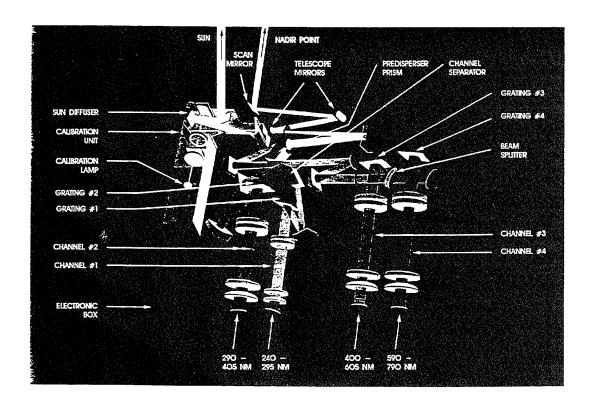
Altitude: 800 km

**Nadir Looking** 

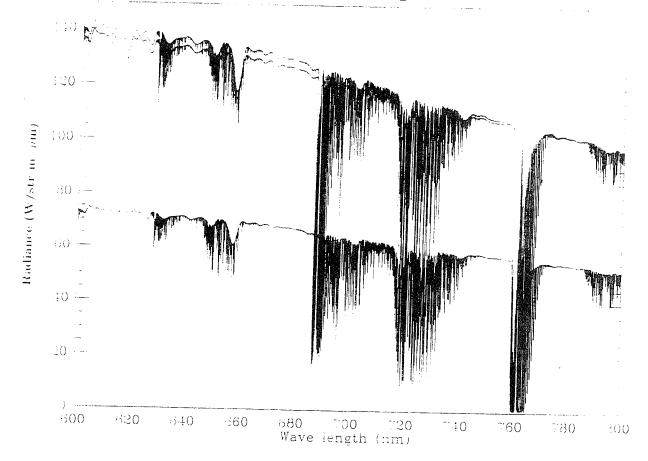
Solar Backscattering Radiance Observation

IFOV:  $320 \text{ km} \times 40 \text{ km}$ 

Spectral Coverage: 240 - 790 nm Spectral Resolution: 0.1 - 0.2 nm



### Measured Radiance and O2 Absorption



### Radiative Transfer in the O<sub>2</sub> Absorption Region

$$I(j) = \sum_{i=1}^{N} \alpha_{ij} r_i \int f_j(\nu) F(\nu) exp(-s\tau(\nu, h_i)) \frac{d\nu}{\Delta \nu} + \beta_j (1 - \sum_{i=1}^{N} r_i) \int f_j(\nu) F(\nu) exp(-s\tau(\nu, 0)) \frac{d\nu}{\Delta \nu} ,$$

 $\alpha_{ij}$ : the cloud top reflectivity

 $\beta_i$ : the earth's surface diffusive albedo

 $\tau(\nu,h)$  : the optical depth between cloud top and satellite

(proportional to total O2 column amount above cloud top)

 $f_j(\nu)$ : the slit function of channel

 $F(\nu)$ : the solar spectrum

 $r_i$ : the coverage of type i cloud

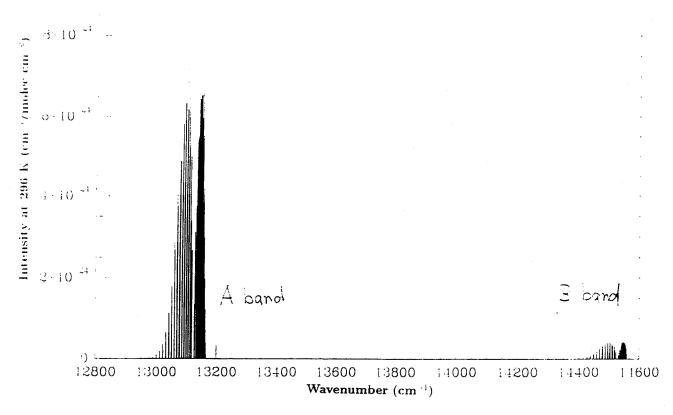
 $h_i$ : the top height of type i cloud

 $\Delta \nu$ : FWHM of the instrument

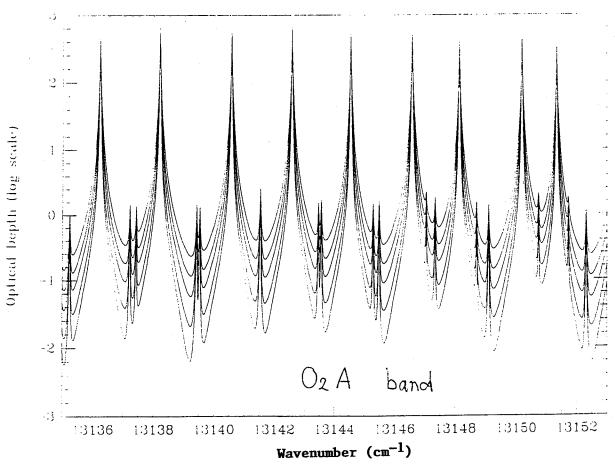
 $O_2$  Absorption

O<sub>2</sub> Total Amount above Clouds

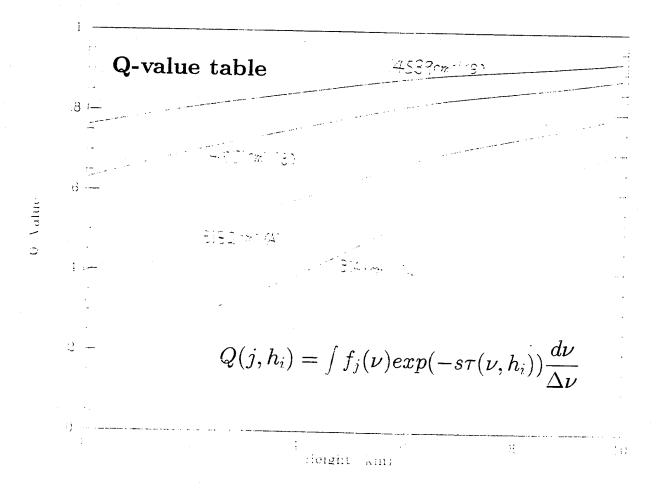
Clouds Top Estimation



### Calculated Optical Depth by FASCODE3



### Algorithm

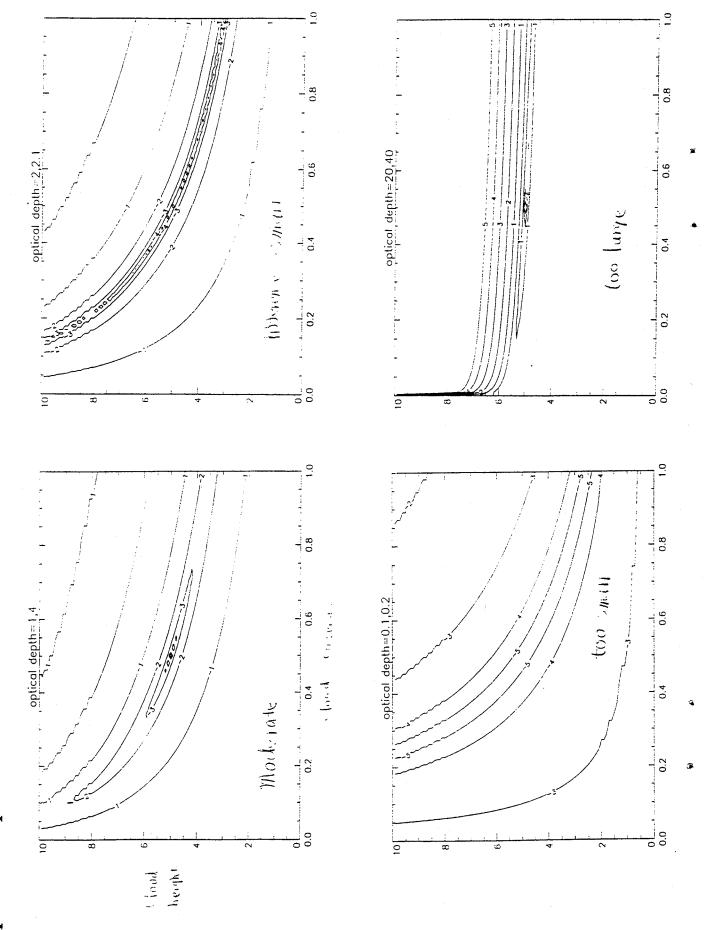


### $\chi^2$ Grid Search Height vs Cloud Coverage

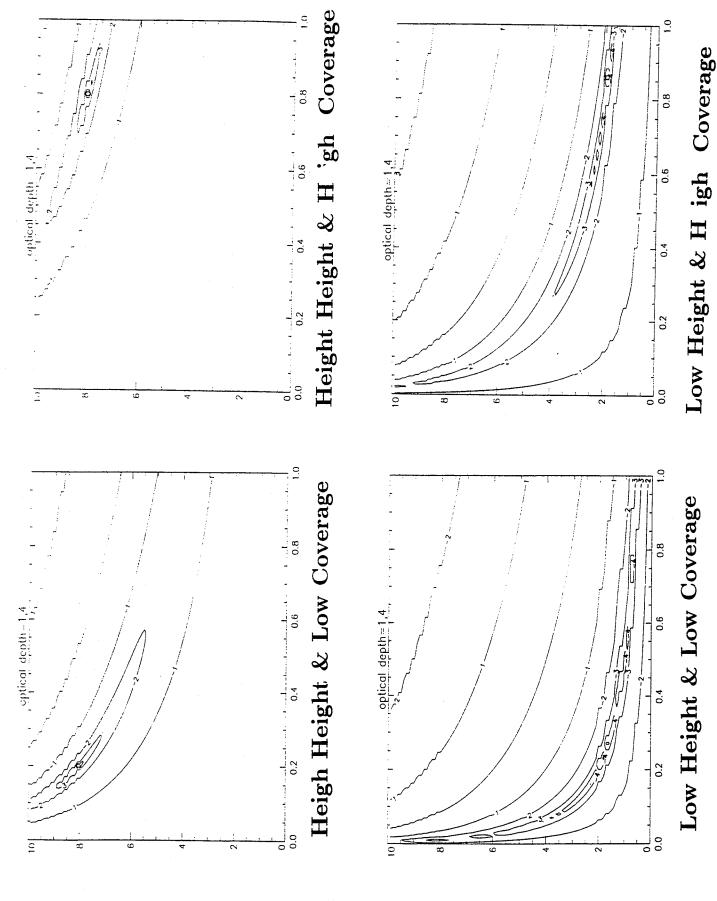
$$\chi^2 = \sum_{j=1}^{M} \left(\frac{R_{obs}(j, h_i, \alpha_{ij}r_i) - R_{calc}(j, h_i, \alpha_{ij}r_i)}{R_{obs}(j, h_i, \alpha_{ij}r_i)}\right)^2 / M$$

$$R(j, h_i, lpha_{ij} r_i) = rac{I(j)}{F(
u)} = \sum_{i=1}^N lpha_{ij} r_i Q(j, h_i) + eta_j (1 - \sum_{i=1}^N r_i) Q(j, 0)$$
 $= \sum_{i=1}^N lpha_{ij} r_i Q(j, h_i) + (\gamma_j - \sum_{i=1}^N lpha_{ij} r_i) Q(j, 0)$ 

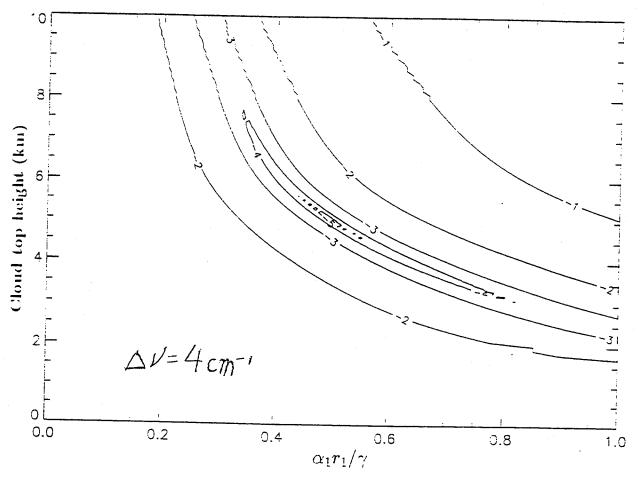
Height and Coverage Detection Optical Depth Effect

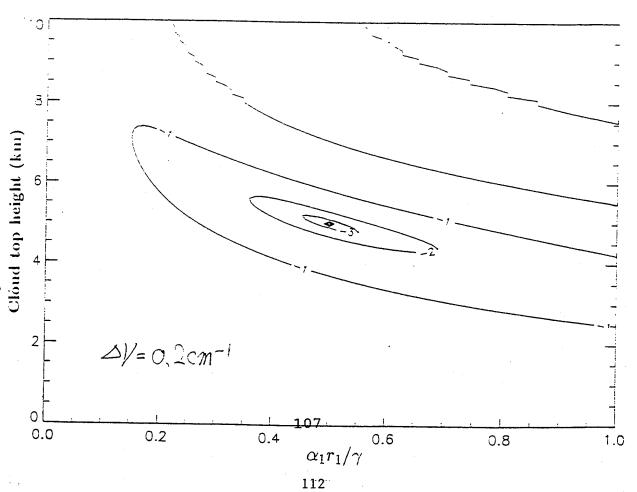


Height and Coverage Detection

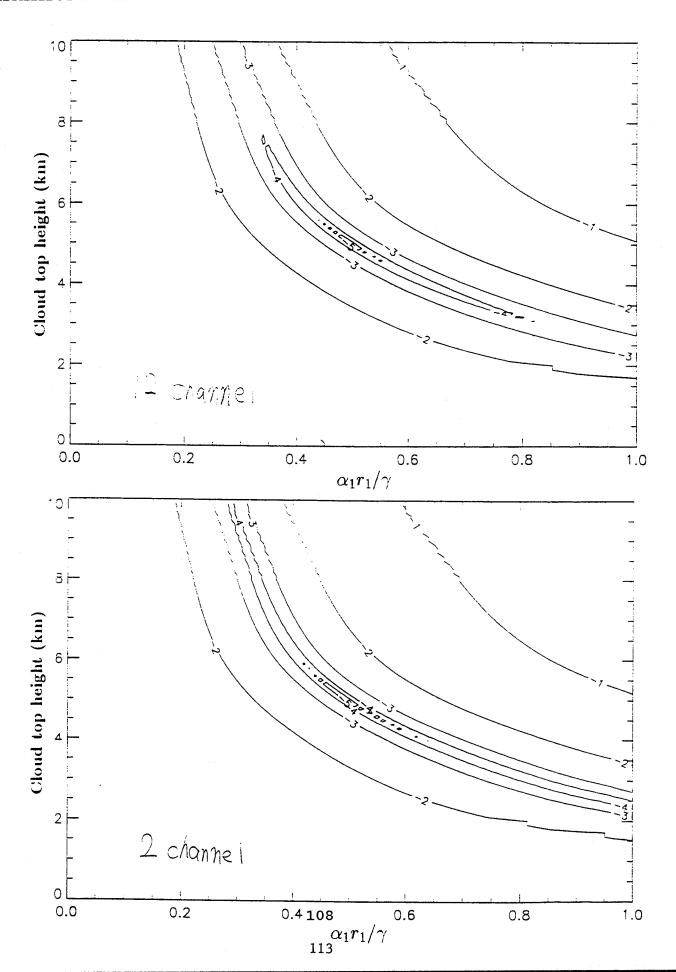


### Height and Coverage Detection Spectral Resolution Effect

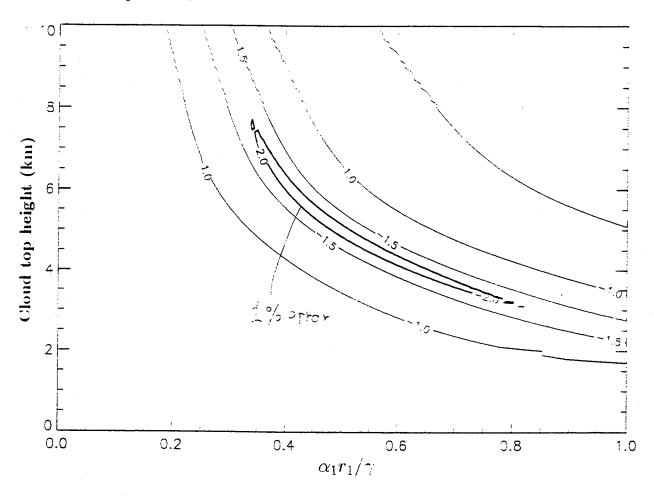




### Channel Number Effect



### **Accuracy Requirements**



Error Analysis Measurement Error

Model Calculation Error
Scattering
Earth Albedo Data
Spectral Band Database
Intensity and Line Width
Slit Function

### Conclusion

### Characteristic of this Method

Both Cloud Top Height and Coverage Detection with Minimal Computation Time in Moderate Accuracy

**Further Studies** 

Spectral Band Database
Earth Surface Albedo Information

### THE USE OF SPACE BASED REMOTE SENSING FOR ESTIMATION OF THE METHANE MIXING RATIO IN THE MIXING LAYER

### P. Ashcroft

Dept. of Engineering & Public Policy Carnegie Mellon University Pittsburg, PA 15213

This investigation explores the limits of space based remote sensing for methane characterization, and the extent to which those limits are affected by uncertainty in other atmospheric attributes. Central to this analysis is the fact that the attribute considered, (enhancement of the methane mixing ratio in the mixing layer), is not directly observable, but must be obtained through inversion of the spatially integrated signal received by the instrument. MODTRAN is used to simulate atmospheric transmission in the neighborhood of the  $3.3\mu$ methane absorption band. This signal is then inverted to determine the importance of ancillary

information, (e.g., the vertical profile of H<sub>2</sub>O and temperature), for methane retrieval. Thus, this research provides quantitative description of the importance of simultaneous observation of methane with other atmospheric conditions, and the relative utility of space based observation

relative to other measurement methods.

## Remote Sensing Policy

Peter Ashcroft

Department of Engineering and Public Policy

Carnegie Mellon University

## **Examples of Remote Sensing** Policy Questions:

- What phenomena should be observed?
- What spatial, temporal, and spectral resolution is necessary or desirable?
- · Simultaneity What observations must be made simultaneously?
- How should space based observations best be integrated with observations by other mechanisms and from other platforms?

### Methane Characterization Case Study: Atmospheric

- Methane is a radiatively active trace gas in the atmosphere.
- Sources are not well characterized.
- enhancement is expected to be most pronounced in the Methane is produced at the Earth surface, hence mixing layer, and less at higher altitudes.
- Long atmospheric lifetime, (8-10 years).
- 1% change in the total vertical column would be unusual. Local enhancement due to sources is small.

## Specific Question

- To what extent does uncertainty about the water profile limit the accuracy of methane retrieval?
- · Consider an ideal, nadir-viewing instrument.
- Model the atmosphere as three homogeneous layers for both methane and water.

## Analytic Approach

- · Simulate with MODTRAN the solar flux received by the instrument for various water and methane enhancement scenarios.
- Using simulated results, obtain a linear expression for flux as a function of the water column and methane
- Use various filter functions to generate signals.
- Use inversion algorithm to estimate methane profile based on simulated signals.
- to uncertainty in the water column, but assuming that there This is the "Best Case" for methane retrieval subject are no other sources of uncertainty.

# Assumptions for this Case Study

- Methane enhancement is most pronounced in the mixing layer.
- Water enhancement is in all three layers
- Temperature profile is known exactly
- · No clouds
- Solar irradiance is known exactly
- Earth albedo is known exactly

### Linearized Inversion of Total Methane and Water Column

S=Mm

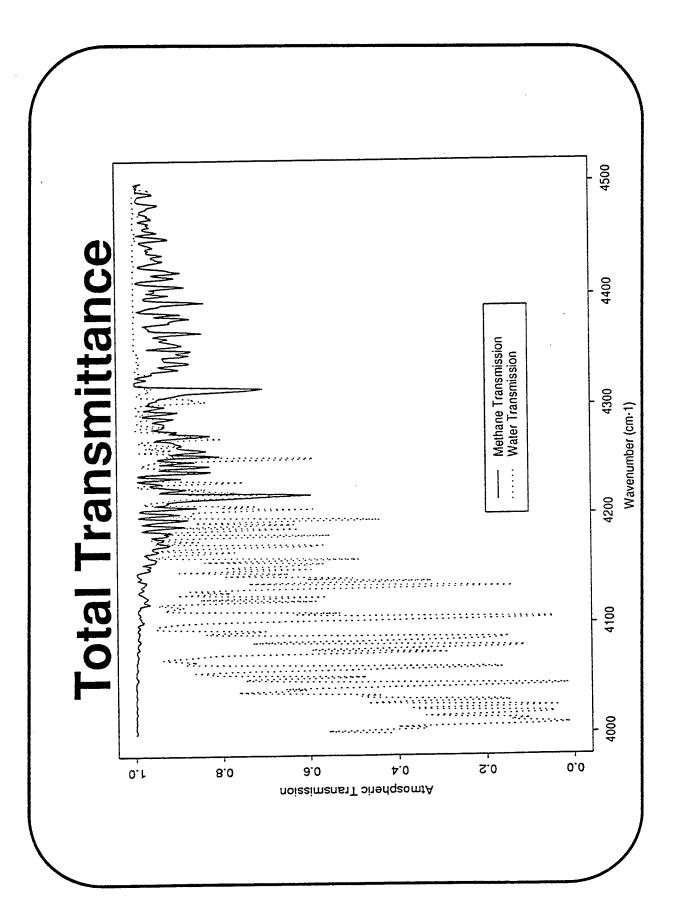
$$S_o = \int d\nu \ I(\nu) \ T^2(\nu)$$

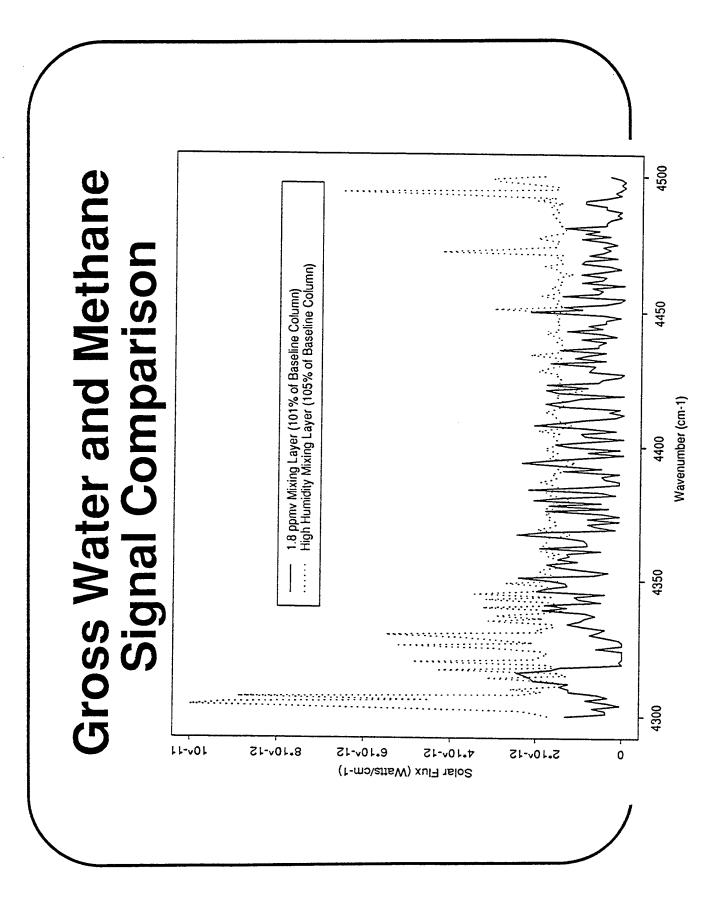
$$= \int d\nu \ I(\nu) \ T_o^2(\nu) - 2 \ \stackrel{?}{\sim} m; \int d\nu \ I(\nu) \ T_o^2(\nu) \ k_m; (\nu)$$

$$\stackrel{=}{\sim} \int d\nu \ L(\nu) \ T_o^2(\nu) - 2 \ \stackrel{?}{\sim} m; \int d\nu \ I(\nu) \ T_o^2(\nu) \ k_m; (\nu)$$

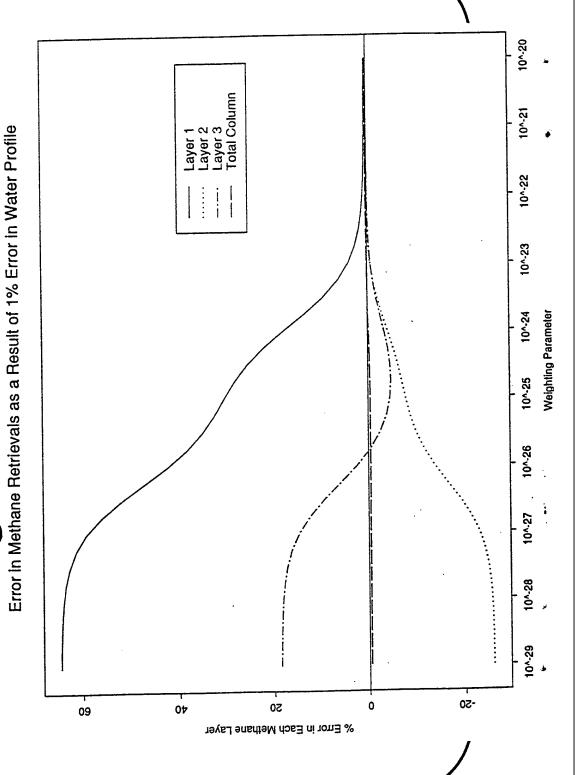
>

F(V) "

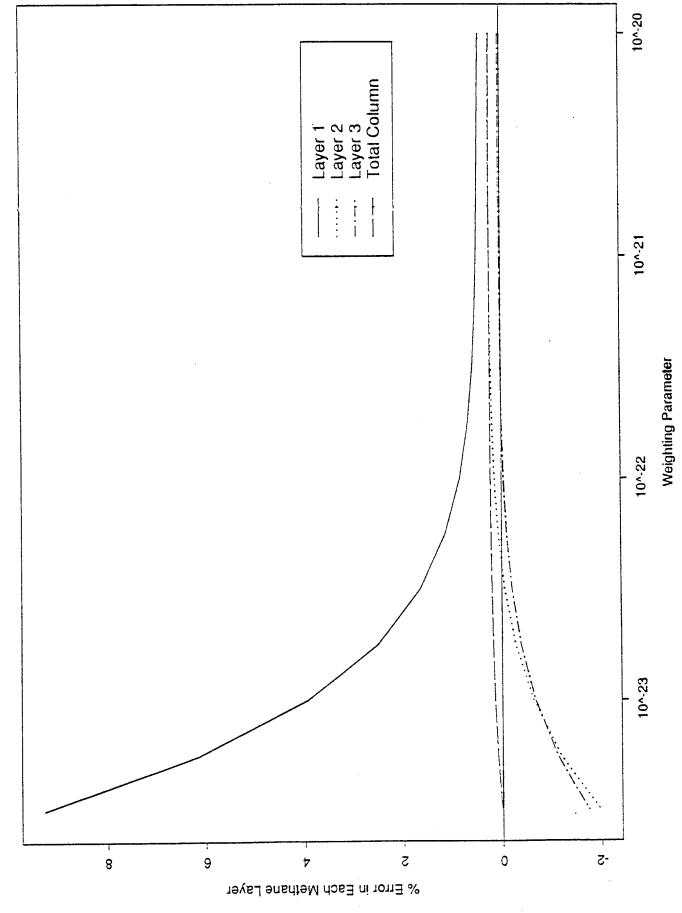


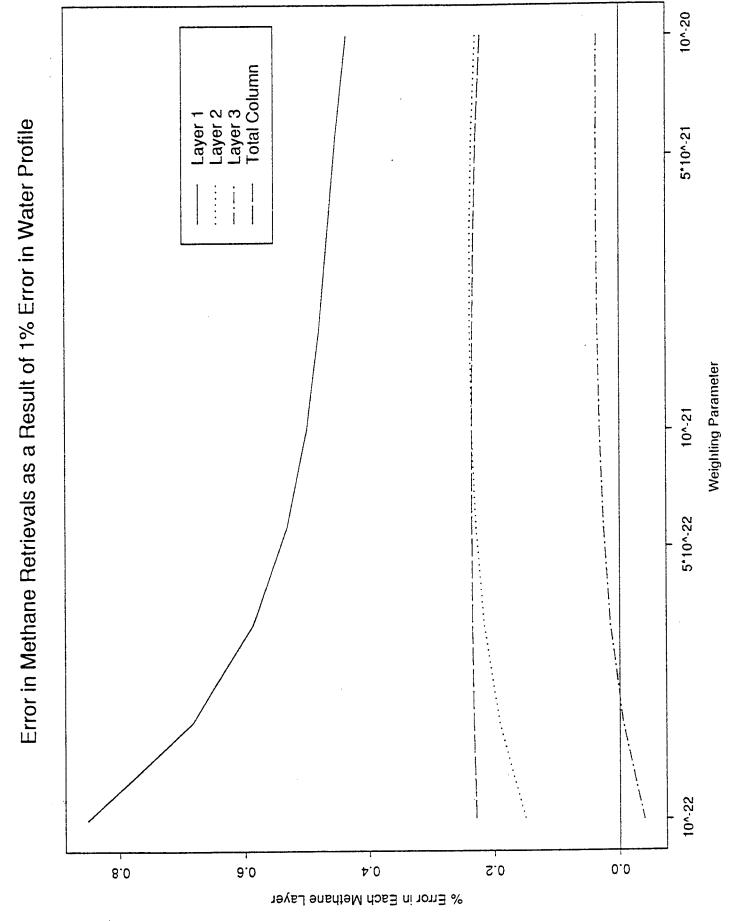


# Error in Methane Retrievals Resulting from 1% Water Error



Error in Methane Retrievals as a Result of 1% Error in Water Profile





### Conclusions

- Any signal due to methane enhancement is extremely small.
- Even in areas of minimal water absorption, the expected gross signal due to variations in water exceeds that of methane unless some spectral filtering is performed.
- methane measuring instruments may critically threaten Separating the water measuring instruments from the the ability to measure methane at all.

### **Future work**

- Confirm water and methane variances.
- · Better justify choice of weighting parameter.
- Incorporate temperature uncertainty.
- Include instrument noise limitations (using specifications of actual instrument).
- Calculate water and methane overlap more accurately using HITRAN.

## Open Questions

- Is this a reasonable approach to assessing instrument performance?
- I had trouble specifying the methane profile. Why?
- How will HITRAN results compare to these?
- What problems should I anticipate in implementation?

# A CORRELATED K-DISTRIBUTION MODEL OF THE ATMOSPHERIC HEATING RATES FOR OVERLAPPING SPECTRA OF CO<sub>2</sub>/H<sub>2</sub>O AND CH<sub>4</sub>/N<sub>2</sub>O

# ALLEN S. GROSSMAN KEITH E. GRANT

Global Climate Research Division Lawrence Livermore National Laboratory

The problem of overlapping the spectra of CO<sub>2</sub> and H<sub>2</sub>O in the 0-2500 cm<sup>-1</sup> wavenumber region has been considered using a correlated k-distribution model for the transmission in the atmosphere between 0 and 60 km. Individual k-distributions for the two gases have been combined using an overlapping algorithm to produce k-distributions for the mixture of CO<sub>2</sub> plus H<sub>2</sub>O. A prototype radiative transfer model has been used to calculate atmospheric fluxes and heating rates. The heating rates for the overlapped mixture agree to better than eight percent with those calculated for a single pre-combined mixture of CO<sub>2</sub> and H<sub>2</sub>O.

# Atmospheric Heating Rates for Overlapping A Correlated K-distribution Model of the Spectra of CO<sub>2</sub>/H<sub>2</sub>O and CH<sub>4</sub>/N<sub>2</sub>O



Allen S. Grossman
Keith E. Grant
Lawrence Livermore National Laboratory
Global Climate Research Division

Presentation at the Annual Revue Conference on Atmospheric Transmission Models Hanscom Air Force Base, MA

June 8-9, 1993



- 1. Review of Correlated K-Distribution Model
- . Results For Single Molecule Calculations
- 3. Theory Of Overlapping K-Distributions
- 4. Results Of Overlapping Calculations



• If  $\oint_{V} (\epsilon_{v}) \sim$  constant over  $\Delta v$ , a transmission model can be developed

For the case of a nonhomogeneous atmospheric path



transmission expression, a different strategy will be adopted. instead of dealing with the structured k,vs v function in the Define the opacity distribution function over the band  $\Delta V$ , f(k)dk = fraction of the frequency domain occupied by absorption coefficients between k and k + dk.

It can be shown that the transmission Lis

Define the cumulative probility

and it can also be shown that



The transmission expression

can be approximated as

where the at 's are the integration weights.

- The prototype calculations for CH4 and N2O had 201 opacity bins and thus a maximum of 201 k(g)-g values. The 201 g values are equally spaced between 0 and 1. A 43 point variable spaced trapazoidal integration routine was chosen for the calculations presented here.
- The number of points required depends on the altitude range. For example, only ten points were needed to reproduce fluxes and heating rates accurately at altitudes below  $\sim$  20km.



Can the k-distribution method be extended to a nonhomogeneous atmospheric path. Is

equivalent to

- Essentially this involves a mapping of the  $k_{\nu}$  vs  $\nu$  relation into a  $k_{\rm a}$  vs  $\beta$ relation and the mapping must be unique for all the layers for the two expressions to be equivalent.
- For the case  $k_{y_0} = k_{y_0} f_{\lambda}(T_{\lambda}, \mathcal{A})$ , the expressions are equivalent(strong pressure broadened lines).
- ORDER TERMS [ & ROLLY]" CONTAIN (ROLLY)" TERMS "weak line limit", where only the linear terms are kept, the two expressions If the exponential terms are expanded and the integrals are compared term by term, a more detailed equivalence test can be made. In the are equivalent I CIUK

ARE EQUIVALENT

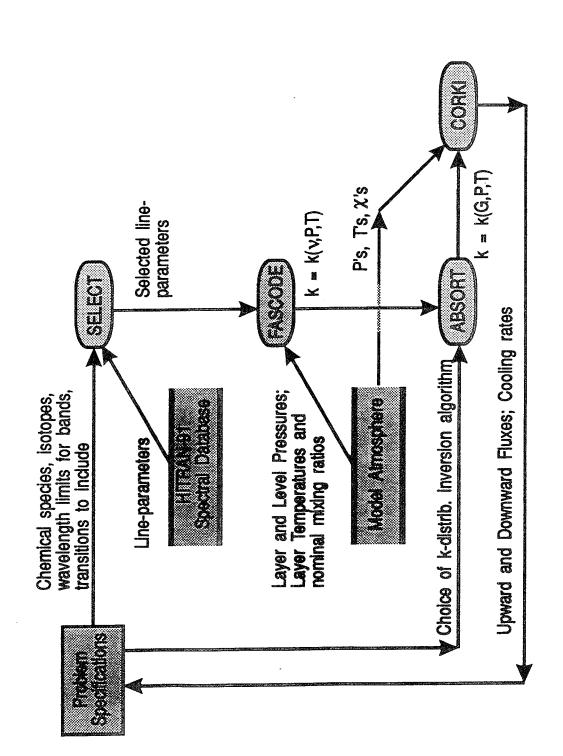


For the k-distribution method to be valid:

- 1. A particular value of g must select the same  $\nu$  's layer to layer,i.e., the opacity-frequency relations are exactly correlated.
- The frequency ordering of the opacity groups must be preserved layer to layer.
- Since major contributions to the flux in a frequency band come from relatively small regions of the atmosphere, the correlated-k method should be very accurate.
- The method cannot be rigorously shown to be valid for all conditions and its use under all circumstances must be accompanied by appropiate numerical tests.
- computational economy in transmission calculations to warrant such The monotonic nature of the k-distributions can provide sufficient

# Structure of the C-K Model Generation Process







# **MODEL ATMOSPHERE**

The model atmosphere used is the McClatchey mid-latitude, summer model.

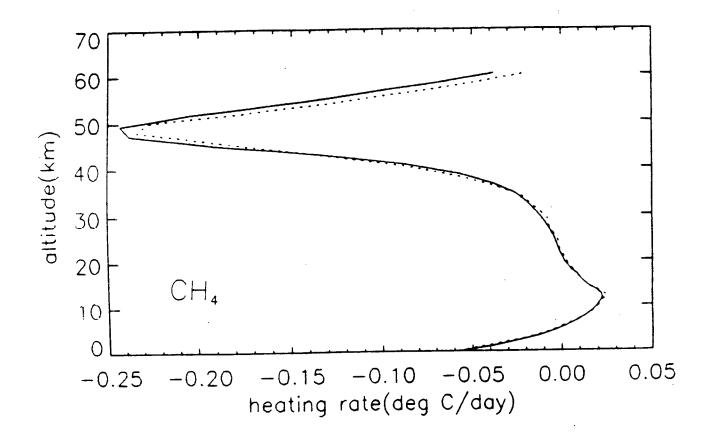
The altitude resolution is;

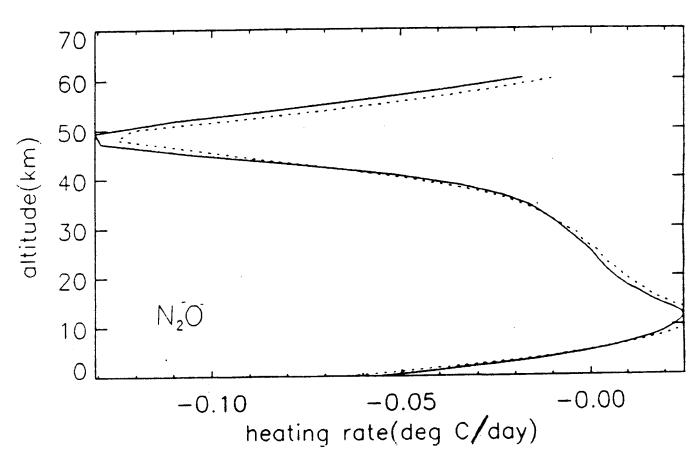
1km 0-20km altitude 2km 20-40km altitude

The ground temperature is 294K

The CH4 mixing ratio is 1.75 ppm - constant with altitude

The N2O mixing ratio is 0.31 ppm - constant with altitude

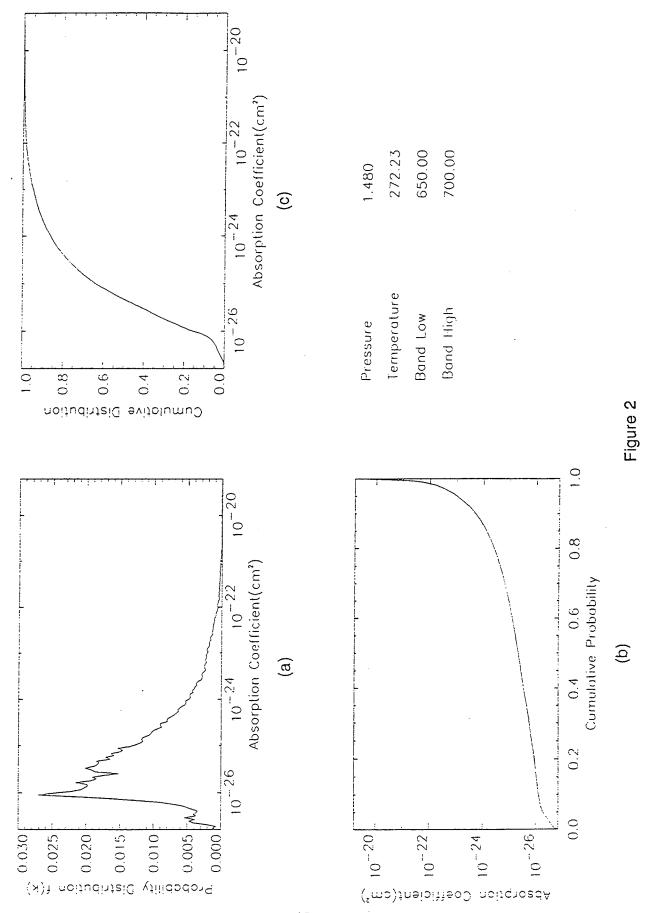




# H<sub>2</sub>O and CO<sub>2</sub> model parameters



- Abundances
- co<sub>2</sub>
- 300 ppm, constant with altitude
- H,0
- As specified in MLS model, variable with altitude
- Wave number intervals
- CO<sub>2</sub>
- 550-850 cm<sup>-1</sup>, 40 cm<sup>-1</sup> intervals, 15 micron band
- 840–1200 cm<sup>-1</sup>, 40 cm<sup>-1</sup> intervals, 10 micron band
- 2000–2520 cm<sup>-1</sup>, 40 cm<sup>-1</sup> intervals, 4.3 micron band
- 0 H 1
- 0-2500 cm<sup>-1</sup>, 25 cm<sup>-1</sup> intervals



(),/

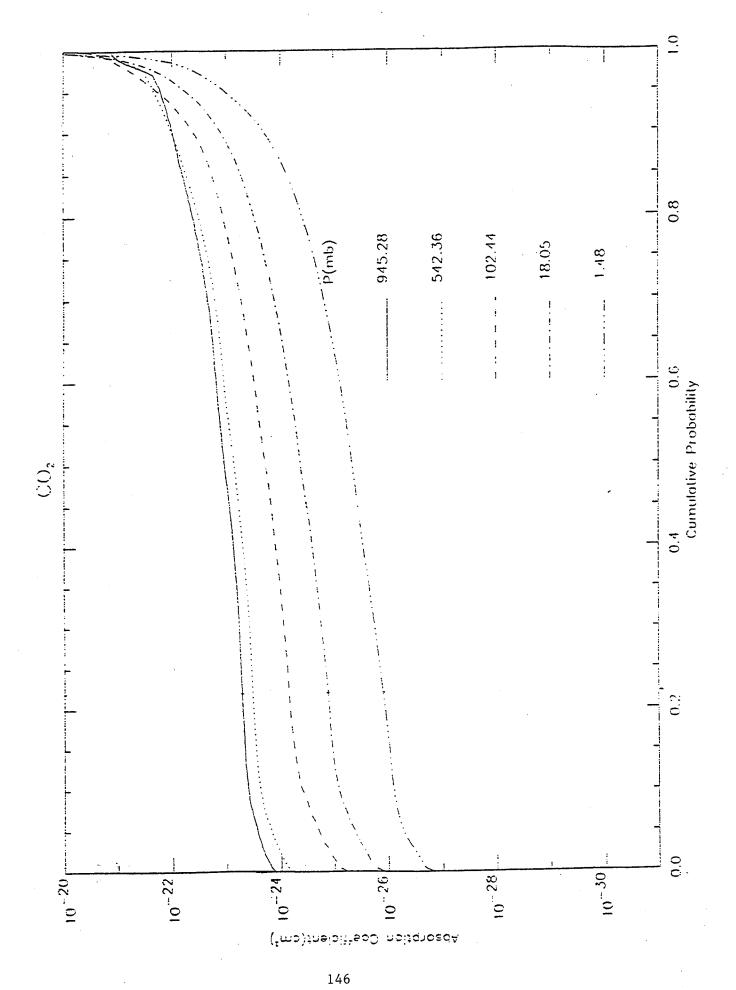
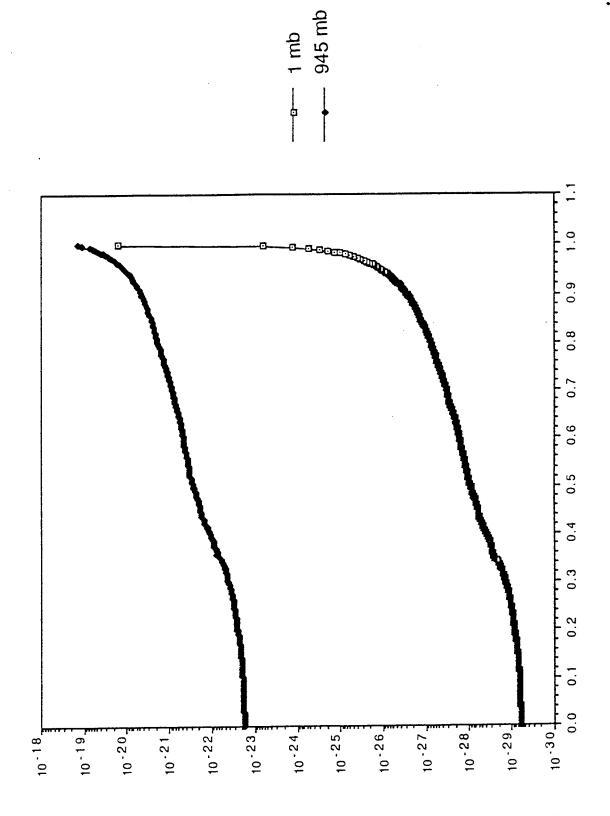


Figure 3

-6 -4 -2 heating rate(deg C/day) 20 30 xflux up (W/m²) (d) -8 altitude(km) oltitude(km) flux down (W/m²) 120 130 flux up (W/m²) (a) (၁) Mon Jun 28 10:51:57 1992 altitude(km) altitude(km)

CO<sub>2</sub> 50 points 15 micron



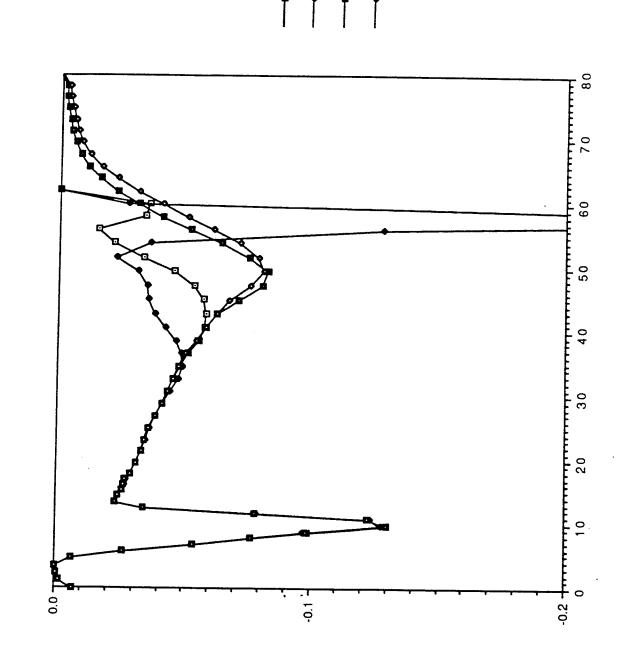
Probability Cumulative

Figure 1

148

Coefficient(cm^2)

noitq102dA



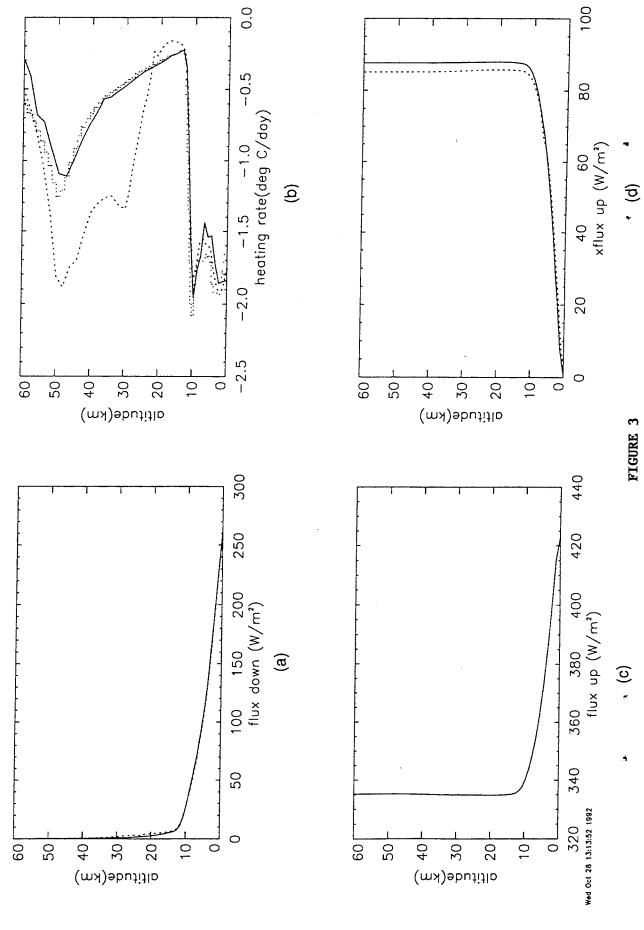
Curve 2 60, 201 Curve 3 80, 401 Curve 4 80, 500

ALTITUDE(KM)

Figure 2

HEATING

RATE(degC/DAY)



# OVERLAP MODEL

Consider a spectral region over which two gases have overlapping but uncorrelated spectra. According to G1, the opacity distribution function for the combined gas can be written as,

$$F_{12}(\tau)d\tau = f_{12}(k)dk$$
,

where  $\tau$  is the optical depth defined by the relation,  $d\tau = mdk$ , k is the absorption coefficient, and m is the column density of the mixture;

$$F_{12}(\tau) = f_{12}(k)/m$$
.

Assuming that the spectra of each gas is independent of the other, a separate opacity distribution function can be written for each component;

$$F_1(\tau_1) = f_1(k_1)/m_1$$
,  $F_2(\tau_2) = f_2(k_2)/m_2$ ,

$$\tau = \tau_1 + \tau_2 = k_1/m_1 + k_2/m_2.$$

G1 gives an expression for the distribution function for the combined mixture in terms of the individual distributions;  $dF_{12}(\tau) = F_2(\tau_2)F_1(\tau - \tau_2)d\tau_2$ 

 $ar_{12}(1) = r_2(r_2)r_1(1 - r_2)ar_2$   $r_2(r_2) = r_2(r_2)r_1(1 - r_2)ar_2$ 

and

$$F_{12}(\tau) = \int_{0}^{\tau} F_{2}(\tau_{2}) F_{1}(\tau - \tau_{2}) d\tau_{2}.$$

G1 states that there were numerical difficulties using the above expression for the combined distribution function and provides, without proof, an alternate expression giving the combined cumulative probability function  $G_{12}(\tau)$  in terms of the individual gas cumulative probability  $G_{12}(\tau) = \int_o^\tau G_1 \left(\tau - \tau_2\right) dG_2 \left(\tau_2\right)$  , functions  $G_1(\tau_1)$  and  $G_2(\tau_2)$ ;

$$G_{12}(\tau) = \int_{o}^{\tau} G_{1}(\tau - \tau_{2}) dG_{2}(\tau_{2}) ,$$

$$G(\tau) = \int_{o}^{\tau} F(\tau') d\tau' .$$

The derivation of Eq. 4 can be accomplished as follows. The form of Eq. 4 in terms of the distribution functions  $F_1(\tau_1)$ ,  $F_2(\tau_2)$ , and the general expression, Eq. 5, is

$$G_{12}(\tau) = \int_{o}^{\tau} F_{12}(\tau') d\tau'$$

$$G_{12}(\tau) = \int_{o}^{\tau} \int_{o}^{\tau'} F_{1}(\tau' - \tau_{2}) F_{2}(\tau_{2}) d\tau_{2} d\tau'.$$

From Eq. 5 the expression  $dG_2$  ( $\tau_2$ ) is

$$dG_2(\tau_2) = F_2(\tau_2)d\tau_2.$$

Let

$$F_1(\tau'-\tau_2)F_2(\tau_2)=\mathcal{F}(\tau',\tau_2),$$

and

$$G_{12}(\tau) = \int_{0}^{\tau} \int_{0}^{\tau'} \mathcal{F}(\tau', \tau_2) d\tau_2 d\tau' = \int_{0}^{\tau'} F_{12}(\tau') d\tau'. \tag{7}$$

Using the Iterated Integral Theorum for interchanging the integration limits, the following expression can be obtained;

$$\int_{o}^{\tau} \int_{o}^{\tau'} \mathcal{F}(\tau', \tau_2) d\tau_2 d\tau' = \int_{o}^{\tau} \int_{\tau_2}^{\tau} \mathcal{F}(\tau', \tau_2) d\tau' d\tau_2$$

$$= \int_{o}^{\tau} \int_{\tau_2}^{\tau} F_1(\tau' - \tau_2) d\tau' F_2(\tau_2) d\tau_2. \tag{8}$$

Examination of the term

$$\int_{\tau_2}^{\tau} F_1(\tau' - \tau_2) d\tau', \tag{9}$$

in Eq. 8, by itself, reveals that the transformation

$$u = \tau' - \tau_2,$$

$$du = d\tau'$$

$$u = \tau - \tau_2, \ \tau' = \tau$$

$$u = o, \ \tau' = \tau_2$$

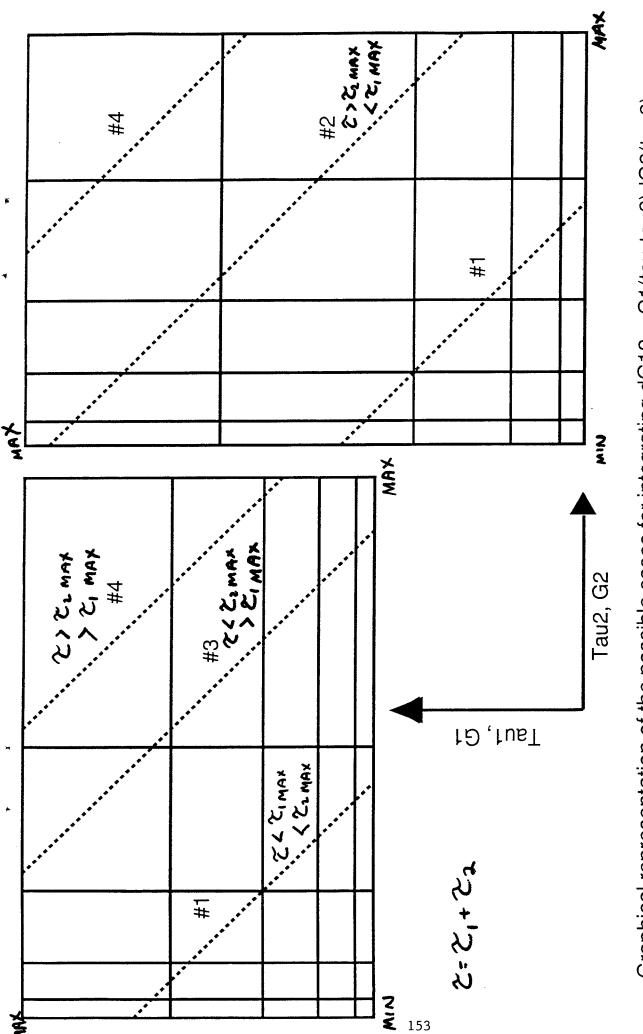
can be made (  $\tau_2$  is treated as a constant). Thus Eq. 9 becomes

$$\int_{0}^{\tau-\tau_{2}} F_{1}(u) du = G_{1}(u) = G_{1}(\tau-\tau_{2})$$

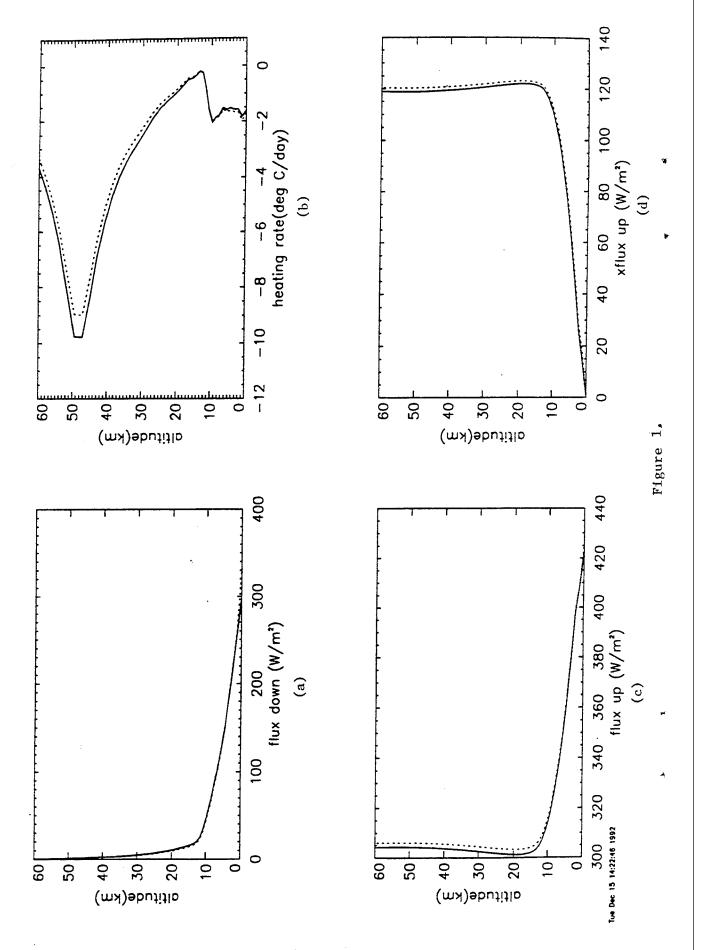
and

$$\int_{o}^{\tau} F_{2}(\tau_{2}) d\tau_{2} G_{1}(\tau - \tau_{2}) = \int_{o}^{\tau} G_{1}(\tau - \tau_{2}) dG(\tau_{2})$$

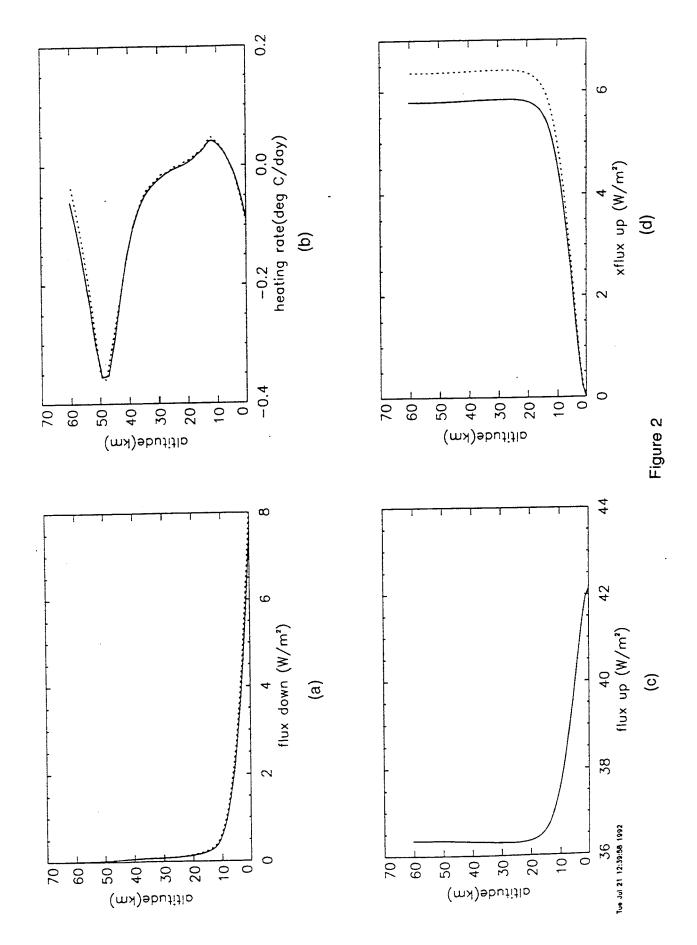
$$= G_{12}(\tau).$$
(10)



Graphical representation of the possible sample pair of values (G12(tau), tau) for overlapping over the interval 0 to tau. This yields a single pair of values (G12(tau), tau) for overlapping Graphical representation of the possible cases for integrating dG12 = G1(tau-tau2)dG2(tau2) two gases in the correlated-k formulation of gaseous absorption bands. The tau are shown in tau-space. The actual integration must be done in G-space.







# Tuesday 8 June 1993 p.m.

# SESSION B: RADIATIVE TRANSFER CODE DEVELOPMENT

Chair: William A.M. Blumberg, PL/GPOS

## FASCODE/MODTRAN: VALIDATION AND APPLICATIONS

<sup>1</sup>G.P. Anderson, J.H. Chetwynd, F.X. Kneizys\*

<sup>2</sup>A. Berk, L.S. Bernstein, D.C. Robertson, P. Acharya

<sup>3</sup>J.-M. Theriault, <sup>4</sup>L.W. Abreu, <sup>5</sup>S.A. Clough, J.L. Moncet

<sup>1</sup>PL/Geophysics Directorate 29 Randolph Road Hanscom AFB, MA 01731-3010 <sup>2</sup>Spectral Sciences, Inc. 99 South Bedford St. Burlington, MA 01830

<sup>3</sup>DREV-Defence Research Establishment Valcartier P.O. Box 8800 Quebec, Canada, GOA 1RO <sup>4</sup>ONTAR Corp. 129 University Road Brookline, MA 02146

<sup>5</sup>Atmospheric And Environmental Research, Inc. Cambridge, MA 02139

(\* Retired)

During the previous year the merit of MODTRAN2 has been demonstrated, particularly through comparisons with both measured interferometric data and line-by-line (LBL) flux divergence (cooling rate) calculations. MODTRAN2 differs from MODTRAN in three major areas: (1) its spectral data bases (based on a two-parameter band model) are derived from HITRAN92 rather than the '86 version; (2) the line-of-sight geometry routines have been modified to eliminate sets of errors; and (3) the radiance algorithm has been upgraded to respond appropriately to optically thick layers. This latter correction is similar to those suggested by Clough et al. (1992) and Cornette (1992) for FASCODE and LOWTRAN, respectively.

The current and future directions of the DoD radiance-transmittance codes remain robust. For instance, DOE is collaborating on a new effort to bring about convergence between the DOE FASCODE-based code (LBLTRN) and FASCOD3P, fostering commonality in vectorization, continua, non-LTE, laser, line-of-sight and flux divergence applications. In addition, the cross section capabilities (IR CFC's) from FASCODE are being incorporated into MODTRAN2, and the development of joint FASCODE/MODTRAN inversion algorithms are being explored.

# ATMOSPHERIC TRANSMISSION MODELING ANNUAL REVIEW CONFERENCE

# 8 JUNE 1993 GEOPHYSICS DIRECTORATE/PHILLIPS LABORATORY

FASCODE/MODTRAN: Validation and Applications

G.P. Anderson, J.H. Chetwynd, F.X. Kneizys Geophysics Directorate/PL

A. Berk, L.S. Bernstein, D.C. Robertson, P. Acharya Spectral Sciences Inc.

J.-M. Theriault DREV/Defence Research Establishment Valcartier

L.W. Abreu ONTAR, Inc.

S.A. Clough, J.-L. Moncet Atmospheric and Environmental Research, Inc.

# **HISTORY**

# DoD Plan for Atmospheric Transmission Research and Development

# AIR FORCE

- o Maintain DoD Standard Atmospheric Optical/IR models: (LOWTRAN), MODTRAN, FASCODE, HITRAN Database
- o Publish and Brief Model Updates
- o Conduct Annual Tri-Service Review
- o Measure and Model Propagation Effects of the Free Atmsophere

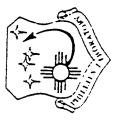
# **ARMY**

- o Study Battlefield Conditions
- o Develop Models of Dust, Smoke, Chemicals, Propagation, and Diffusion Effects

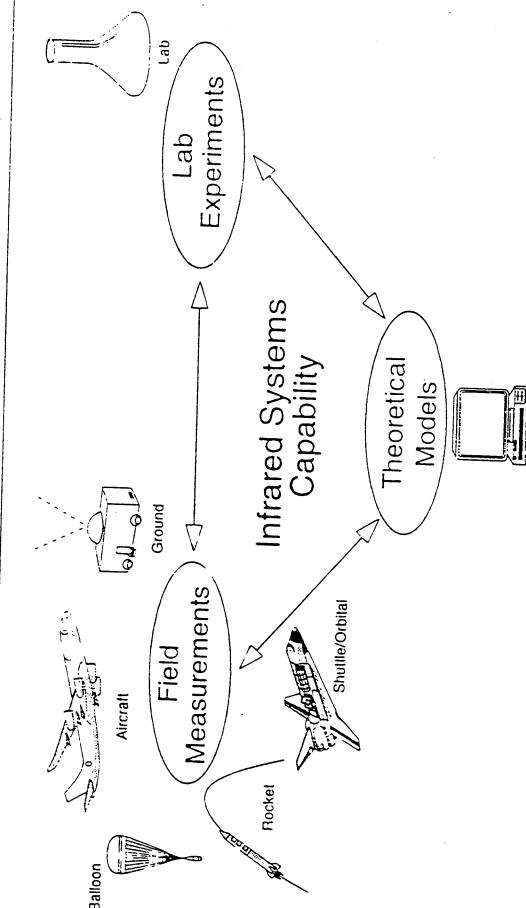
# **NAVY**

- o Develop Models for Marine Environment
- o Measure/Model Atmospheric Propagation

Theoretical



# OPTICAL ENVIRONMENT DIVISION







# CODES



LOWTRAN/MODTRAN: LTE TRANSMISSION AND RADIANCE CODES GENERALLY APPLICABLE BELOW 40km ALTITUDE

A RESEARCH CODE FOR UPPER ATMOSPHERIC RADIANCE ARC (ATMOSPHERIC RADIANCE CODE):

AARC (AURORAL ATMOSPHERIC RADIANCE CODE): EXTENDS ARC TO AURORA

AN NLTE CODE, GENERALLY USED ABOVE 50km ALTITUDE A TRUE USER CODE SHARC:

A DATA BASE TO COMBINE LTE AND NLTE REGIMES FAUST:

SHARC AND MODTRAN MERGED SAMM: A LINE-BY-LINE CODE-HIGHLY ACCURATE BUT MUCH SLOWER-REQUIRED FOR LASER PROPAGATION FASCODE:

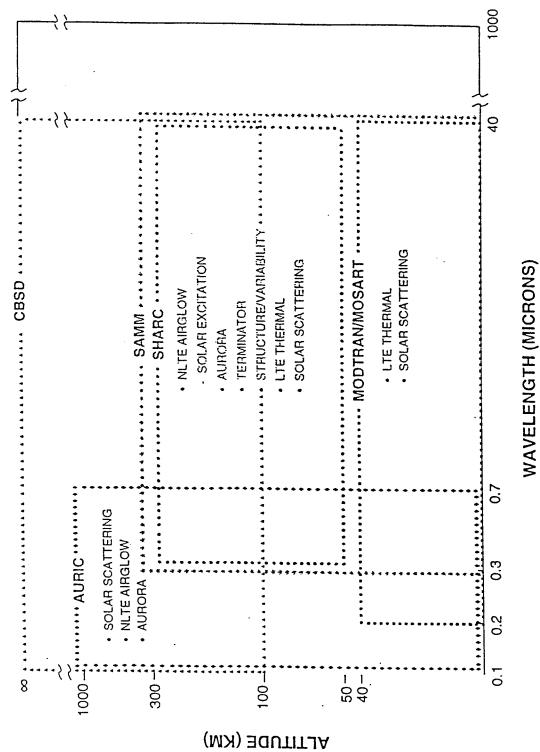
COMBINES MODTRAN WITH APART (A PROPRIETARY CODE) TO PROVIDE AN ATMOSPHERIC CODE WITH GROUND RADIANCE EFFECTS MOSART:

CBSD: CELESTIAL BACKGROUND SCENE DESCRIPTOR – TWO DIMENSIONAL

PLEXUS: (PHILLIPS LABORATORY EXPERT UNIFIED SIMULATOR):
• COMBINES ALL OF THE ABOVE INTO A USER FRIENDLY FORMAT
• PROVIDES ARCHITECTURE FOR 2-D CODES



# PL/GP OPTICAL BACKGROUND CODES COVERAGE CAPABILITY



# The Problem: RADIATIVE TRANSFER

- 1. The Atmosphere as a contaminant for E/O Systems
- 2. The Atmosphere as a signature source for natural variability

Solutions: DEFINITIONS

- 1. State variables (T, p,  $\mu_i$ , Cld, Aer) along line-of-sight
- 2. Spectral Characteristics of the Path Variables
- 3. Viewing Geometry
- 4. E/O System Characteristics (Spectral Range & Resolution, Platform, Objective)

Solutions: OPTIMIZATION

- 1. Efficient Mathematical Algorithms (Line-by-Line)
- 2. Accurate Band Model Options
- 3. User Friendly
- 4. Validation/Documentation

Solutions: DATA ANALYSIS

- 1. Information Theory
- 2. Inversion Algorithm Development
- 3. Ground Truth
- 4. Validation and Error Estimation

# **DEFINITIONS**

 $\kappa_i$  = absorption cross section, related to molecular properties, pressure (p), temperature ( $\theta$ )

 $\eta_i$  = column amount of absorbing (i'th) species =  $\int n_o ds$ 

ds = path increment;  $n_o$  = volumn density

 $\tau_i$  = optical depth =  $\kappa_i \, \hat{\eta}_i$ 

 $T_i = transmittance = exp(-\tau_i)$ 

 $T_{mol}$  = total molecular transmittance =  $\P T_i = T_1 \cdot T_2 \cdot T_3 \cdot T_4 \cdot \dots$ 

 $T_T = total \ transmittance = T_{mol} \cdot T_{continua} \cdot T_{scat} \cdot T_{aerosol}$ 

 $B(\theta)$  = Planck Function for temperature  $\theta$ 

 $\pi \mathcal{F} = \text{Solar (Lunar) Source Function}$ 

€ = Non-LTE Source Term

 $W = \text{Weighting Function} = (d\Gamma_T/ds)$ 

# **OPERATIVE EQUATION:**

 $\Re$  = Thermal Radiance =  $\int B(\theta) dT_T = \int B(\theta) (dT_T/ds) ds$ 

# AND FOR A SINGLE LAYER:

$$\Re = \int B(\theta) dT_T = B(\theta) [1-T_T]$$

# PAGE 2

and, finally, combining thermal, solar, and non-LTE sources with multiple scattering,

one can replace the Planck source function with a more general source function:

$$B(\theta) \Rightarrow J(\tau, \zeta)$$

 $J(\tau,\zeta)$  = general source function dependent on optical depth  $(\tau)$  and viewing geometry ( $\zeta$  = zenith & azimuth cosines)

$$= (\omega_{\circ}/4\pi) \, \pi \mathcal{F} \, \mathrm{T}(\zeta) \, \wp \qquad \qquad [\mathrm{SOLAR}]$$
 
$$+ \, [\mathrm{1-}\omega_{\circ}] \, \mathrm{B}(\theta) \qquad \qquad [\mathrm{THERMAL}]$$
 
$$+ \, \mathscr{E} \qquad \qquad [\mathrm{N-LTE}]$$
 
$$+ \, J_{MS} \qquad [\mathrm{MUL.SCAT.}]$$

where:

 $\omega_{\rm o} = \text{single scattering albedo} = \tau_{\rm s} / (\tau_{\rm s} + \tau_{\rm a})$ 

 $T(\zeta)$  = transmittance from top of atmosphere to layer

 $\wp$  = scattering phase function

 $J_{MS}$  = m.s. for both solar and thermal terms (complicated)

and other terms are as previously defined!!

# ATMOSPHERIC STATE VARIABLES

# MOLECULAR, PARTICULATE, THERMAL PROFILES

Some thoughts on the subject:

 $\Re$  = Thermal Radiance =  $\int B(\theta) dT_T$ 

- 1. First Order Impact on Radiance Accuracy:
  - $\theta(z)$  = temperature profile
  - $B(\theta) = "driving" Planck Function$
- 2. Second Order Impact on Radiance Accuracy:
  - $\mu(z)$  = mixing ratio profiles
  - d(z) = atmospheric density profile
  - $\kappa(z)$  = molecular absorption coef. ( $\theta$  and p)
  - $T(z) = \exp(-\Sigma \tau) = \exp(-\Sigma \kappa \mu(z)d(z) \Delta z)$

# Given that:

o the molecular spectroscopy is "in hand", although always requiring "investment" in order to stay state-of-the-art,

## And:

o the LARGEST error sources in the forward calculations are the descriptions of the "state variables", e.g. temperature, pressure, mixing ratio, and particulate (aerosol and hydrometeor) profiles,

## Then:

- o why work so hard on code accuracy?
- o why continue the "investment" in "state-of-the-art" spectroscopy?
- o what is the end benefit?

# ANSWERS (from a neutral observer!)

# \* VALIDATION for Signatures

- 1. targets/pollutants against backgroundsestimate of variability estimate of go/nogo estimate of true/false; reduce false ID incorporate "target signatures"
- 2. atmospheric specification local and/or global "weather" atmospheric contaminants become signatures
- 3. higher resolution spectroscopy with high spectral accuracy may minimize confusion, increasing signal/noise for both contaminants and state specification.

# \* REAL-TIME ANALYSIS with speed

However:

"LBL" is slow, slow, slow!!!

2. Band Models (pragmatic, expedient parameterizations) trail "LBL" in "state-of-the-art", but they are the codes of the NOW and FUTURE for issues and analyses with compatible spectral resolution!



## DoD Spectral Radiance Transmittance Codes



Develop, maintain, continuously improve, and transition atmospheric radiance and transmittance codes

Common elements of LOWTRAN, MODTRAN, and FASCODE Ultraviolet to microwave (.2 $\mu$  to  $\sim$  or 0-50000 cm-1)

Default molecular profiles

Default aerosol, cloud, rain, fog descriptions

Solar/lunar source spectra

Single and multiple scattering

Flexible spherical geometry package for arbitrary lines-of-sight

Fransmittance, radiance, weighting functions, etc.

nstrumental convolution functions (scanning & filter)



## Characteristics of MODTRAN2 and FASCOD3

MODTRAN2:

**Embeds LOWTRAN** 

2 cm-1 resolution

Local Thermodynamic Equilibrium ONLY

Upper Altitude Limit – 60km (LTE)

HITRAN92 compatibility

Applications: Plume, Background, E/O Design

Inversion Algorithm, Data Analysis

FASCODE: (FASCOD3)

"Exact" spectral resolution, dictated by Voigt line shape at highest altitude

Optimized layering and line shape functions

Full CFC cross-section compatibility

HITRAN92 compatibility

Non-LTE capability (in conjunction with SHARC)

No solar capability

Applications: Laser, Lidar, E/O Design, Plume

Inversion Algorithm, Data Analysis

### **MODTRAN ASSUMPTIONS:**

• No overlap between species:

e.g.: 
$$T(total) = T(H2O) \cdot T(CO2) \cdot T(O3) \cdot T(CH4) \cdot ...$$

• Line strength temperature dependence can be interpolated:

e.g.: 
$$S_i(\theta) = \mathcal{F}(\theta_n)$$
 for  $n = 200,225,250,275,300$ K

- Voigt line shape is appropriate, based on "path weighted" pe.g.:  $\mathcal{L}(p,\nu)$  = line shape function where  $\alpha_{\nu}(p)$  = constant
- Line contributions falling outside of bin ( $\Delta \nu = 1$  cm<sup>-1</sup>) but within  $\pm 25$  cm<sup>-1</sup> can be adequately described:

e.g.: "special MODTRAN continua"

• Two band model parameters are sufficient:

e.g.: 
$$S/d$$
 = average line strength in bin =  $(1/\Delta \nu) \Sigma S_i$   
 $n$  = ave. # of lines in bin  
=  $1/d$  =  $(1/\Delta \nu) (\Sigma \sqrt{S_i})^2 / \Sigma (S_i^2)$ 

### MODTRAN2 Band Model Equations:

$$T(\text{total}) = \text{Total Transmittance}$$
  
=  $\Pi_i T_i = T(\text{H2O}) \cdot T(\text{CO2}) \cdot T(\text{O3}) \cdot T(\text{CH4}) \cdot \dots$ 

and 
$$T_i = \{(2/\Delta \nu)_0 \int_0^{\Delta \nu/2} \exp[-S_{\nu} \bar{\mathbf{u}}_i \mathcal{L}(p,\nu)] d\nu\}^n$$

where:

 $\Delta \nu = 1 \text{ cm}^{-1} \text{ bin}$ 

 $S_{\nu} = (S/d) / (1/d) = absorption coef./density param.$ 

 $\bar{\mathbf{u}}_{i}$  = path column am't of ith species

 $\mathcal{L}(p,\nu)$  = line shape function where p = path pressure

 $n = (1/d) \Delta \nu \sim ave. \# of lines in bin$ 

### CONTRAST: Line-by-Line vs. Band Model

### FASCODE Transmittance: (LBL)

$$\sum k(\ell,\nu,i) \ n(\ell,i) = \sum \tau(\ell,\nu,i) = \sum \text{ optical depth}(i) \text{ in layer } \ell$$

$$T(\nu) = \exp[-\sum \sum k(\ell, \nu, i) \ n(\ell, i)]$$

$$T(\nu) = \Pi_{\ell} T_{\ell} = T_{I} \cdot T_{2} \cdot T_{3} \cdot T_{\ell} \cdot \dots$$

and

$$T(\Delta \nu) = \int (T(\nu) d\nu) / \Delta \nu$$

### MODTRAN Transmittance: (BM)

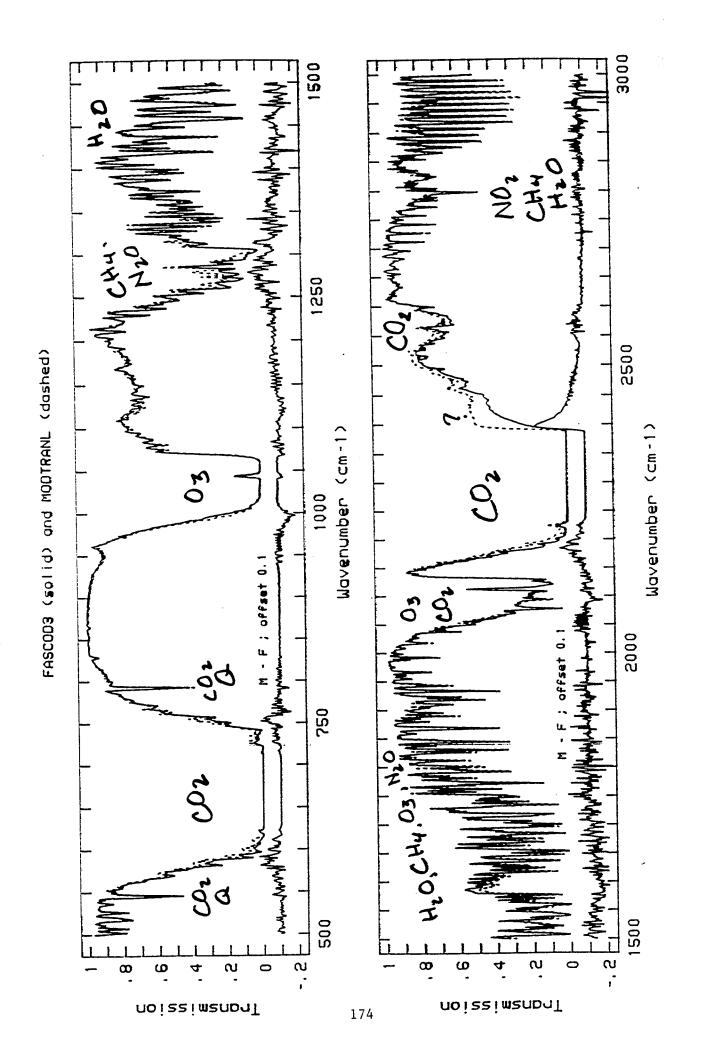
$$T_{i}(\Delta \nu) = \{(2/\Delta \nu)_{0} \int_{0}^{\Delta \nu/2} \exp[-S_{\nu} \bar{u}_{i} \mathcal{L}(p,\nu)] d\nu\}^{n}$$

$$T(\Delta \nu) = \Pi_i T_i(\Delta \nu) = T(H2O) \cdot T(CO2) \cdot T(O3) \cdot T(CH4) \cdot \dots$$

### **MODTRAN2**

### New Features:

- 1. HITRAN92-Based Band Model
- 2. New Geometry Package with Consistent Range Determinations
- 3. New Radiance Algorithm ("Linear in Optical Depth")
- 4. Spectrally-Dependent Surface Emissivity/Albedo
- 5. Flux Divergence Capability



FREQ (cm <sup>-1</sup> )	ALT (km)	T (K)	RANGE (km)		TRANS (5) FAS(8)	DIF 6)	VAR (rms²)
500	45 30 30 15 15 0 0	200 200 300 275 225 300 250	500000 5000 5000 10 10 .005	.61717 .68104 .71678 .87833 .86676 .44175	.71211 .66882 .71211 .87800 .86513 .44270 .41378	.09494 .01228 .00467 .00033 .00163 .00095 .00869	4.93700E-3 5.06760E-4 2.69819E-5 2.61406E-5 4.90820E-5 2.78848E-4 3.16083E-4
500- 1000	45 30 15 15 0 0	300 260 260 260 300 260	500000 5000 500 10 1	.59798 .65704 .57125 .81105 .51721 .49087	.53966 .61798 .56280 .80050 .51560 .48749	.05832 .03905 .00844 .01055 .00161 .00339	3.29788E-3 6.05537E-3 4.04609E-3 2.67364E-4 4.55383E-4 3.36086E-4
1000- 1500	45 30 15 0	300 260 260 300 260	500000 10000 50 1 .1	.68805 .63912 .80956 .48765 .68762	.62673 .59061 .79412 .48703 .68686	.06132 .04851 .01544 .00062 .00076	1.93994E-3 2.39270E-3 1.64402E-3 2.78078E-5 3.01251E-5
1500- 2000	45 30 30 15 0	260 300 260 260 300	500000 10000 5000 500 .0	.75053 .75174 .81098 .55166 .33631	.72518 .73917 .79915 .55034 .33473	.02534 .01257 .01183 .00132 .00158	4.86761E-4 2.25932E-4 2.04952E-4 6.32165E-5 1.10876E-4
2000- 2500	45 30 30 15 15 0	260 300 260 300 260 300 260	5000 100 500 10 50 .1	.78488 .77980 .69492 .70192 .59330 .73014 .53847	.74690 .75394 .66327 .68788 .57749 .72594 .52832	.03798 .02586 .03165 .01404 .01581 .00420 .01015	1.68926E-3 1.15226E-3 1.43556E-3 6.32160E-4 5.87976E-4 1.76310E-4 2.40155E-4
2500- 3000	45 30 30 15	300 300 260 300	1000000 50000 10000 1000	.84432 .75861 .87683 .72777	.79373 .71130 .85817 .70690	.05059 .04731 .01866 .02087	4.56036E-3 2.79251E-3 5.74034E-4 8.53069E-4

## BETA) and CASE 2B (H1, H2, RANGE).

Table 4 compares the MODTRAN and MODTRAN2 output ranges for various any output values. The reason is that, after these CASE 2C inputs were converted to CASE 2C inputs. For small input ranges, MODTRAN output ranges differ greatly from the input values. For input ranges of 2.0, 6.0, and 20.0 km, MODTRAN did not yield CASE 2D, the computation of ANGLE did not converge.

Table 4. Examples for CASE 2C with H1 = 5 and H2 = 5 km.

2.01       2.00         4.7       5.31       4.72         6.0       6.01       6.01         8.0       7.51       8.01         9.0       7.51       8.01         10.0       9.20       10.02         20.0       20.01       20.01         50.0       50.51       50.02         100.0       100.52       100.01         200.0       199.96       200.01         300.0       300.13       300.01	INPUT	RANGE (km) MODTRAN	MODTRAN2
5.31 7.51 7.51 9.20 50.51 100.52 199.96	2.01		2.00
7.51 7.51 9.20 50.51 100.52 300.13	4.7	5.31	4.72
7.51 7.51 9.20 	0.9		6.01
50.51 50.51 100.52 199.96 200.13	8.0	7.51	8.01
9.20 50.51 100.52 199.96 200.13	0.6	7.51	9.01
50.51 100.52 199.96 2 300.13	10.0	9.20	10.02
50.51 100.52 199.96 300.13	20.0		20.01
100.52 199.96 2 300.13	50.0	50.51	50.02
199.96 300.13	100.0	100.52	100.01
300.13	200.0	199.96	200.01
	300.0	300.13	300.01

### NEW RADIANCE ALGORITHM: APPROXIMATIONS FOR OPTICALLY THICK LAYERS

Looking only at a single isolated layer, straightforward application of the simple radiance equation leads to:

$$R = B dT \text{ or } R = (1-T) B$$
 (5)

where:

R = Radiance

B = Planck Function,

and dT = (1-T), the change in transmittance, T, across the layer.

The Planck Function is defined for a Curtis-Godson density-weighted temperature for the layer. For an optically thin case, the observed radiance in this simple scenario is independent of viewing direction.

[Note that for typical lines-of-sight across a multilayered atmospheric path, radiance is dependent on viewing direction while total transmittance remains independent of the observer's position.]

However, if this single layer is optically thick and includes a directional temperature gradient, the observed radiance will be either larger (emanating from a warmer thermal region closer to the observer) or smaller (emanating from the closer cooler region).

A simple approximation assumes that the Planck function and optical depth vary linearly between the boundaries of the layer:

$$R = (1-T) \{ B_n + 2(B-B_n) [1/t - T/(1-T)] \}$$
 (6)

where:

n implies the nearest boundary,

t =layer optical depth between boundaries,

and T =layer transmittance, again for a single layer.

### NEW RADIANCE ALGORITHM: Pg2

For a multi-layer scenario, this local layer radiance becomes the input for its neighbor, and the full path solution is reached through recursive calculations and the layer transmittance then becomes:

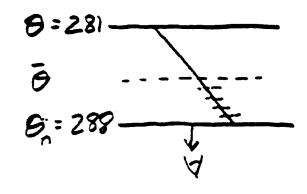
$$T = T(b+1)/T(b)$$

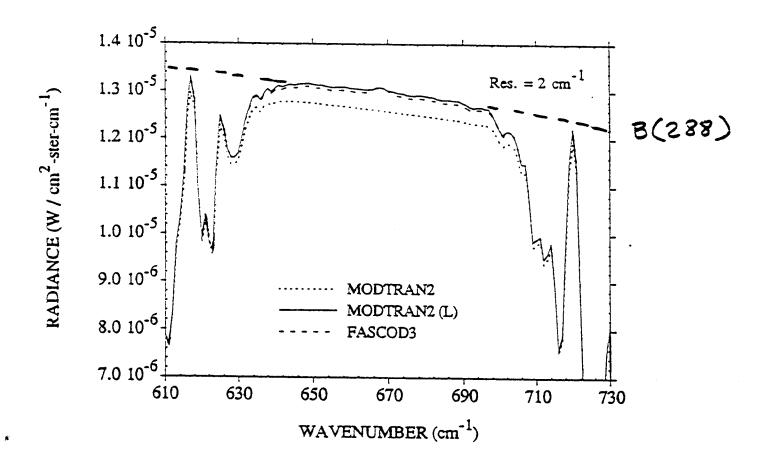
where: T(b) and T(b+1) are the full path transmittances from observer to boundary b and b+1, respectively.

Because of the degraded 2 cm<sup>-1</sup> resolution, the required optical depth term is, in reality, an "effective" optical depth, also derived from the ratios of adjacent full path transmittances at MODTRAN resolution:

$$t = -\ln\{T(b+1)/T(b)\}. \tag{7}$$

The radiance equation for a single layer (Eq. 6), including the "linear in tau" approximation, appears the same as the simple radiance equation (Eq. 5) if the bracketed quantity {} is thought to contain an "equivalent" Planck function, defined by the optical depth weighting.

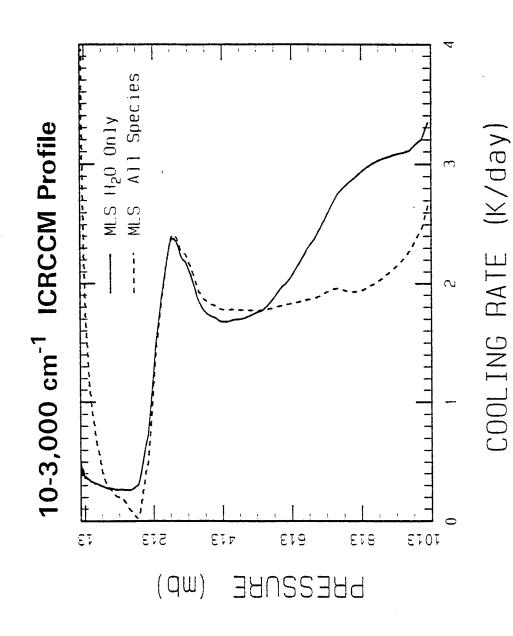




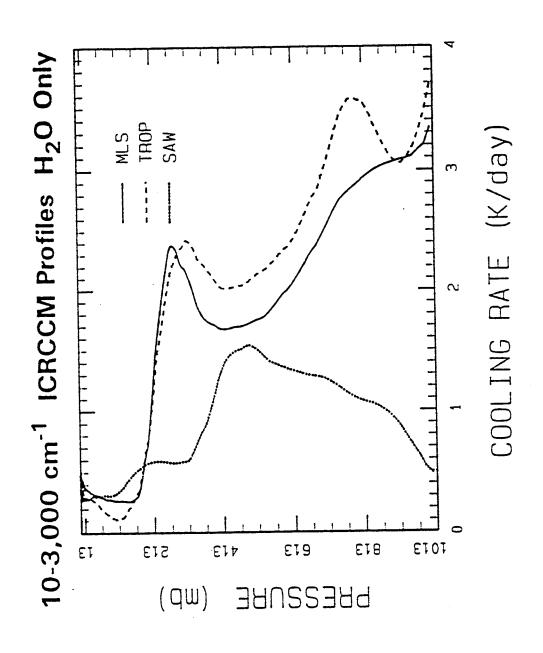
# COMPUTATIONAL APPROACH

- DOWNWELLING(F) THERMAL FLUXES AT TOP AND BOTTOM UPWELLING(F<sup>+</sup>) LINE-OF-SIGHT(LOS) OF EACH ATMOSPHERIC LAYER. COMPUTE
- DIFFERENT ELEVATION THE HEMISPHERICAL FLUX ANGLES TO APPROXIMATE SEVERAL LOS'S INTEGRATION COMPUTE
  - 1'st MOMENT 2-POINT GUASSIAN QUADRATURE
- **PRESSURE** DERIVATIVE OF THE LOCAL FLUX DIFFERENCE(F+-F'). LOCAL COOLING RATES PROPORTIONAL TO
- SEPARATE (32 LAYERS x 128 MODTRAN RADIANCE CALCULATIONS REQUIRES CALCULATION ANGLES x 2 DIRECTIONS). **TYPICAL**

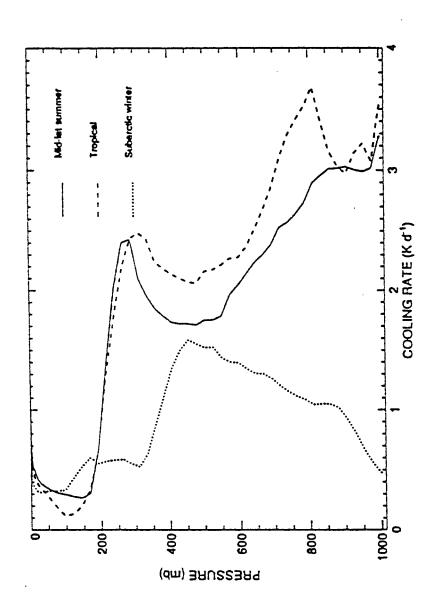
## **MODTRAN CALCULATIONS**



# MODTRAN CALCULATIONS



FASCODE CALCULATIONS\*



\* Clough et. al., JGR, 97, 15761(1992).

# MAJOR COMPUTATIONAL DIFFERENCES

NUMBER OF ATMOSPHERIC LAYERS.

- FASCODE: 60 LAYERS

- MODTRAN: 32 LAYERS

HEMI-SPHERICAL FLUX INTEGRATIONS.

- FASCODE: 1'st MOMENT 3-POINT QUADRATURE

- MODTRAN: 1'st MOMENT 2-POINT QUADRATURE

PLANCK FUNCTION VARIATION THRU LAYERS.

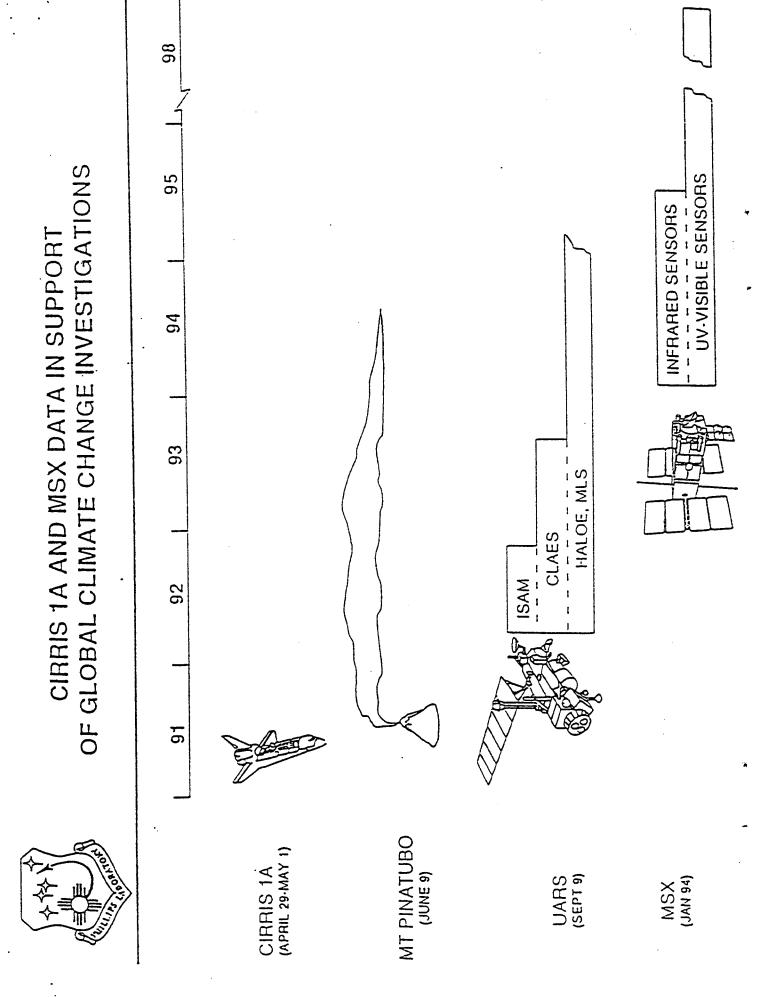
- FASCODE: ONE TERM PADE APPROX.

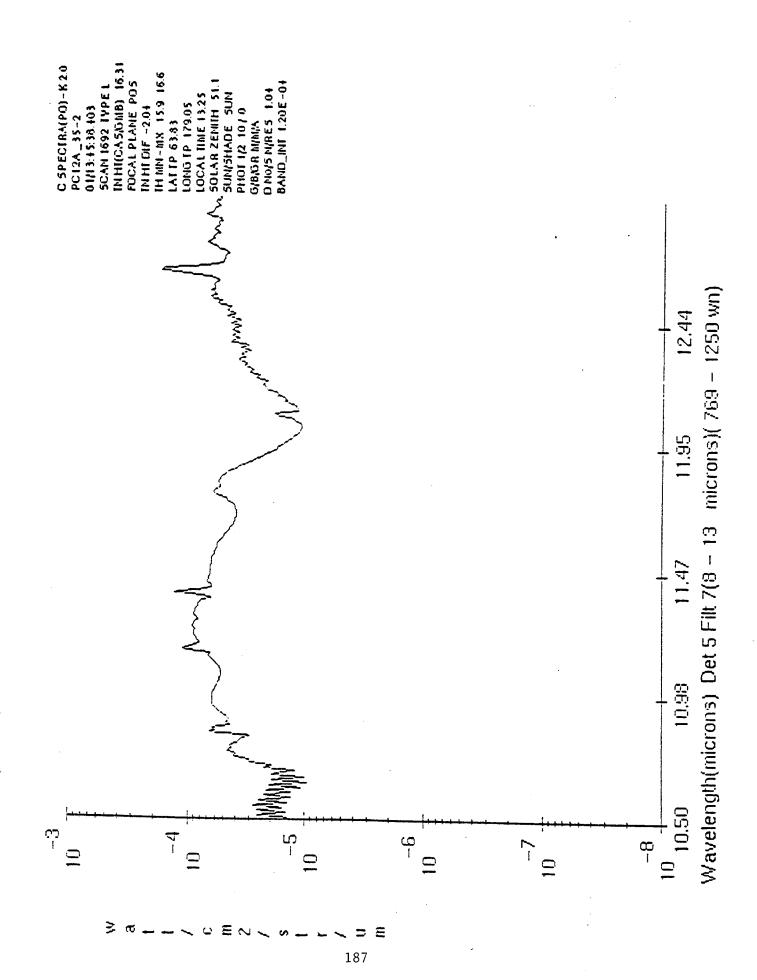
- MODTRAN: LINEAR IN OPTICAL DEPTH APPROX.

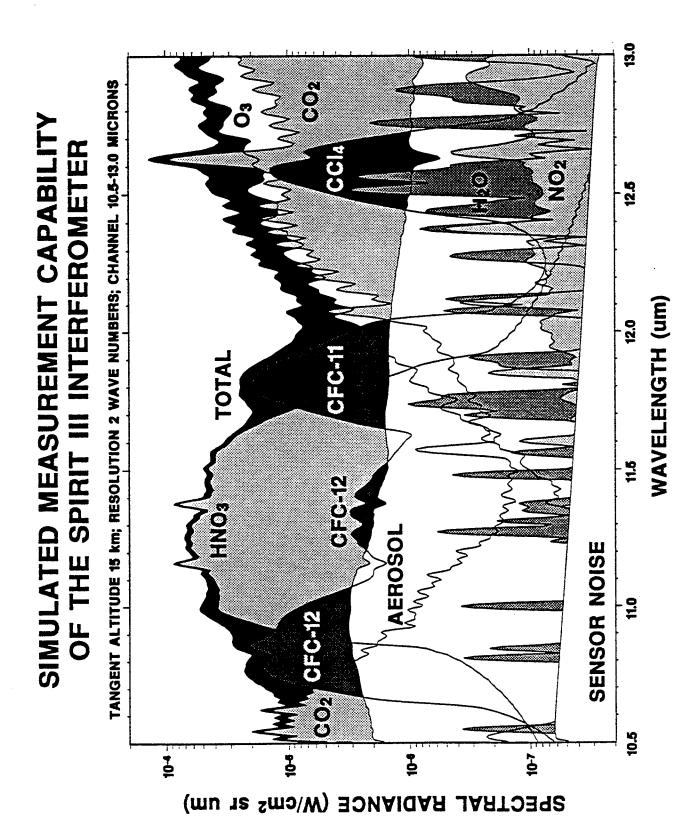
# SUMMARY OF KEY RESULTS

LINE-BY-LINE ACCURACY: INITIAL RESULTS INDICATE POTENTIAL CALCULATIONS TO WITHIN THE ≈ ±0.05 K/DAY SPREAD FOUND BETWEEN LBL MODELS. BENCHMARK ro REPRODUCE

SPEED: MUCH FASTER THAN LBL MODELS WITH COMPLETE ATMOSPHERIC COOLING PROFILE OF ~4 MIN. ON A FAST WORK STATION(HP 735) AND ~1 HR. ON TYPICAL RUN TIMES FOR A PC (486 33 MHZ).







SAMME will provide a code ready for extensive testing in the CRAY environment.

The analysis activities will include:

- 1. vector optimization
- 2. instantaneous full spectral analysis of heating/cooling rates
- a. incorporation of molecular/thermal climatological variability (using NRL-derived climatologies) and assessment of sensitivities and hemispheric asymmetries
  - b. (as above) with multiple scattering
- c. (as above) with simple cloud types and new NRL/DOE-derived climatologies
- 3. calculations of photo-dissociation rate coefficients with:
- a. molecular/thermal climatologies, diurnal, and solar variability, again with a full accounting of sensitivities and hemispheric asymmetries.
- b. include polar day/night/twilight scenarios in conjunction with NRL/NASA.
  - c. (as above) with assessment of uv-A/B at the surface
- 4. exploration of energy exchange between upper and lower atmospheres as a function of climatological and auroral variability, etc. (NLTE)
- 5. implement "alternate" inversion algorithm schemes for any SERDP, ARM or other fielded instruments with compatible SAMME spectral resolution; employ compatible simultaneous retrieval algorithms.

### SAMME VIRTUES

### o accomplish with one radiance/irradiance code:

- complete with solar source function,
- molecular absorption-emission descriptions,
- cloud, aerosol, and molecular default profiles,
- validated NLTE rapid algorithm
- plus the ability to input new data as appropriate

### o determination of the suitability of current coarse approximations:

- heating/cooling rate algorithms
- photodissociation rate coefficients
- energy exchange between lower and upper atmosphere

### o current climate-related state-of-the- art:

- extensive spectral degradation for efficiency
- UV CFC photodissociation can be in error by 10-15% by ignoring Schumann-Runge O2 bands T-dependence
- small, systematic errors a function of season and latitude
- similar approximations in the IR
- no systematic NLTE coupling with lower atmosphere

### o exploration of this net impact can be addressed with SAMME

- preliminary assessement this summer: IR WMO/ICRCCM

### CONCLUSIONS

The capabilities of the MODTRAN2 code have yet to be fully exploited.

- o Increased accuracy of the new band model, coupled with:
  - the LOWTRAN 7 and FASCOD3 common elements coase continua (CO<sub>2</sub>, H<sub>2</sub>O, N<sub>2</sub>, O<sub>2</sub>, etc.), spherical refractive geometry, default constituent profiles for gases, clouds, aerosols, fogs, rains, etc., molecular and particular multiple scattering, plus ease of use
- o MODTRAN2 may be effectively employed for:
  - atmospheric remote sensing, radiative transfer
- o MODTRAN2 transmittance calculations are withing %'s of FASCOD3:
  - statistically and in spectral detail
  - for simulations at 2 cm<sup>-1</sup> and greater resolution, MODTRAN2 may be substituted for FASCOD3
  - layer-specific radiance contributions are excellent, e.g.: detailed agreement in the Jacobian comparisons primitive cooling rate calculations excellent
- o MODTRAN2 flux-divergence quantities will be further explored:
  - optimized estimates of up- and down-welling fluxes
  - appropriate heating/cooling rates and photodissociation rates
  - more comparisons with experimental data

However, if, as expected, both the "total path" and "layer" integrity of the MODTRAN2 calculations are maintained, the community-at-large should find this new tool a welcome addition.

### Retrieval of Tropospheric Profiles from IR Emission Spectra: Preliminary Results with the DBIS

J.-M. Thériault
DREV-Defence Research Establishment Valcartier
P.O. Box 8800, Québec, Canada, GOA 1R0

G. P. Anderson, J. H. Chetwynd, E. Murphy, V. Turner,
M. Cloutier and A. Smith
Geophysics Directorate / PL, Hanscom AFB, MA 01731 USA

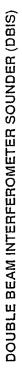
J.-L Moncet
Atmospheric and Environmental Research, Inc., Cambridge, MA 02139 USA

### 1. INTRODUCTION

Recently, Smith and collaborators<sup>1, 2</sup> from University of Wisconsin- Madison have clearly established the possibilities of sounding tropospheric temperature and water vapor profiles with a ground-based uplooking interferometer. With the same perspective but for somewhat different applications, the Defence Research Establishment Valcartier (DREV) has initiated a project with the aim of exploring the many possible avenues of similar approaches. The central objective is the development of methods for the remote sensing of atmospheric profiles, mainly temperature and water vapor, that affect IR propagation and degrade Electo-Optical (EO) system performances. There are several important issues that remain to be addressed prior to the definitive implementation of such a technique into an operational system that responds to our needs. In order to address some of these issues, DREV in collaboration with BOMEM (Québec Canada), has developed an instrument referred to as the Double Beam Interferometer Sounder (DBIS). This sounder has been conceived to match the needs encountered in many remote sensing scenarios: slant path capability, small field of view, very wide spectral coverage and high spectral resolution. Preliminary tests with the DBIS have shown sufficient accuracy for remote sensing applications3. In a series of field measurements, jointly organized by the Geophysics Directorate / PL, Hanscom AFB, and DREV, the instrument has been run in a wide variety of sky conditions. Several atmospheric emission spectra recorded with the sounder have been compared to calculations4,5 with FASCODE and MODTRAN models. The quality of measurement- model comparisons has prompted the development of an inversion algorithm based on these codes. The purpose of this paper is to report the recent progress achieved in this research. First, the design and operation of the instrument are reviewed. Second, recent field measurements of atmospheric emission spectra are analyzed and compared to models predictions. Finally, the simultaneous retrieval approach selected for the inversion of DBIS spectra to obtain temperature and water vapor profiles is described and preliminary results are presented.

### 2. INSTRUMENT DESCRIPTION

Essentially the DBIS is made of one or optionally two 10-in. diameter Cassegrain telescopes, optically coupled to a double-input port Fourier transform spectrometer and two detection units (output optics 1 and 2). Figure 1 summarizes the design of the instrument. This configuration allows measurements of calibrated spectra according to the following specifications: any selectable zenith angle, scene field of view of 5 mrad, spectral coverage from 3 to 20 µm and a spectral resolution of 1 cm-1 or greater. As a part of the input modules, a large flat plate scene mirror placed in front of each telescope can be either rotated to the selected scene or oriented in a position for the acquisition of calibrated reference spectra. The pointing capability of this scene mirror allows slant path measurements from 0 to 360 degrees with a tilt adjustment of  $\pm$  10 degrees in azimuth and an accuracy of 0.1 degree. The coarse adjustment in azimuth is simply achieved by rotating the whole assembly mounted on a tripod. After reflection on the scene mirror, the beam is then successively focussed by the Cassegrain telescope and reflected by an off-axis parabolic mirror (PM) to produce a collimated beam of proper diameter at the entrance of the spectrometer. The two output modules are identical, except that channel-1 includes a MCT detector optimized for the 5-20 µm spectral region while channel-2 includes an InSb detector optimized for the 2-5 µm region. Note that the InSb module is not explicitly shown in Fig. 1. These modules contain parabolic and condensing mirrors that focus the beam coming from the interferometer onto a detector (MCT or InSb) of 1 mm diameter. An aperture wheel (AW) mounted with stops of different diameters permits the adjustment of the field of view of the instrument (5 mrad or smaller). A CCD camera integrated into the telescope module can be used to aim and visualize the scene under consideration.



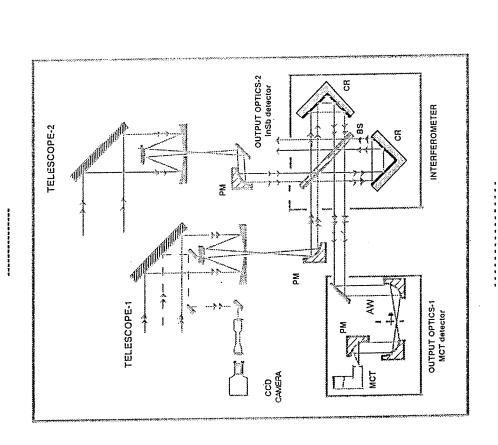


Figure 1: DBIS Optical Train.

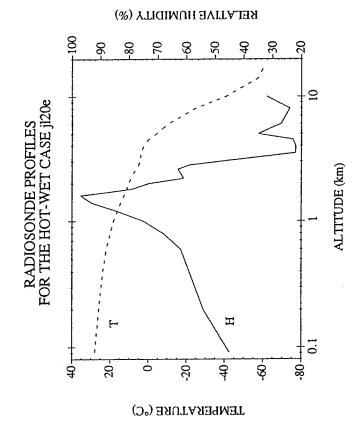


Figure 2: Vertical Profiles of T and RH.

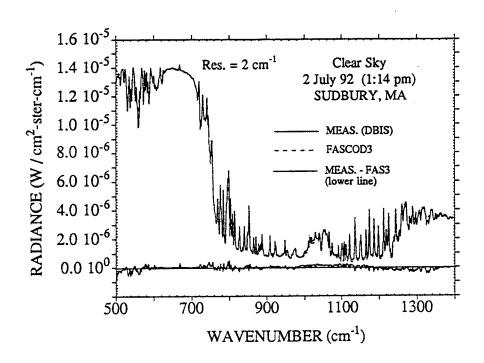


Figure 3. Atmospheric uplooking emission spectra as measured by the DBIS system and calculated by FASCOD3.

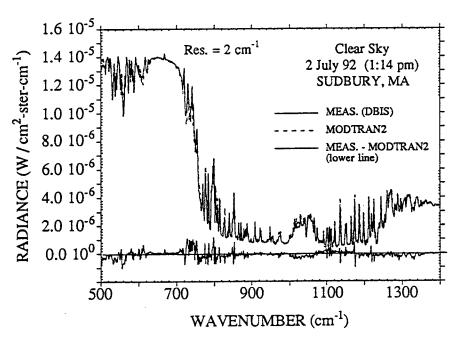


Figure 4. Atmospheric uplooking emission spectra as measured by the DBIS system and calculated by MODTRAN2.

### **INVERSION ALGEBRA:**

Theriault and Moncet (TM) have established the development of a successful simultaneous (temperature and water vapor profile) retrieval algorithm, based primarily on FASCOD3 forward calculations, with accompanying derivative matrices.

Traditionally the derivative matrices required for the least square residual technique embody time-consuming forward runs of full-path FASCODE radiance predictions, each run differing from its predecessor by a single small perturbation,

$$x = x_0 + x',$$

where: x = T(K) or  $H2O(g/m^3)$ , for each layer, l.

The Jacobian matrix is then defined as the set of differences in total radiance:

$$\frac{dR(x,l)}{dx} = \frac{R(x,l)-R_o}{x'}$$
 (8)

where:  $R_o$  is the unperturbed total radiance and R(x,l) is the total radiance with a single perturbation  $(x = x_o + x')$  and x' = T' or  $H_2O'$ ) at layer l

The size of the original matrix is: j x k

where: j is the number of spectral channels,
often dependent on spectral resolution,
and k is (at minimum) the number of atmospheric layers
or boundaries times the number of constituents
undergoing perturbation in the simultaneous retrieval.

### MODTRAN2 vs. FASCOD3 JACOBIANS

Moncet and colleagues<sup>33</sup> have recently devised a scheme to greatly optimize calculations of the Jacobian elements, based on FASCODE.

However, even with these modifications, the task still consumes a formidable amount of computer time.

MODTRAN2 Jacobian calculations: each full path radiance calculation has been done with and without the perturbation at each layer over the spectral range of the DBIS instrument.

The subsequent derivative matrix elements have then been compared to the equivalent FASCODE elements.

The RMS differences in the Jacobian radiances (Eq. 8 with the denominator set to unity) are of the order of 1.e-8 to 1.e-10 compared to an average radiance of 3.e-6 W/(cm2-ster-cm-1), smaller than 3 parts in 1000.

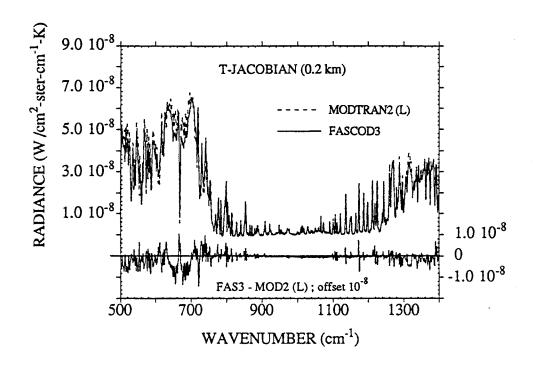


Figure 5. Temperature Jacobians for a 2 K temperature perturbation at an altitude of 0.2 km and where the original temperature and water vapor profiles correspond to supporting radiosonde data appearing in Fig. 2 (jl02).

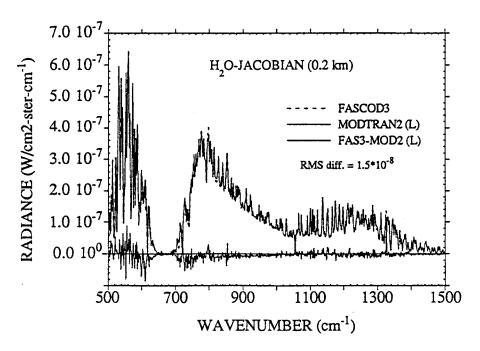


Figure 6. Water vapor Jacobians for a 0.1g / m<sup>3</sup> perturbation at 0.2 km for the same conditions as Fig. 5.

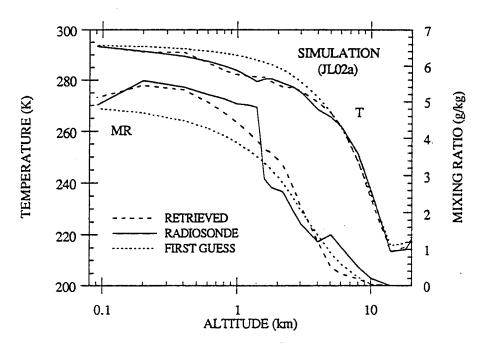


Figure 7. Simultaneous retrieval of T and H<sub>2</sub>O profiles for the hot-dry case: Inversion from SIMULATED spectrum.

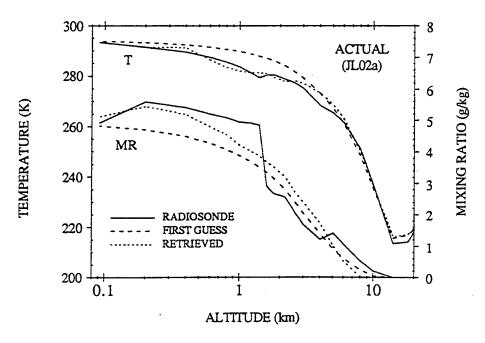


Figure 8. Simultaneous retrieval of T and H<sub>2</sub>O profiles for the hot-dry case: Inversion from MEASURED spectrum.

### PCModTRAN 2:

### ONTAR'S PC COMPATIBLE MODTRAN 2 SOFTWARE

L.W. Abreu, J. Schroeder, A. McCann, J. Kristl, S. Harvey and M. Voltaire

ONTAR Corp. 129 University Road Brookline, MA 02146

PCModTRAN 2 is an implementation of the Phillips Laboratory/Geophysics Directorate's MODTRAN 2 model and contains user-friendly software for manipulation of the input and output of the calculations. This package is compatible with IBM and all other personal computers. The software package has an input generation shell, on-line help capability, an ASCII text file viewer for all output files, screen graphics and hard copy graphics. A Cooperative Research and Development Agreement for MODTRAN 2 is awaiting final approval by Phillips Laboratory.

### PCModTRAN 2: ONTAR'S PC COMPATIBLE MODTRAN 2 SOFTWARE

L.W. Abreu, J. Schroeder, A. McCann, J. Kristl, S. Harvey and M. Voltaire

9 Village Way, North Andover, MA 01845 ONTAR Corporation,

Annual Review Conference on Atmospheric Models Presented at

Geophysics Directorate/Phillips Laboratory Hanscom AFB, MA 01731 8-9 June 1993



## PC VERSION OF LOWTRAN 7

## **PCTRAN 7**

COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENT

GEOPHYSICS DIRECTORATE/PHILLIPS LABORATORY

AND

ONTAR CORPORATION

PCTRAN 7 has been validated by Phillips Laboratory

### PCTRAN 7

### Personal Computer Version of the LOWTRAN 7 Atmospheric Model

Version 2

June 1990



ONTAR Corporation 129 University Road Brookline, MA 02146 (617)-739-6607



Air Force Geophysics Laboratory Hanscom AFB, MA 01731

## PC VERSION OF MODTRAN 2

## PCMoDTRAN 2

COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENT

GEOPHYSICS DIRECTORATE/PHILLIPS LABORATORY

AND

ONTAR CORPORATION

Awaiting Approval by AFMC and Validation by Phillips Laboratory

\*



### Hardware and Software Requirements

Personal Computer - XT, AT, 80386, 80486 (Compatible, Clone)

1.2 Mbyte Diskette Drive, Hard Disk

640 Kbytes of Memory

CGA, EGA, or VGA Graphics Board and Monitor - for Screen Plots

Printer - for Hard Copy

Numeric Co-processor Highly Recommended



ONCORE Suite of Atmospheric Modeling Tools

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205

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CAGRIM1	CASEM5

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206

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A10M0Z ACZOCT APLTTST BOWER CASEZ CASEM1 CCASEM5 CCASEM6 CCASEM6 CCASEM5 CLOSLIN CCASEM5 CLOSLIN CASEM5 CLOSLIN CASEM5 CASEM5 TONOWS T10NCO25 T10NCO25 T10NCO25 T10NCO25 T10NCO25 T10NCO25 T10NCO25 T10NCO35 T10NC

Atmospheric Model to Use Model Atmosphere

Type of Atmospheric Path

Mode of Execution

Executed With Multiple Scattering

Temperature & Pressure Altitude Profile
Water Vapor Altitude Profile
Ozone Altitude Profile
Methane Altitude Profile
Nitrous Oxide Altitude Profile
Carbon Monoxide Altitude Profile
Other Gases Altitude Profile

Radlosonde Data are to be Input

Output File Options

Temperature at Path Start (K) Surface Albedo (reflectivity)

Run # 1 of 1 MODTRAN

הממכ

MODTRAN Tropical Model Horizontal Path

Transmittance

No

Tropical Model
Tropical Model
Tropical Model
Tropical Model
Tropical Model
Tropical Model

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Include ATM Profiles

0000

(Nex Prop (Exit) (F10 = Keys)

Aerosol Model Used	Navy Maritime
Seasonal Modifications to Aerosols	Determined by Model
Upper Atmosphere Aerosols (30-100 km)	Background Stratospheric
Air Mass Character for Navy Maritime Aersols	C
Use Cloud/Rain Aerosol Extensions	No Clouds or Rain
Use of Army (VSA) for Aerosol Extension	cN
Surface Range for Boundary Layer	000.
Wind Speed-Navy Maritime Aerosols(m/s)	000.
$24$ -Hr Ave Wind Speed-Navy Maritime(m/ $\varepsilon$ )	000.
Rain Rate (mm/hr)	000
Ground Altitude above Sea Level (km)	000.
Run # 1 of 1 MODTRAN Card 2 <prev></prev>	<next> <home> <exit> <f10 =="" keys=""></f10></exit></home></next>

Utan Alttina / ma	
rınaı Aıtıtude/Tangent Height (km)	000.
Initial Zenith Angle (degrees)	000.
Path Length (km)	10.000
Earth Center Angle (degrees)	000.
Radius of Earth (km) [.000 - default]	000.
Type of Fath	Short
Initial Frequency 900.000 cm-1 Wavelength	th 8.696 µm
Final Frequency 1150.000 cm-1 Wavelength	th 11.111 µm
Frequency Increment (wavenumber)	1.000
FWHM of Triangular Slit (wavenumber)	2
Run # 1 of 1 TOWTHAN	CARDS 3 & 4 F10

Transmittance in cm-1 7.0000 900.0000 cm-1 1150.0000 cm-1 50.0000 cm-1 6.0000 No 0.00E+00 1.00E+00 2.00E-01 No Grids Linear Linear X - Number of Minor Ticks / Division Y - Number of Minor Ticks / Division Number of Decimal Digits for Y Axis Beginning Wavenumber/Wavelength Minimum Transmittance/Radiance Maximum Transmittance/Radiance Ending Wavenumber/Wavelength Length of X Axis (in inches) Length of Y Axis (in inches) X Axis Annotation Interval Y Axis Annotation Interval (Graph Paper) Autoscale Y Axis Type of X Axis Type of Y Axis Plot Grids Plot Type

Plot #

ONPLT Scaling

Run # 1 of

211

Plot Title:	CASE #7
Total Transmittance Water Vapor Band Transmittance Uniformly mixed Gases Transmittance Ozone Transmittance Trace Gases Transmittance Nitrogen Continuum Transmittance Water Vapor Continuum Transmittance Molecular Scattering Transmittance Aer-Hyd Transmittance CO Transmittance CO Transmittance CO Transmittance NZO Transmittance	Line Type (-99 no line)  2 -99 -99 -99 -99 -99 -99 -99 -99 -99
COZ 1 ransmittance Run # 1 of 1 ONPI,T Linea	5 Plot #1 F10 =

Cards 3 & 4

LOWTRAN7

Run #2 of 4

PC-TRAN7 Batch Mode Manager.

Quit LOWIN (write LOWIN and LOWPLT.DAT) ESC.

Edit current run. F2 -F3 -

Edit next run.

Edit previous run. F4 .

Add new run (to end) and go to that run

F5 -

Delete current run. F6 -F7. -

Go to run.

Database name MCASE3

Number of runs in this database 4 Current run 3

LOWTRAN7

Card 5



### SAMM: SHARC AND MODTRAN MERGED

A. Berk, D.C. Robertson, L.S. Bernstein, R.L. Sundberg, R.D. Sharma, G.P. Anderson, J.H. Chetwynd, M.L. Hoke, and R.J. Healey

<sup>1</sup>Spectral Sciences, Inc. 99 South Bedford Street, #7 Burlington, MA 01803-5169 <sup>2</sup>Phillips Laboratory/GPOS 29 Randolph Road Hanscom AFB, MA 01731-3010

<sup>3</sup>Yap Analytics 594 Merrett Road Lexington, MA 02173

Radiation transport calculations of ambient IR radiation can be roughly divided into two categories, the lower altitude regions below about 30 km which are in local thermodynamic equilibrium and the non-LTE contributions at higher altitudes. MODTRAN is a low altitude radiance code which models aerosol and molecular scattering and absorptions, refractive path geometry, and multiple scattering. SHARC models high altitude line-of-sight radiances by solving the pertinent chemical kinetic equations and performing equivalent width line-by-line (LBL) calculations. We discuss the development of a merged model which has been dubbed SAMM, SHARC And MODTRAN Merged. SAMM includes a fast expanded LBL approach which is applicable to both altitude regimes, and contains all MODTRAN and SHARC model features. We will demonstrate that SAMM predictions vary smoothly as LOS tangent heights are increased from low to high altitude.

# SAMM: SHARC AND MODTRAN MERGED

WORK SUPPORTED BY SDIO, PMA-1105

SPECTRAL SCIENCES, INC., BURLINGTON, MA 01803 A. BERK, P. K. ACHARYA, J. GRUNINGER, M. W. MATTHEW & D. C. ROBERTSON

AF PHILLIPS LABORATORY, HANSCOM AFB, MA 01731 J. H. CHETWYND & J. H. BROWN R. D. SHARMA, G. P. ANDERSON,

YAP ANALYTICS, INC., LEXINGTON, MA 02173 R. J. HEALEY & J. J. VAIL

ANNUAL REVIEW CONFERENCE ON ATMOSPHERIC TRANSMISSION MODELS

8 JUNE 1993



### **MOTIVATION**

- SYSTEM STUDIES AND DATA ANALYSIS FROM SIMULTANEOUS EXAMINATION OF LOW (LTE) **MEASUREMENT PROGRAMS OFTEN REQUIRE** AND HIGH (NON-LTE) ALTITUDE REGIONS
- RADIANCES, WHILE MODTRAN PREDICTS SHARC PREDICTS HIGH ALTITUDE LOS **LOW ALTITUDE LOS RADIANCES**
- PREDICTIONS FROM THE TWO CODES OFTEN RADIANCE PROFILES PRODUCED BY MERGING YIELD A DISCONTINUITY ARISING FROM
  - ATMOSPHERIC PROFILE DISCREPANCIES
    - NON-LTE EFFECTS
- SCATTERING
- RADIANCE ALGORITHM DIFFERENCES
- CORRELATED LOS RADIANCE MODEL SAMM IS THE SOLUTION, A SINGLE



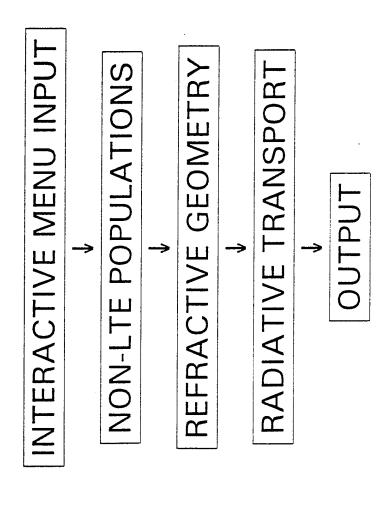
### SAMM OVERVIEW

SAMM IS A MODEL FOR ATMOSPHERIC RADIANCE AND TRANSMITTANCE CREATED BY INTEGRATING SHARC AND MODTRAN

- SEAMLESS ATMOSPHERE AND CORRELATED RADIATIVE TRANSPORT ALGORITHM
- ARBITRARY LOS FROM GROUND THROUGH THERMOSPHERE (300 KM)
- 2 TO 40 µm SPECTRAL RANGE, 1 CM<sup>-1</sup> BINS
- **AURORAL AND TERMINATOR CHEMISTRY**
- AEROSOLS, CLOUD & RAIN MODELS
- SINGLE AND MULTIPLE SCATTERING
- REPEAT RUN OPTIONS

## CALCULATIONAL SEQUENCE







# INPUT MODULE (SAMPLE MENU)

SHARC-3 AND MODTRAN-2 MERGED, SAMM

REVIEW OR MODIFY INPUT PARAMETERS

- 1) TITLE FOR CALCULATION
- 2) REGION DEFINITION
  - 3) LOS GEOMETRY
- 4) SPECTRAL INTERVAL, RESOLUTION AND SPECIES
  - 5) OUTPUT DATA
- 6) STANDARD SET-UP FOR FILE NAMES
- 7) INSTALLATION SETUP
- 8) UPDATE DEFAULT FILE AND EXIT FOR BATCH EXECUTION 9) UPDATE DEFAULT FILE AND EXIT
- 10) EXIT WITH NO UPDATE OF DEFAULT FILE
- 11) MODTRAN PARAMETERS

ENTER # OF ITEM TO BE CHANGED OR 999 TO CONTINUE SAMM EXECUTION



# NON-LTE POPULATIONS MODULE

FOR EACH NON-LOCAL THERMODYNAMIC PROFILE OF VIBRATIONAL POPULATIONS SAMM CALCULATES AN ALTITUDE EQUILIBRIUM (NON-LTE) MOLECULE

- CALCULATES SOLAR EXCITATION AND EARTHSHINE PUMPING RATES
- SOLVES INPUT AMBIENT & AURORAL CHEMICAL KINETIC EQUATIONS
- DETERMINES ENHANCEMENTS FROM LAYER - TO - LAYER PUMPING



# REFRACTIVE GEOMETRY MODULE

- LONGITUDE ALONG LOS AND SOLAR TRACKS ALTITUDE, LATITUDE AND **PATHS**
- MODELS REFRACTION BELOW 30 KM
- ALLOWS MULTIPLE REGIONS ABOVE 30 KM
- DETERMINES OPTICAL AND SOLAR PATH COLUMN DENSITIES



# RADIATION TRANSPORT MODULE

### **EMISSION**

- LINE-BY-LINE AT ALL ALTITUDES FOR MOLECULES  $(H_2O, CO_2, O_3, CO, CH_4, NO, OH \& NO^+)$ NON-LTE AT HIGH ALTITUDES
- (NO<sub>2</sub>, O<sub>2</sub>, SO<sub>2</sub>, NO<sub>2</sub>, NH<sub>3</sub>, HNO<sub>3</sub>, AEROSOLS & CONTINUA) BAND MODELS FOR OTHER RADIATORS
- WEIGHTED AVERAGE USED TO COMBINE LTE BLACKBODY AND NON-LTE SOURCE TERMS

### SCATTERING

- MIE, HENYEY-GREENSTEIN OR USER-DEFINED SINGLE SCATTER SOLAR WITH PRE-STORED PHASE FUNCTIONS
- 2-FLUX MULTIPLE SCATTERING CALCULATION

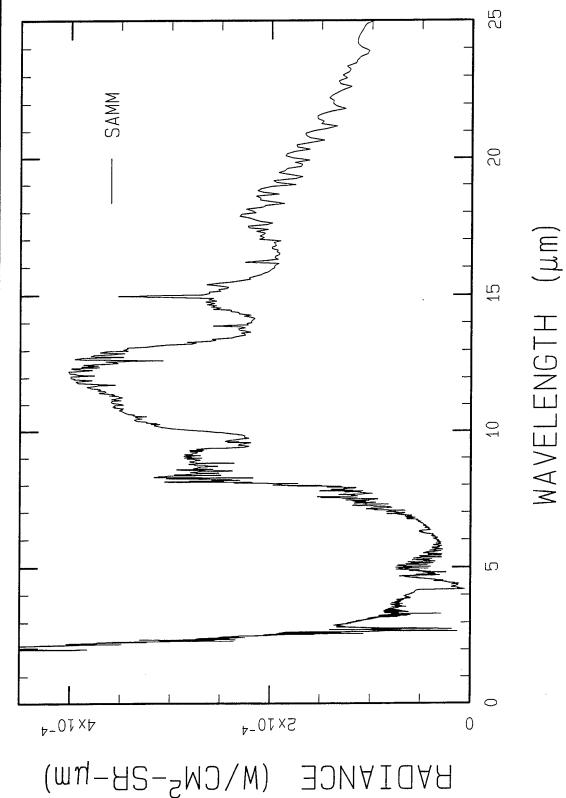


### INITIAL VALIDATION

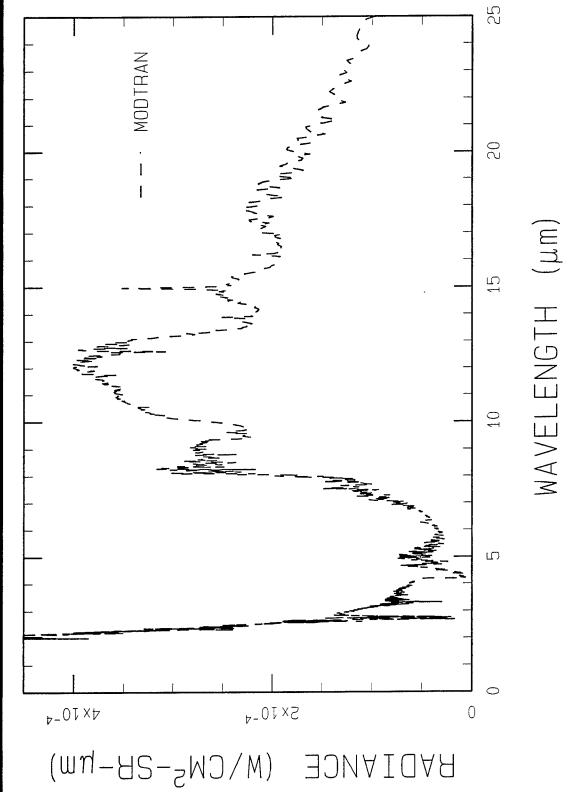
- SUCCESSFULLY ON 4 DIFFERENT SYSTEMS, SAMM HAS BEEN COMPILED AND RUN AND A PC VERSION IS PRESENTLY BEING TESTED.
- BASED ON COMPARISONS TO SHARC INITIAL VALIDATION OF SAMM IS AND MODTRAN.
- DATA. MODTRAN AND SHARC HAVE BOTH BEEN EXTENSIVELY VALIDATED AGAINST MODTRAN FOR LOS BELOW 50 KM SHARC FOR LOS ABOVE 50 KM
- SOME ILLUSTRATIVE VALIDATION CASES PRESENTED.



## MIDDAY LIMB, TANGENT TO GROUND

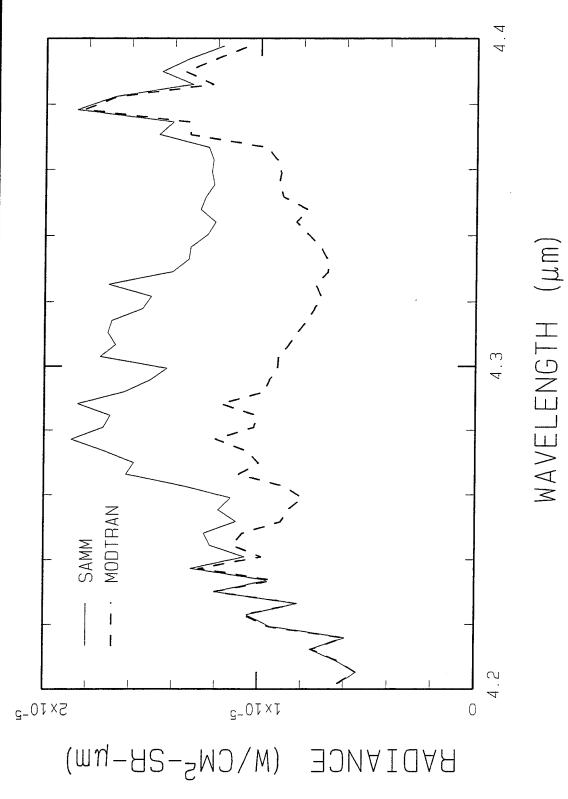








## MIDDAY LIMB, TANGENT TO GROUND





# VERTICAL LOS FROM 0 TO 1 KM

### 720 700 FREQUENCY $(CM^{-1})$ MODTRAN SAMM FASCODE 089 099 640 620 001 T-0T ε-01 <sub>9-</sub>01 9-01 10<sub>-5</sub> p-0T 4-0I

**THANSMITTANCE** 

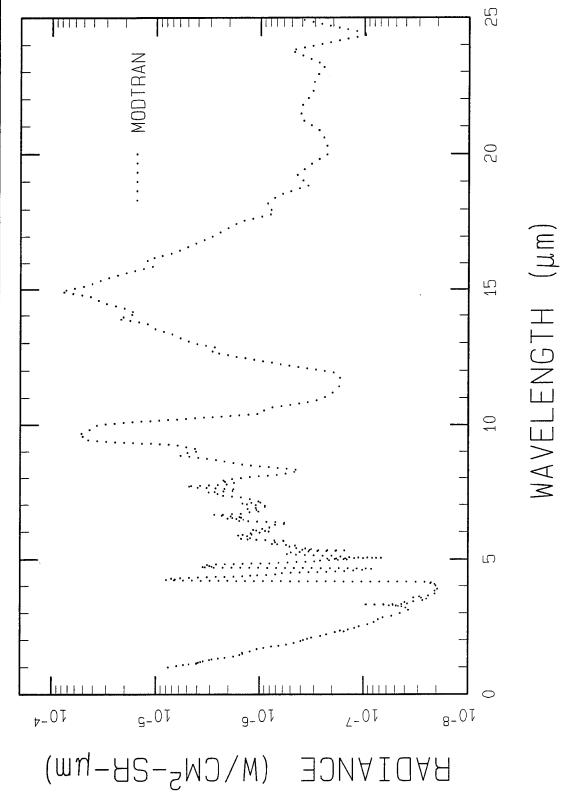


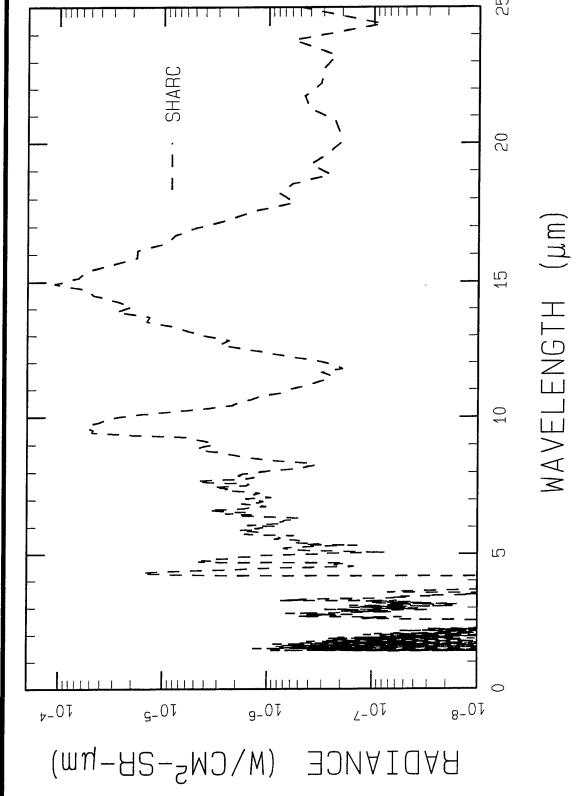
### SAMM MIDDAY LIMB, TANGENT TO 50 KM 20 15 $\Box$ 70T <sub>G-</sub>01 9-01 <sub>4</sub>-01 8-01 BADIANCE (W/CM<sup>2</sup>-SR- $\mu$ m)

25

WAVELENGTH (µm)

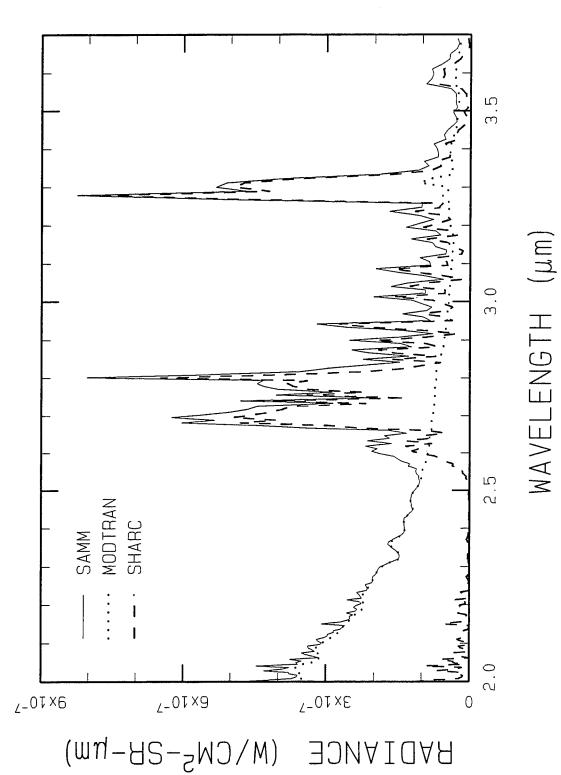








### MIDDAY LIMB, TANGENT TO 50 KM





### FUTURE PLANS

### SAMM

ADDITIONAL VALIDATION IN PREPARATION SAMM IS PRESENTLY UNDERGOING FOR A FALL '93 DISTRIBUTION.

### SAMM2

- SAMM WILL BE MERGED WITH MOSART TO UPGRADE SURFACE EMISSION / REFLECTION PREDICTIONS.
- SHARC-4 WILL BE INTEGRATED INTO SAMM TO PERMIT MODELING OF ATMOSPHERIC STRUCTURE



### SUMMARY

- SUCCESSFULLY MERGED INTO A SINGLE SHARC-3 AND MODTRAN-2 HAVE BEEN
- SHARC-3 AND MODTRAN-2 INDIVIDUALLY. AND HIGH (>50 KM) ALTITUDE REGIMES, INVOLVING BOTH THE LOW (<50 KM) SAMM IS PREFERRED OVER USE OF FOR LOS OR PROFILE STUDIES
- SOME BANDPASSES EVEN WHEN THE LOS NON-LTE EFFECTS ARE IMPORTANT FOR PASSES THROUGH LOWER ALTITUDES.
- ALTITUDE LOS RADIANCES BELOW 4 µm. SCATTERING CAN DOMINATE HIGH

### THE MODERATE SPECTRAL ATMOSPHERIC RADIANCE AND TRANSMITTANCE (MOSART) PROGRAM

W.M. Cornette

D.C. Robertson

Photon Research Assoc., Inc. 10350 N. Torrey Pines Road Suite 300 La Jolla, CA 92037-1020

Spectral Sciences, Inc. 99 South Bedford Street, #7 Burlington, MA 01803-5169

The MOSART program, a new computer program for predicting and evaluating the radiative environment, incorporates features from the MODTRAN 2 and APART (Version 7.00) programs, plus adding some additional new features. The MODTRAN 2 1 cm<sup>-1</sup> and LOWTRAN 7 20 CM<sup>-1</sup> band parameters and geometry specifications are combined with the APART global climatology data bases, global terrain background data bases, and ray tracing algorithm to produce a combined atmosphere and background program for supporting target and background scene modelling, in particular the Strategic Scene Generation Model. The program can interpolate primary atmospheric parameters over multiple model atmospheres as well as use a single model atmosphere. Heat transfer calculations for the terrain materials are included, and terrain clutter parameters (i.e., mean, standard deviation, PSD) are output. Utilities for creating input files, spectral tables, spectral plots, luminance, and statistical two-dimensional backgrounds are included.

### THE MODERATE SPECTRAL ATMOSPHERIC RADIANCE AND TRANSMITTANCE (MOSART) PROGRAM

Presented at the Annual Review Conference on Atmospheric Models Hanscom AFB, Massachusetts 8-9 June 1993

Presented By:

Dr. William M. Cornette Photon Research Associates, Inc. La Jolla, California

and

Dr. David C. Robertson Spectral Sciences, Inc. Burlington, Massachusetts

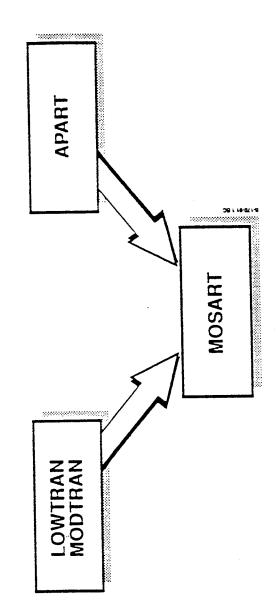
### MOSART

proach to modelling atmospheric effects in the lower part of the earth's atmosphere. MOSART represents a merging of the government-standard LOWTRAN and MODTRAN codes and the APART code, developed by Photon Research The MOderate Spectral Atmospheric Radiance and Transmittance (MOSART) program has been developed in response to needs and requirements stipulated by the Strategic Scene Generation Model (SSGM) for a seamless ap-Associates, Inc. (PRA) in support of a number of target and background simulations.



### MOSART

Moderate Spectral Atmospheric Radiance and Transmittance



Strategic Scene Generation Model Requirements

### SSGM Requirements for MOSART

ment Requirements," PRA Report R-102-91 (Revision 1), dated December 1991. The MOSART code must provide line-of-sight (LOS) attenuation and radiance parameters and the earth limb, currently provided by MODTRAN in conjunction with SHARC. In addition, the MOSART code must provide the necessary interfaces with the heat transfer all currently supplied by APART. To generate the necessary bidirectional reflectance functions (BDRF) for the code DYNTMP, the terrain scene code GENESSIS, the cloud scene code CLDSIM, and the low altitude horizon scenes, The development of the MOSART program is driven in part by the requirements stipulated in "SSGM Develop-

CLDSIM code, the MOSART code must interface with the MSRAT code.

Potential growth in the SSGM may require additional support from the MOSART program. The first area is with respect to target signatures, both hard body (e.g., the VISIG code) and plumes (e.g., SIRRM). N.B.: The SIRRM code contains an early version of the APART code, and SIRRM can be interfaced with the MOSAKT code with only minimal changes to SIRRM. SSGM may also add the requirement to be able to include structured statistical terrain scenes for full global coverage, together with the various effects associated with atmospheric turbulence. Finally, the coupling of the local thermal equilibrium (LTE) MOSART code and the non-LTE (NLTE) SHARC code may be desirable in the near future.



## SSGM REQUIREMENTS FOR MOSART

### Present:

- LOS Attenuation and Radiance
- · Horizon and Limb Scenes
- Terrain Scenes (GENESSIS)
- Cloud Scenes (CLDSIM)
- BRDF Data Base Generation (MSRAT)

### Future:

- · Target Signatures (VISIG and SIRRM)
- Structured Statistical Terrain Scenes
- Turbulence
- LTE/NLTE Coupled Code

#### Radiative Transfer

this approach has corrected for the problem in MODTRAN of convolving the transmittance with slit function before calculating the path radiance. The broad band thermal and solar loading calculations have been taken from the APART codes. For example, the molecular absorption parameters are taken from both LOWTRAN and MODTRAN. The and the Cornette-Shanks phase function from APART is used to determined the forward scattering component. The ability to take line correlation along bent lines-of-sight, an improved solution to the equation of transfer assuming variations across layers, the calculation of in-scattered transmittance, and turbulence calculations have been extracted from APART. The concept of using a slit function for a user-defined resolution has been taken from MODTRAN. However, multiple scattering uses the LOWIRAN and APART decomposition of the curves of growth into sums of exponentials, The radiative transfer calculations combine the best elements of the LOWTRAN, MODTRAN, and APARI



## RADIATIVE TRANSFER

Molecular Parameters (13): - LOWTRAN 7: 20 cm<sup>-1</sup>

MODTRAN 2: 1 cm<sup>-1</sup>

Multiple Scattering:

**LOWTRAN: 3 Terms** 

MODTRAN: 2 - 15 Terms (Malkmus Curve-of-Growth)

Cornette-Shanks Phase Function

N-Stream Model

Correlation Along "Bent" Lines-of-Sight

Continuous Atmosphere Solution to Equation of Transfer

In-Scattered Transmittance Calculated

**Turbulence Calculations:** 

Scintillation

**Emitted and Scattered Path Radiance Variations** 

Resolution (Corrected from MODTRAN):

Triangular Slit Function

Square Slit Function

**User-Designed Slit Function** 

**Broad-Band Thermal and Solar Loading** 

### Thermal Path Radiance

were obtained by dividing the layer into 100 sub-layers and integrating the equations of transfer numerically. The relative errors for the LOWIRAN/MODTRAN solution were 5.5-5.9% maximum and 5.2-5.6% rms.

The MOSART implementation is also presented. The "exact and MOSART up-looking curves are essentially coincident with a maximum error of 0.043% and an rms error of 0.012%. For the down-looking case, the agreement is not LOWTRAN/MODTRAN path radiances are identical for the up-looking and down-looking cases. The "exact" solutions perature with a 6.5 K temperature gradient, the up-looking (hot-to-cold) and down-looking (cold-to-hot) thermal path radiances are shown for both the LOWTRAN/MODTRAN and MOSART implementations. The For a single layer in the single scattering mode, LOWTRAN and MODTRAN use an average layer temperature to determine the thermal radiance from that layer. In the view graph, for a wavelength of 10 um and a single layer tem-

quite as good, with a maximum error of 5.7% and an rms error of 0.99%.

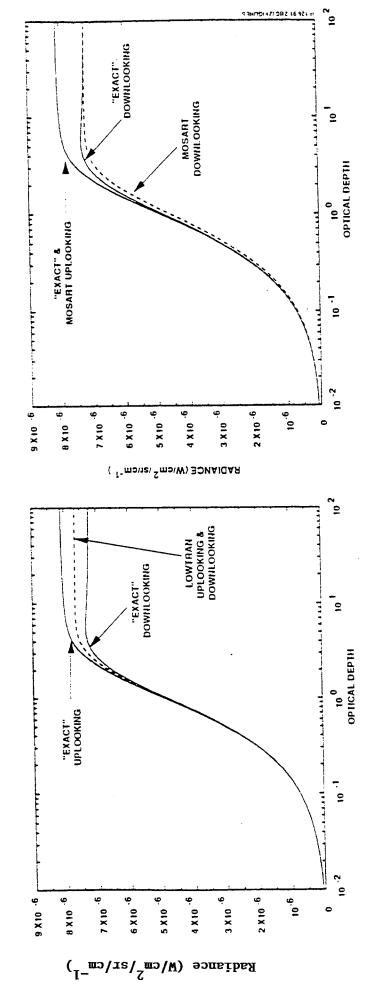
242



# THERMAL PATH RADIANCE

#### MODTRAN

#### MOSART

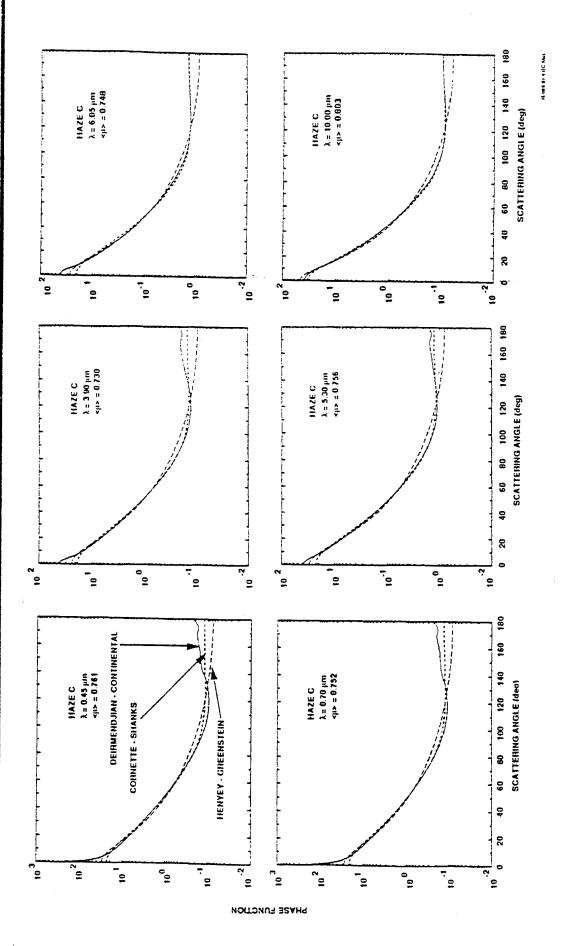


### New Phase Function

cantly better approximation to phase functions associated with naturally occurring aerosols (e.g., the Deimendjian Continental aerosol model), particularly at the smaller values of the size parameter (i.e., at longer wavelengths). It should be noted that the Cornette-Shanks phase function is essentially coincident with the Deirmendjian Continental Greenstein phase function in both single and multiple scattering applications. The new phase function provides a signifi-Cornette and Shanks (Applied Optics 1992) have developed an analytic phase function to replace the Henyeyphase function for wavelengths more than 6 um, except near the forward scattering peak.



# **NEW PHASE FUNCTION**

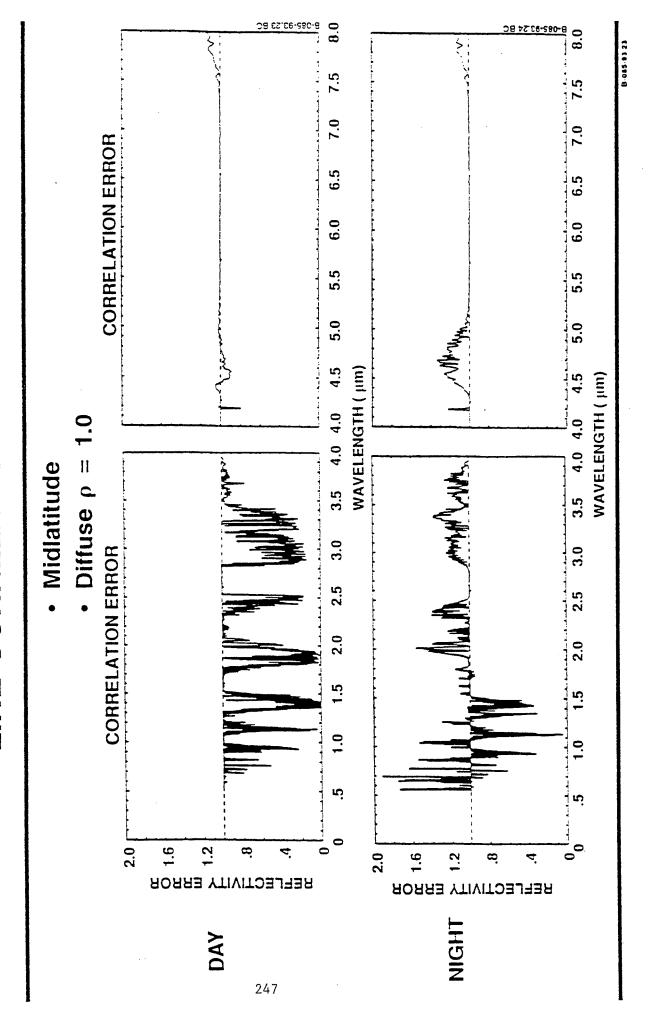


### Line Correlation Effects

The incorporation of line correlation in modelling the reflected radiance from a terrain material can be significant, particularly for wavelengths below 4 um. These figures show the error in the reflected component for a diffuse reflector with reflectivity of unity for a Midlatitude day and night.



# LINE CORRELATION EFFECTS



#### Sky Noise

The MOSART code uses the thermal sky noise algorithm in APART and extends the algorithm to include both Rayleigh and aerosol scattering. The only significant assumption is that the aerosol number density fluctuations are driven by the air density fluctuations.



### SKY NOISE

Thermal Emission:

- Convert  $C_n^2$  to  $C_T^2$  to  $C_{Jam}^2$ 

· Rayleigh Scattering:

$$K_S = \frac{24\pi^3}{N} \left( \frac{n^2 - 1}{n^2 + 2} \right) \left( \frac{6 + 3\sigma}{6 - 7\sigma} \right) v^4$$

So Convert C<sub>n</sub><sup>2</sup> to C<sub>ks</sub><sup>2</sup> to C<sup>2</sup><sub>Jsc(mol)</sub>

Aerosol Scattering:

Assume Aerosol Number Density Fluctuates with Fluctuations in Air Density

So Convert  $C_n^2$  to  $C_\rho^2$  to  $C_{ND}^2$  to  $C_{Jsc(aer)}^2$ 

## Atmospheric Characterization

The characterization of the atmosphere (e.g., pressure, temperature, molecular concentrations, hydrometeors) is extracted from LOWTRAN and MODTRAN with a few extensions available from APART. In addition to the six (6) model atmospheres from LOWTRAN, seventeen (17) model atmospheres from APART are included, together with the LOWTRAN user-defined atmosphere. MOSART has been upgraded so that a global atmosphere is available by interpolating in latitude from the available model atmospheres. The basic atmospheric properties are interpolated for each

line-of-sight, so that the emitted path radiance looking north will be different from the line-of-sight looking south.

The aerosols from LOWTRAN have been supplemented by a temperature-dependent background stratospheric aerosol model. The LOWTRAN haze profiles can have either the LOWTRAN break points of 10 km and 35 km or the tropopause and stratopause defined by the model atmosphere.

The LOWTRÂN hydrometeor (i.e., water clouds, cirrus clouds, rain) models have been increased to four (4) fog models, eleven (11) non-precipitating clouds, five (5) precipitating clouds, five (5) rain models, and six (6) snow models. A user-defined profile is also available. The two (2) LOWTRAN cirrus cloud models are included, together with the temperature-dependent Heymsfield cirrus model



# ATMOSPHERIC CHARACTERIZATION

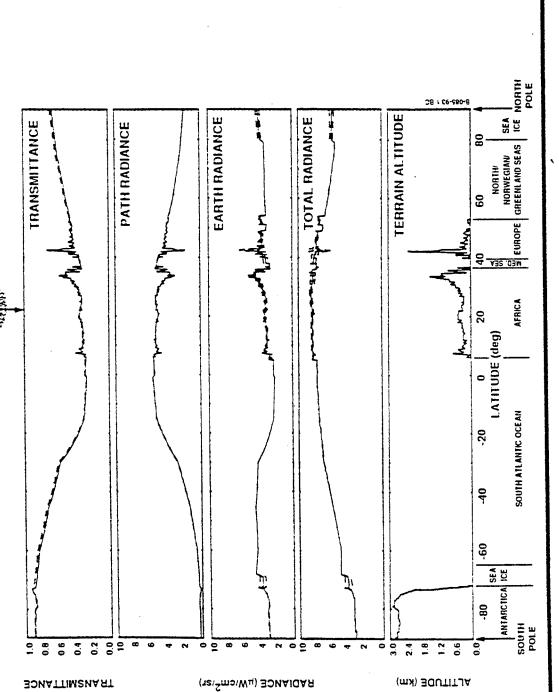
- Atmospheres:
- 23 Model Atmospheres
- **Global Atmosphere**
- **User-Defined Atmospheres**
- Aerosol Types and Haze Profiles:
- MODTRAN Aerosols Plus Temperature Dependent Background Stratospheric
- Fixed Plus Atmosphere Dependent Haze Profiles
- Hydrometeors:
- Four Fog Models
- Eleven Non-Precipitating Clouds
- Five Precipitating Clouds
- Five Rain Models
- Six Snow Models
- User Defined Profile
- · Cirrus Clouds:
- Standard (64 µm + Extinction)
- Subvisual (4 μm + Extinction)
- Heymsfield (Temperature Dependent)

## Global Climatology Profile: 11 um; Nadir View

(including the transmittance) are shown for a longitude of 0 degrees (i.e., Greenwich meridian) from the South pole to the North pole. The total radiance (i.e., path plus earth) is also presented for a narrow spectral band centered around 11 um on 21 June 1993 at 12:00 GMT. The altitudes of the terrain, together with basic geographic features, are shown. A Using the global atmosphere option in MOSART, the transmittance, the path radiance, and the earth radiance list of the terrain scene types encountered are also listed.

# OBAL CLIMATOLOGY PROFILE:

SLIMATOLOGY PROFILE: 11  $\mu$ m; NADIR VIEW; 0° LONGITUDE; 21 JUNE 1993, 12:00

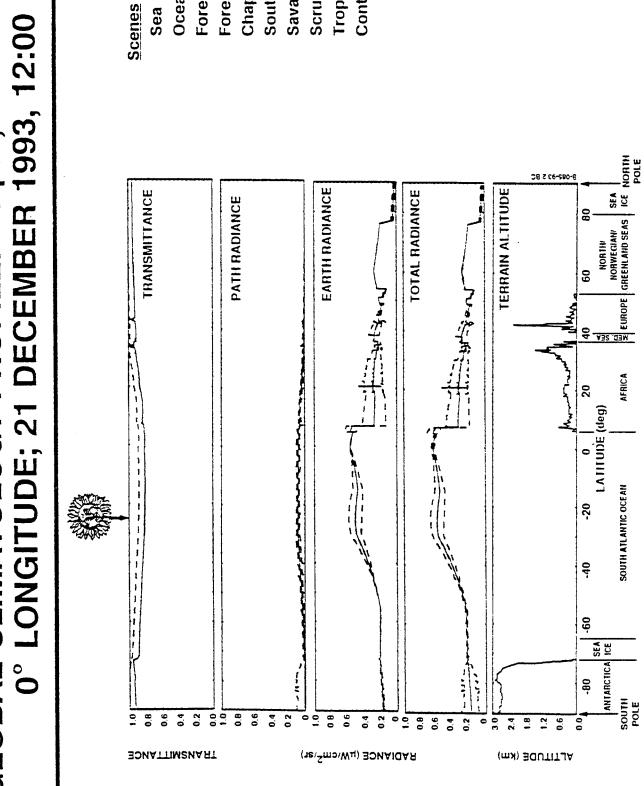


Southern California **Tropical Land/Sea** Forest/Mountains Continental Ice Scrub Desert Ocean/Lake Forest/Lake Chaparral Savannah Sea Ice Scenes

## Global Climatology Profile: 4 um; Nadir View

Using the global atmosphere option in MOSART, the transmittance, the path radiance, and the earth radiance (including the transmittance) are shown for a longitude of 0 degrees (i.e., Greenwich meridian) from the South pole to the North pole. The total radiance (i.e., path plus earth) is also presented for a narrow spectral band centered around 4 um on 21 December 1993 at 12:00 GMT. The altitude of the terrain, together with basic geographic features. Are shown. A list of the terrain scene types encountered are also listed.

## 4 μm; NADIR VIEW; 1993, 12:00 OBAL CLIMATOLOGY PROFILE: ------



Southern California

Chaparral

Forest/Mountains

Ocean/Lake Forest/Lake

Sea Ice

Fropical Land/Sea

Scrub Desert

Savannah

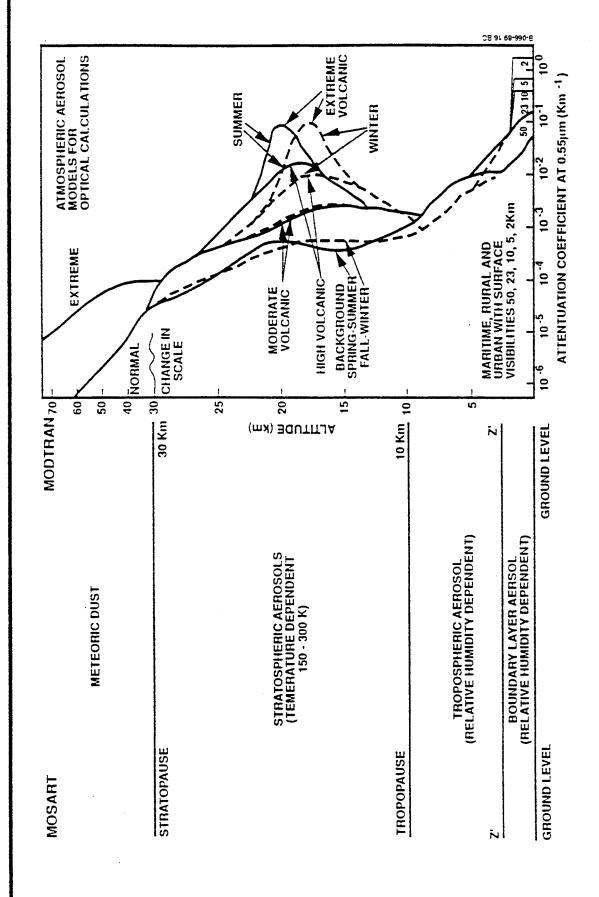
Continental Ice

## Acrosol Types and Haze Profiles

The aerosols from LOWTRAN have been supplemented by a temperature-dependent background stratospheric aerosol model. The LOWTRAN haze profiles can have either the LOWTRAN break points of 10 km and 35 km or the tropopause and stratopause defined by the model atmosphere.



# **AEROSOL TYPES AND HAZE PROFILES**



### Background Representation

The earth and space backgrounds have been taken directly from the APART code. The various aspects of this part of the MOSART code are discussed in greater detail later in the presentation.

# BACKGROUND REPRESENTATION

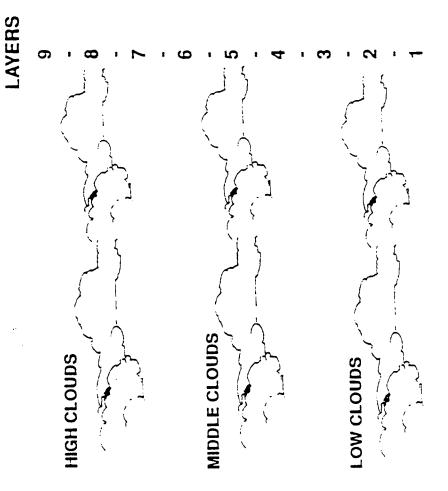
- Composite Terrain Scenes:
- Global Seasonal Coverage (1° Resolution)
- 35 Scenes
- Monthly Snow Cover (4.5° Resolution)
- Terrain Altitude (10 Minute Resolution)
- Terrain Materials:
- 28 Types
- Optical Properties
- Thermal Properties
- Broad Band Heat Transfer:
- Solar Loading
- Thermal Loading
- Diurnal Temperature Cycle
- · Space:
- Zodiacal Light
- . Mean Star Radiance
- Galactic and Extra-Galactic Radiances

#### Heat Transfer

The MOSART code contains a broad-band heat transfer model that uses a three-etage cloud structure with multiple scattering to determine the solar and thermal loading as a function of altitude and time of day. These parameters are used to determine the earth material temperatures. The loading is also available by way of a binary output file for use by other codes.



## **HEAT TRANSFER**



- Short Wave ( $\alpha_s$ ) (0.25 4.0  $\mu$ m)
- Direct Beam
- Upward Diffuse
- Downward Diffuse
- Long Wave (ε<sub>th</sub>)
   (4.0 μm 25 μm)
- Upward Diffuse
- Downward Diffuse
- Multiple Scattered

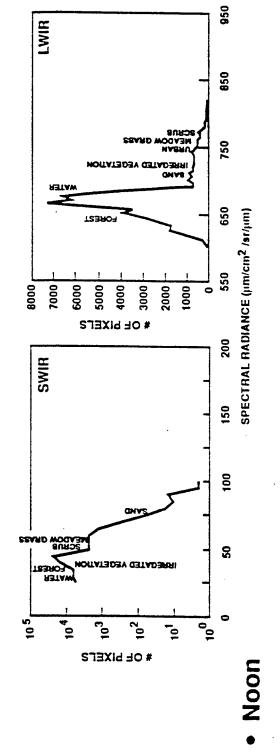
### Santa Cruz, California, Scene

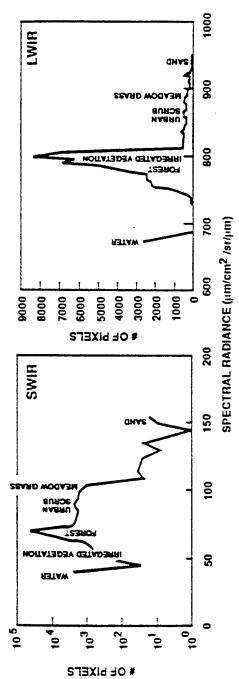
The ability to decompose a deterministic scene, such as this one of Santa Cruz, California, until the appropriate terrain materials, allows the MOSART code to contain a statistical representation of the deterministic scene.



# SANTA CRUZ, CALIFORNIA, SCENE

## Dawn Plus One Hour





## Subarctic Summer Atmosphere

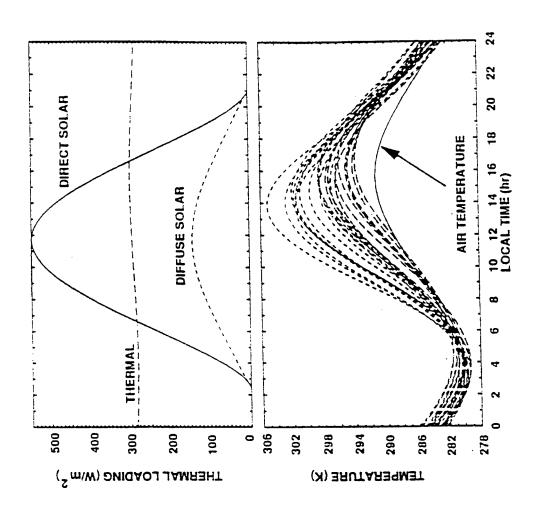
Using the broad-band heat transfer and the various terrain materials in a scene, it is possible to determine the solar and thermal loading on the terrain and the temperatures of the materials as a function of time of day. The curves shown here are for a Subarctic Summer atmosphere, where the terrain temperatures are driven by the local air temperature.



# SUBARCTIC SUMMER ATMOSPHERE



- Cooling Observed at Night Below Local
   Air Temperature
- Large Day-Night Air Temperature Variations



### Tropical Atmosphere

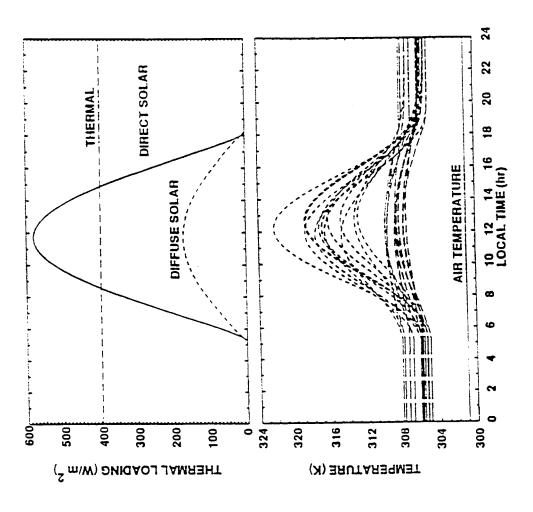
Using the broad-band heat transfer and the various terrain materials in a scene, it is possible to determine the solar and thermal loading on the terrain and the temperatures of the materials as a function of time of day. The curves shown here are for a Tropical atmosphere, where the terrain temperatures are dominated by thermal loading at night and solar loading during the day.



# TROPICAL ATMOSPHERE

Thermal Loading by Atmosphere Dominates at Night

Heavy Cloud Cover Prevents Cooling at Night  No Day-Night Air Temperature Variations



### Subarctic Winter Atmosphere

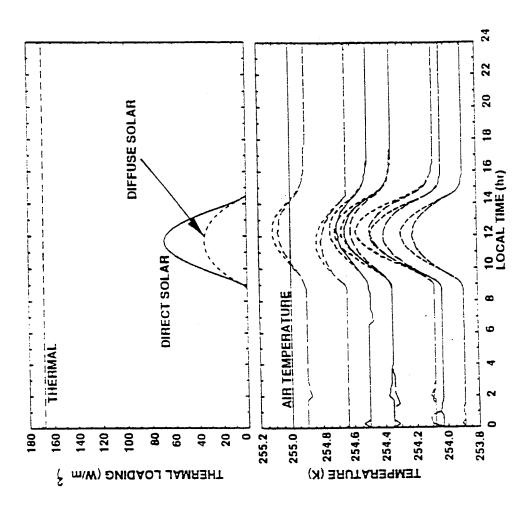
solar and thermal loading on the terrain and the temperatures of the materials as a function of time of day. The curves shown here are for a Subarctic Winter atmosphere, where the terrain temperature variations are quite small. In fact, some of the variations at night are essentially computational "noise" that are indicative of the stability of the algorithm (i.e., approximately 0.05 K). Using the broad-band heat transfer and the various terrain materials in a scene, it is possible to determine the



# SUBARCTIC WINTER ATMOSPHERE



- No Day-Night Air Temperature Variations
- Numerical "Noise" of Algorithm Seen in Temperature Curves



### Miscellaneous Features

This view graph lists some miscellaneous features of the MOSART code.



# **MISCELLANEOUS FEATURES**

- Automatic Atmosphere Profiling:
- In-Band and Spectral
- **Atmoshperic Analyses**
- **Terrain Altitude Effects**
- Spectral Calculations:
- Variable Spectral Sampling
- Wavelength vs. Wavenumber Resolution and Sampling
- · Ray Tracing:
- Refractivity
- **Anomalous Propagation**

- Ephemeris:
- Solar and Lunar
- **Year-to-Year Variations**
- Relative Humidity (Goff-Gratch)
- · Additional Molecules in Ultraviolet:
- $^{-}$  N $_{2}$ O
- $\frac{1}{2}$  NO<sub>2</sub>  $\frac{1}{2}$  N<sub>2</sub>O<sub>2</sub>

#### Utilities

A number of utility programs are delivered with the MOSART to assist in installation (i.e., FPTEST and INSTDB), to manipulate and create files (i.e., ASCBIN and CRFILE), to process the output (i.e., ASCBIN, BBTEMP, VISUAL, and PLTGEN), and to create statistical terrain scenes (i.e., SCNGEN).



### UTILITIES

FPTEST: Tests Machine-Dependent Features

INSTDB: Installs Binary Data Bases

ASCBIN: ASCII/Binary Conversion and Creates Spectral Tables

CRFILE: Creates Input Files Interactively

BBTEMP: Converts Radiance to Equivalent Blackbody Temperature

VISUAL: Converts Radiance to Luminance and CIE Color Coordinates

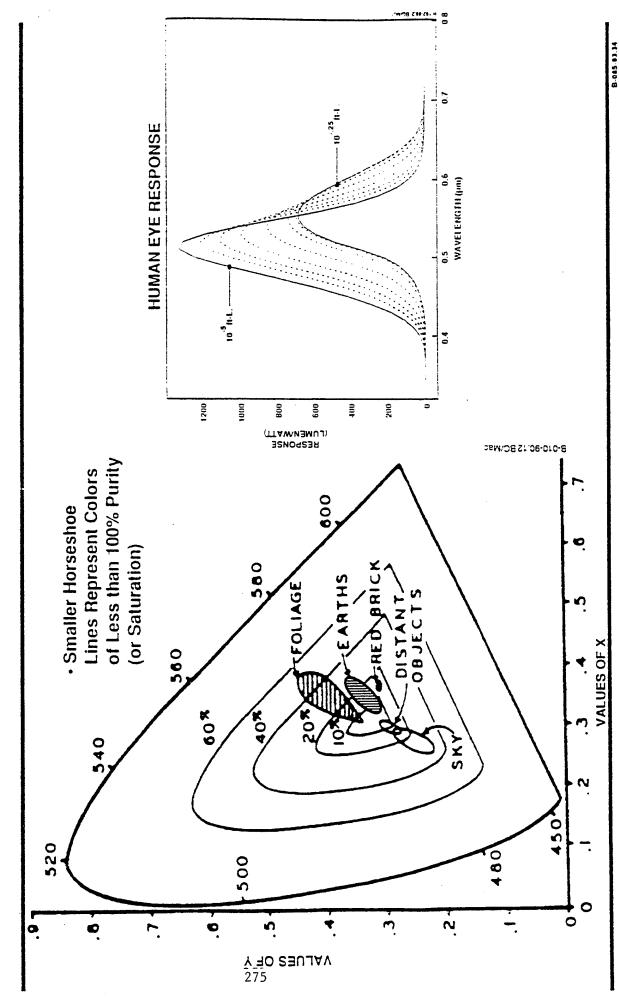
PLTGEN: Produces Spectral Plots (NCAR or DISSPLA)

SCNGEN: Create Deterministic/Statistical Terrain Scenes

### VISUAL Utility Code

The VISUAL utility code converts the transmittance, radiance, and irradiance values calculated by the MOSART code and converts them into transmittance, luminance, and illuminance values as perceived by the human eye. The eye response is modelled as as spectrally adaptive filter, varying from scotopic to photopic, depending upon the background luminance level. The color of each luminance and illuminance value is given in terms of the CIE (x,y) coordinates.

## **VISUAL CODE**



### San Diego, California, Scene

Using the statistical representation of the San Diego, California, scene, the MOSART code can approximate the probability density function and the power spectral density for the deterministic scene.

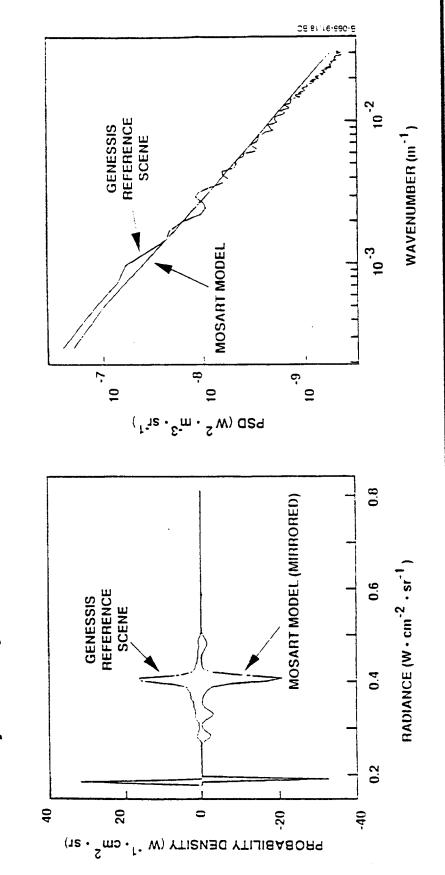


# SAN DIEGO, CALIFORNIA, SCENE

- · Noon
- 3.7 4.1 µm

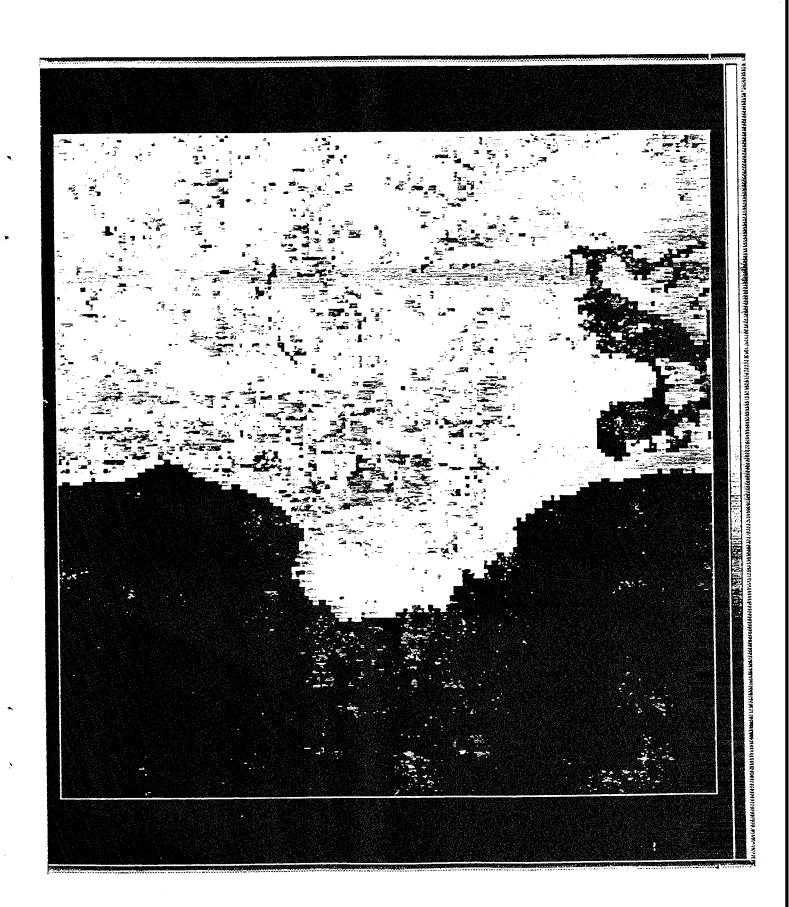
Probability Density Function

Power Spectral Density



## Statistical San Diego, California, Scene

power spectral density slope and correlation length, and scene composition). To insure that the scene would look somewhat like San Diego, California, a template of land and sea was input to the code at 100 meter resolution. The The scene shown in the view graph was created by the SCNGEN code using the statistical terrain parameters generated by the MOSART code (i.e., material radiances - mean and standard deviation, material spatial structure -SCNGEN code used fractals to increase the resolution to the 25 meters shown. Amount of sun and shade for each material was determined using a fractally generated cloud cover map. (N.B.: Clouds are not included in the SCNGEN at the present time, only shade due to clouds.)



#### Code Documentation

tion includes routine prologues that discuss the purpose of the routine, full descriptions of all arguments, and liberally Internal code documentation will be provided in compliance with the PRA Software Standard. Such documenta-

scattered comments throughout the code.

External documentation includes a full set of manuals covering installation, day-to-day usage of the code, technical descriptions of the algorithms and data bases, and the structure of the software and variable definitions.



# CODE DOCUMENTATION

- Internal Documentation:
- Routine Prologues
- Comments
- **External Documentation:**
- Installation Reference Manual
- User Reference Manual
- Technical Reference Manual
- Software Reference Manual

#### Sample Input File

The view graph presents a sample MOSART input file. The four (4) sections shown are those required for all runs of the code:

- User-supplied ParametersPosition ParametersGeometry ParametersSpectral Parameters

Other optional sections (e.g., solar definition, atmosphere definition, terrain definition, hydrometeor definition) can be added when required.



## SAMPLE INPUT FILE

Moderate Spectral Atmospheric Radiance and Transmittance (MOSART) (Ver. 1.00) Sample Input File Large Multiple Scattering (Y/N) ..... Printout Switch (S/M/L) ...... Temperature Calculations (Y/N) ...... Solar/Lunar Ephemeris (Y/S/L/N) ..... User-specified Parameters ------Header

180. 90. Azjmuth Reference (Relative/True) .... True Observer Azimuths (deg) (<=8) ...... Geometry Parameters ----



## SAMPLE INPUT FILE

*****  *****  0.0  *****  0.0  *****  *****  *****  *****  *****  ****	<del></del>	S	t. Src. Alt. Sl.Rng.	Sl.Rng.	Earth Ang.Obsv.Angle Src. Angle Length	Obsv.Angle	<pre>Src. Angle (deq.)</pre>	Length Switch
*****       *****         *****       -90.0       *****         *****       -6.0       *****         *****       *****       *****         *****       ******       -98.2         *****       ******       ******         *****       ******       ******         ******       ******       ******         ******       ******       ******         ******       ******       ******	(Piii)	*	*	/ I/III /	(C) > ) *****	****	1.0	0
*****       -90.0       *****         *****       -6.0       *****         *****       *****         *****       *****         *****       *****         *****       *****         *****       *****         *****       *****         *****       *****         *****       *****         *****       *****         *****       *****			*	****	****	****	0.06	0
*****       -6.0       *****         0.0       *****       *****         *****       *****       *****         *****       *****       *****         *****       -7.0       *****         *****       *****       *****         *****       *****       *****         *****       *****       *****         *****       -19.75       *****	1.0		*	****	****	0.06-	****	0
0.0  *****  *****  *****  *****  *****  ****	****		_	120.0	***	0.9-	***	0
***** 46.0 -98.2  *****  *****  *****  *****  -7.0 *****  *****  *****  *****  *****  -19.75 *****	1.0		*	****	0.0	****	***	0
*****  *****  *****  *****  *****  *****	1.0		*	****	****	46.0	-98.2	*
*****  *****  *****  *****  *****  *****	****		*	***	***	****	***	*
*****  *****  *****  *****  *****  *****	****			1.0	***	****	***	*
*****  *****  *****  *****  -19.75	****		*	***	****	-7.0	***	*
***** ***** *****	1.0		*	****	****	****	****	*
*****	-200.		*	* * *	****	****	*	*
	****		*	* * *	****	-19.75	***	*

           	MO	Z Z	3000.	, 3000.	, 5	. 20.
Spectral Parameters	Spectral Calculations (MO/LO/MM)	Wavenumber or Wavelength (WN/WL)	Initial wavenumber (cm**-1/um)	Final wavenumber (cm**-1/um)	Calculation Width (cm**-1/um/GHz)	Resolution (cm**-1/um/GHz)

#### Execution Time

conditions. (i.e., same compiler options, approximately same load factor) on a Silicon Graphics Personal IRIS Workstation 4D/35, operating at 36 MHz. A single line-of-sight passing through 33 atmospheric layers (space-to-ground for MODTIRAN) was used for calculations for the 2000-3500 wavenumber spectral region. The execution times were separated into an initialization time and the time for each spectral calculation. It should be noted that there is a fair To compare the execution time for MODTRAN and MOSART, both codes were executed under nearly identical amount of variability in the execution time from run to run, so these execution times should be considered approximate.

used to by-pass this calculation. For a single line-of-sight, the overhead associated with each spectral calculation is significantly greater for MOSART than for MODTRAN. However, if multiple lines-of-sight are desired, the time difference The MOSART code does take somewhat longer to initialize. If terrain temperatures are to be calculated, the initialization time is fairly large; however, this calculation is a user option, and results from previous calculations can be

is not significant. Work is continuing on improving the execution speed of the MOSART code.



## **EXECUTION TIMES**

Silicon Graphics Personal IRIS 4D/35 (36 MHz)

Slant Path, 33 Layers, 2000 - 3000 cm<sup>-1</sup>

	Initialization	Spectral Calculations
·	Calculations (sec)	(sec/spectral point)
MODTRAN	2.59 - 3.46/LOS	0.111 - 0.239/LOS
MOSART		
Arbitrary Sun Position	1.43 - 2.03	0.260 - 0.647
	+	+
	0.95 - 0.99/LOS	0.223 - 0.242/LOS
High Sun Approximation	1.37 - 2.07	0.270 - 0.721
	+	<del>-</del> -
	0.34/LOS	0.022 - 0.026/LOS

Terrain Material Calculations ~ 95 Seconds

Global Atmosphere Calculations \* 3 (Approximately)

· Time Ranges for No Multiple Scattering and Multiple Scattering

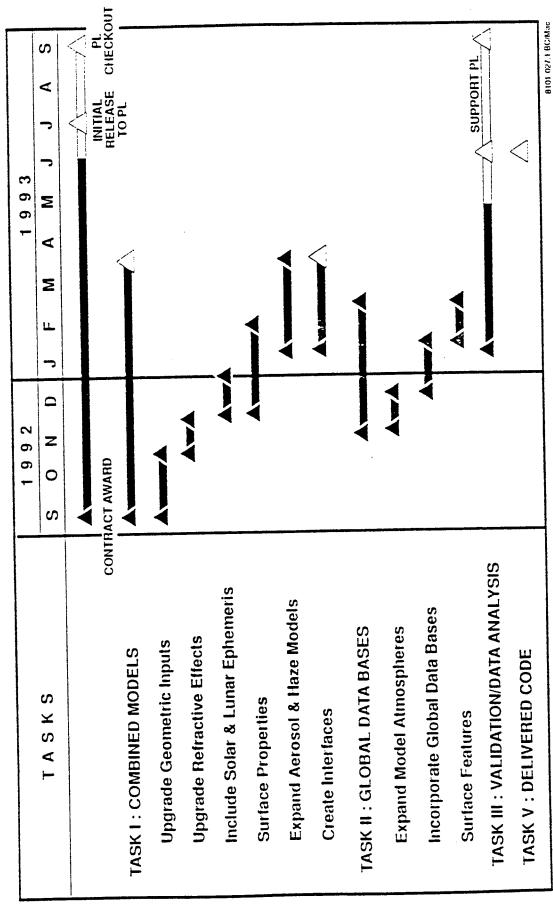
#### Schedule

The schedule for this phase of the development effort is shown. All elements of the work are either ahead of schedule or on schedule except for the creation of the necessary interfaces. The ability to create a file analogous to TAPE7 from MODTRAN and LOWTRAN is still being worked on. Testing is continuing, with the initial code delivery planned in July 1993.



## PHASE II SCHEDULE

Contract Start Date: 16 Sep 1992



B 065-83.14

### MOSART Code Upgrades

abilities of the LOWTRAN, MODTRAN, and APART codes. Although a few new capabilities were added (e.g., global backgrounds, ocean temperatures), a number of capabilities have been deferred until the next phase of code development. For example, the U.S. Air Force Phillips Laboratory is currently developing new multiple scattering algorithms for The current phase of the MOSART code development was concerned primarily with merging the existing capinclusion in a future release of MODTRAN. If appropriate, these new algorithms will be incorporated into MOSART.

The terrain structure statistics and the turbulence-induced sky noise models currently in MOSART require significant refinement and validation. Similarly, the ability to model polarization of atmospheric and terrain radiation may be desirable in the future.

Since one of the major reasons behind the development of the MOSART program is to provide the SSGM with a "seamless" approach to modelling atmospheric effects in the lower atmosphere, an anticipated area of future growth will be to couple the non-local thermal equilibrium (NLTE) calculations of SHARC and the LTE calculations of MOSART.



# **MOSART CODE UPGRADES**

Incorporate New Multiple Scattering Algorithms

Upgrade Background Structure Statistics

Validate/Improve Turbulence/Scintillation/Sky Noise

LTE/NLTE Coupling (SAMM)

**Polarization** 

#### INCLUSION OF ACCURATE MULTIPLE SCATTERING IN MODTRAN

K. Stamnes and S. Tsay

M. Yeh

Geophysical Institute Univ. of Alaska Fairbanks, AK 99775-0800 Caelum Research Corp. Silver Spring, MD 20901-4554

Our work on the MODTRAN computational code aimed at improving the computation of multiple scattering not only in the infrared part of the spectrum, but also in the solar part where scattering effects are important under both cloudy and clear sky conditions. This task is concerned with the inclusion of the general-purpose multiple scattering algorithm DISTORT (Discrete Ordinate Radiative Transfer code as summarized by Stamnes et al., 1988) into MODTRAN, including proper interfaces to allow for accurate computation of multiple scattering effects. In the solar part of the spectrum this is important for clear sky conditions (Rayleigh scatter) as well as cloudy and hazy situations. In the terrestrial infrared part of the spectrum (beyond 4 microns) molecular (Raleigh) scattering is negligible, but scattering from clouds and aerosols can not be ignored. Results were compared between the two versions and their differences and improvements will be discussed.

# Inclusion of Accurate Multiple Scattering in MODIRAN

K. Stamnes and S. Tsay Geophysical Institute University of Alaska Fairbanks Fairbanks, AK 99775-0800

M. Yeh Caelum Research Corporation 11229 Lockwood Drive Silver Spring, MD 20901

### Motivation

- Multiple scattering in MODTRAN is based on a 2-stream code (BMFLUX) with an isothermal layer approximation from which upward and downward fluxes are obtained.
- These fluxes are then converted into hemispherical intensities by assuming that the intensity is uniform in each hemisphere so that the hemispherical intensity is obtained from the flux by dividing it by  $\pi.$
- Single scattering is already computed accurately in MODTRAN including curvature and refraction effects.
- This approach is justifiable if the single scattering contribution dominates as may frequently be the case for clear sky conditions. However,

# scattering contribution to the radiance may dominate! In the presence of clouds and aerosols the multiple

Therefore, a better multiple scattering scheme is expected to improve

- accuracy of calculations of atmospheric transmission and reflection;
- accuracy of retrievals of remotely-sensed atmospheric properties that rely on the interpretation of measured radiances (ground-based or from space).

## Scattering (MS) HOW do we Improve Multiple Treatment?

BMFLUX) with a more accurate one based on a multi-stream Simple answer: Replace existing scheme (based approach (DISORT) to compute multiple scattering.

Multiple Scattering component of the source function. Therefore computes complete radiance, but MODTRAN needs only

complete answer: To minimize changes in MODTRAN we have extended DISORT to compute only the MS component of the source function for use in MODTRAN. More

IMPORTANT: Both MODTRAN and DISORT are very complex codes. Therefore

- interfacing of DISORT with MODTRAN must be done very carefully;
- extensive testing is required and must be carefully executed. This is a timeconsuming undertaking.

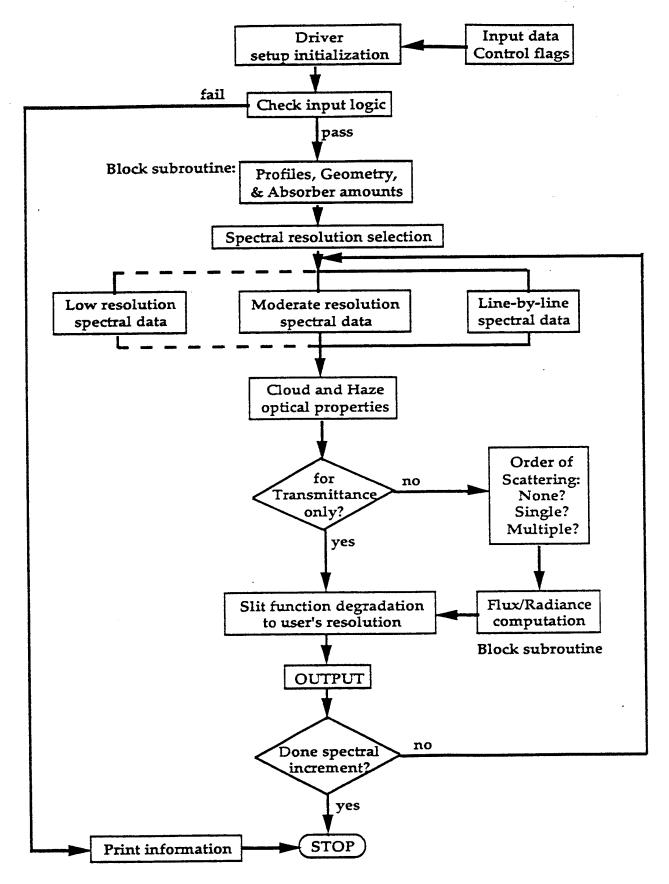


Figure 1. Flowchart.

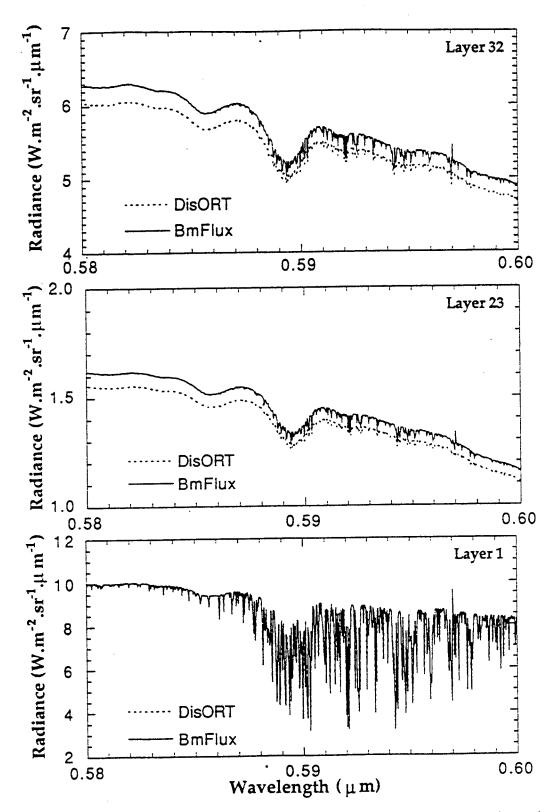


Figure 2. Comparison of solar multiple scattering source function for a clear sky subarctic winter McClatchey atmosphere, layer 1 for the lowest atmospheric layer and layer 32 for the highest layer.

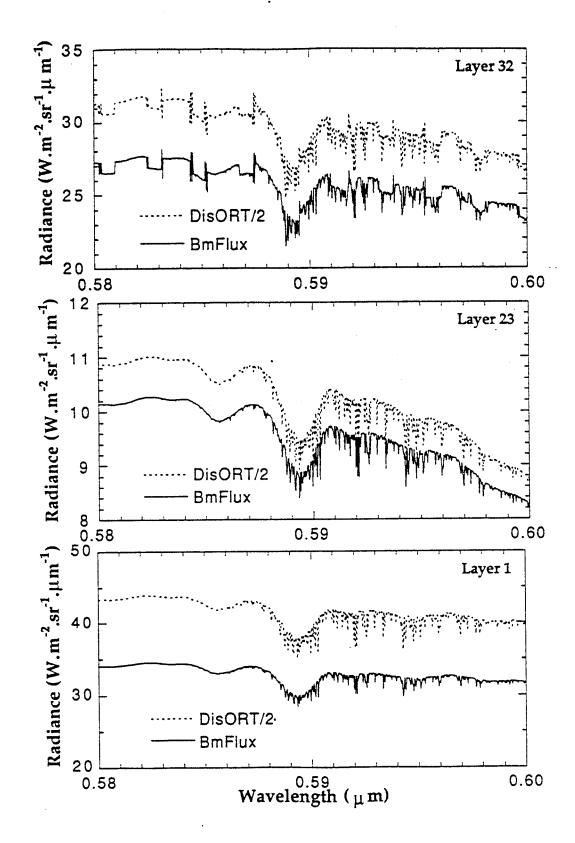


Figure 3. Same as in Figure 2, but for hazy sky condition (IHAZE=2).

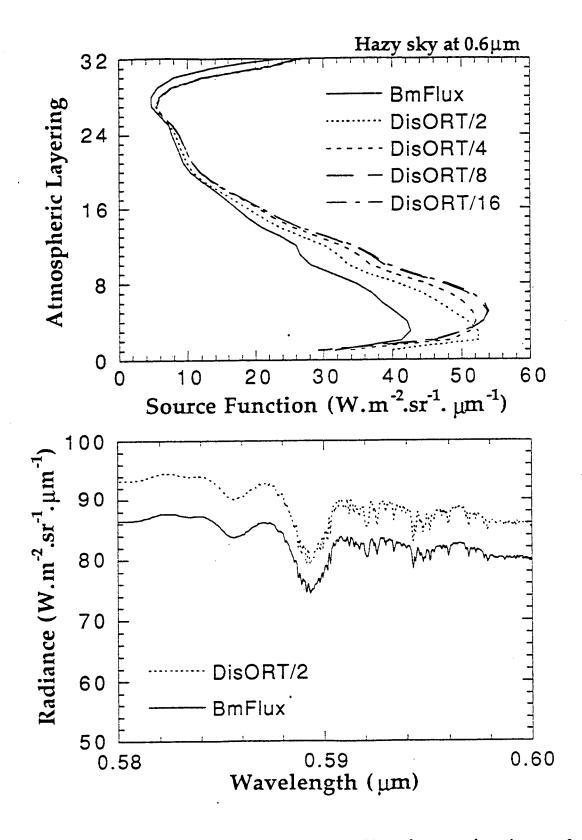


Figure 4. Same as in Figure 3, but for vertical profiles of source functions at 0.6  $\mu m$  and spectral total radiance at top for an observer looking straight down.

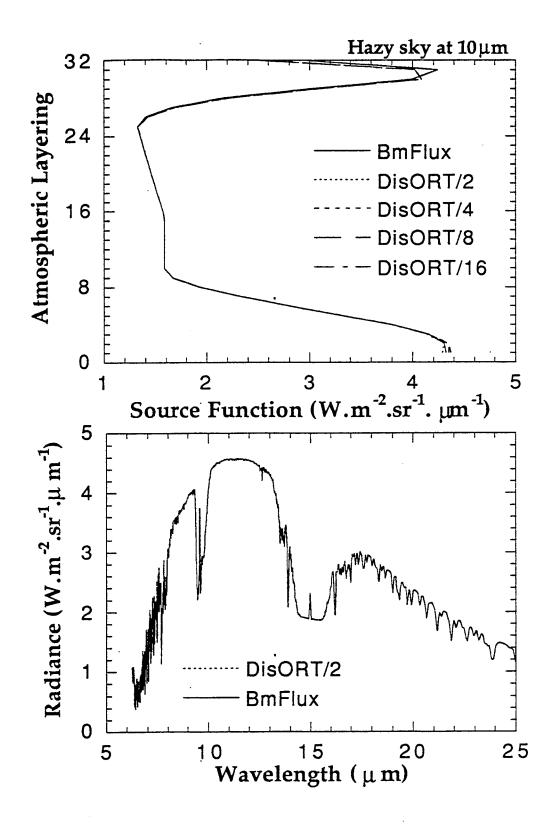


Figure 5. Same as in Figure 4, but for hazy sky thermal condition.

# POSSIBLE AND DESIRABLE EXTENSIONS

# A. Multiple Scattering in Plane Geometry

Present inclusion of multiple scattering (as the one based on BMFLUX) deals exclusively with the azimuthally-averaged component of the radiance. This represents no limitation in the infrared where the source function is azimuth-independent.

In the solar, however, the existing azimuth-dependence of the MS source function is ignored, although the single scattering contribution is computed correctly including azimuth-dependence. Therefore, the following questions arise:

How large an error do we make by ignoring the azimuthdependence of the multiple scattering term?

How can we correct the error made by this approach?

## POSSIBLE APPROACHES

But implementation in present version of MODTRAN is difficult and may require substantial First approach: Incorporate full azimuth-dependence of the intensity, by using DISORT. restructuring of MODTBAN in order to accomodate the interface with DISORT.

released soon) will have fast computation of multiple scattering and 'exact' computation of complete radiative transfer computation. In fact, the new version of DISORT (to be Second approach: Use MODTRAN to compute optical properties and let DISORT handle the the single scattering component of the radiance.

Third (hybrid) approach: If scattering is isotropic, then only the azimuthally-averaged component contributes and present approach is sufficient. Therefore, an approximate hybrid approach may consist of (i) scaling the anisotropic scattering so that the problem is reduced to one with isotropic scattering (similarity transformation); (ii) solving for the multiple scattering component based on the 'scaled' (isotropically scattering) problem for which only the azimuthally-averaged component contributes; (iii) combining this approximate multiple scattering solution with the 'exact' single scattering solution based on the complete phase function. The third approach is attractive because it is expected to be very efficient. Therefore it would be of great interest to find out under what conditions it is valid.

# B. Multiple Scattering in Spherical Geometry

### Possible approach:

(i) Use plane geometry to approximate derivative term, but compute Chapman function correctly using spherical geometry. (ii) Compute 'exact' single scattering solution as follows: Use iteration to incorporate the missing derivative terms due to plane geometry in the single scattering approximation. Thus, start with solving problem (i) above (ignoring multiple scattring) and use this solution to compute the missing terms. Add these contributions to the source term and solve problem (i) again with the additional source. Repeat this procedure, which should converge if the additional derivative terms due to spherical geometry are small enough. This should yield an 'exact' solution in the single scattering approximation. (iii) Solve for the multiple scattering component based on the 'scaled' (isotropically scattering) problem for which only the azimuthally-averaged component contributes.

(iv) Combine this approximate multiple scattering solution with the 'exact' single scattering solution based on the complete phase function obtained as outlined in (ii) above. This approach is expected to be quite efficient and may be accurate enough for many purposes,

#### Note:

1. For nadir and zenith directions there is by definiton no azimuth-dependence. Therefore this procedure will give the complete solution. 2. For isotropic scattering there is nothing 'driving' azimuth-dependence. So solution will be azimuthindependent in this case.

# C. Multiple Viewing Directions

MDTRAN presently computes for a single viewing direction and starts from 'scratch' when a new direction is desired. In contrast DISORT can return *an arbitrary number of desired output directions* at insignificant additional computational cost.

Thus, if multiple viewing directions are desired it would be most efficient to:

(i) use MODTRAN to compute optical properties,

(ii) use MODTRAN's geometry package including curvature and refraction effects to compute the single scattering source term, and finally

(ii) use new version of DISORT to do the complete radiance computation.

## Accurate Computation of Heating/Cooling **Rates and Potolysis Rates**

MDTRAN has sufficient resolution to make it ideal for the computation of accurate heating/cooling rates photolysis rates. We envision that it could be used to establish an affordable 'benchmark' against which simpler more efficient schemes for use in large-scale models could be tested.

It should also be very useful for comparing detailed spectral measurements of radiative fluxes.

#### RAYLEIGH AND AEROSOL SCATTERING IN THE TROPOSPHERE AND STRATOSPHERE IN THE SPECTRAL RANGE 175-850nm: AN INTERACTIVE MODEL

D.E. Anderson

R. De Majistre and S. Evans

The Johns Hopkins Univ. Applied Physics Lab Laurel, MD 20723 Computational Physics, Inc. Fairfax, VA 22231

We have developed an interactive version of the radiation field model described by Anderson and Lloyd (1990), Anderson and DeMajistre (1992) and DeMajistre, Zasadil and Anderson (1992). The model provides solution for the diffuse radiation field including ground albedo, aerosols and absorption by molecular oxygen and ozone. The integral equation solution has been coded for representation of the radiation field and accurate ( $\sim 10 -> 20\%$ ) approximations to anisotropic scattering are included. LOWTRAN model atmospheres and Rayleigh, aerosol and ozone optical parameters have been utilized in the model. A spherical shell model for initial energy deposition is employed.

# Rayleigh and Aerosol Scattering in the Troposphere and Stratosphere in the Spectral Range 175-850 nm

D. E. Anderson Robert DeMajistre Scott Evans

June 8, 1993

Anomes/spike/pubs/models/93/modelpres.doc

#### Overview

We have developed a radiation field model for the wavelength range 175-850 nm. This model has the following properties

- Calculates the source function (normalized monochromatic flux) for user specified altitude, solar zenith angle and wavelength grid.
- Includes the effects of O<sub>2</sub>, O<sub>3</sub> absorption, rayleigh and aerosol scattering and spherical/refractive geometry.
- Model atmospheres and aerosol properties have taken from MODTRAN.
- Implements a rapid and reasonably accurate multiple scattering algorithm.
- Implements a preliminary version of a new refraction algorithm.
  - Can be used with a prototype interactive IDL interface
- Modular design for use with different applications

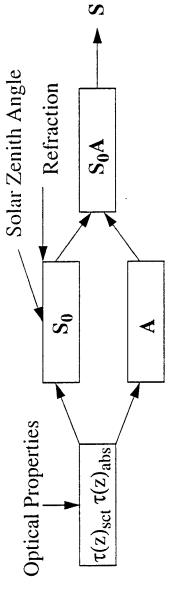
#### Algorithm

The basic equation used in the model is

$$S(\lambda, \theta) = S_0(\lambda, \theta) A(\lambda)$$

scattered source functions and A is a matrix derived from the properties Where S is the vector of source functions,  $S_0$  is the vector of single of the model atmosphere.

elements are functions of the absorption and scattering optical depths at the various altitudes. Note that this matrix need only be calculated once The  $S_0$  term is simply the attenuated solar beam flux plus a term from geometry is used to calculate S<sub>0</sub>. A is the inverse of a matrix whose lower boundary reflection  $(S_0 = e^{-\tau} + S_{0alb})$ . Spherical and refractive for each wavelength; it is independent of solar zenith angle.



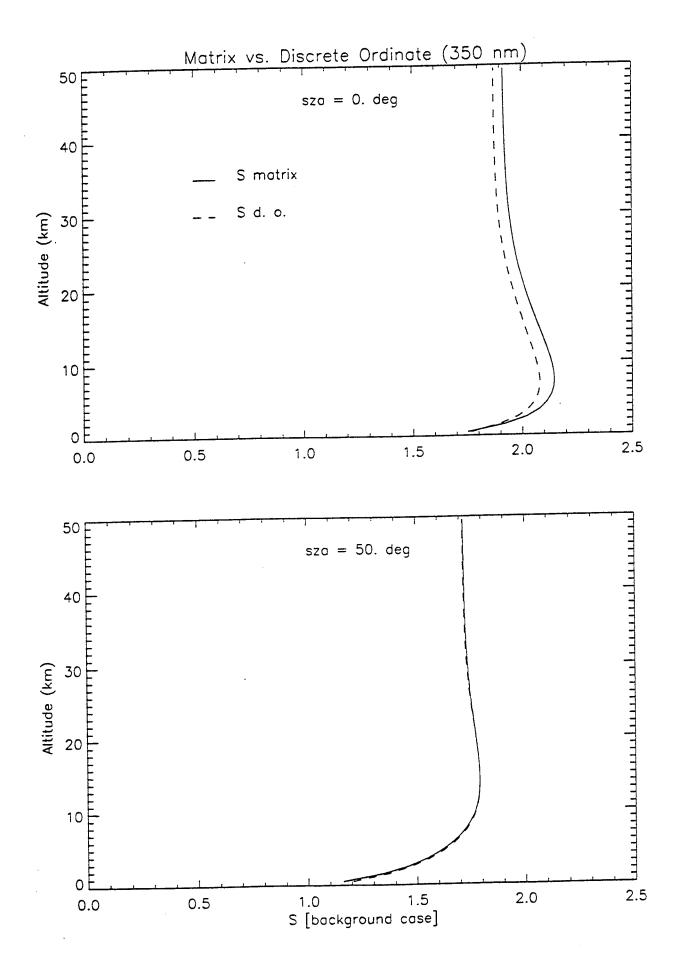
## Optical Properties Database

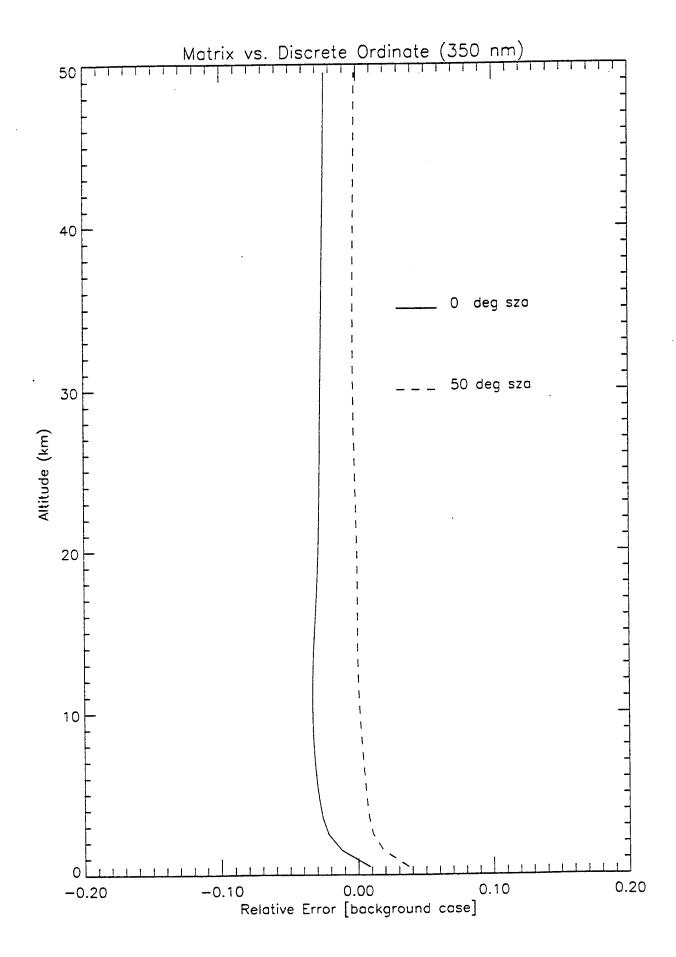
asymmetry parameters in the database are used to calculate reduced cross scattering in our isotropic approach. We also consider the absorption by sections. These reduced cross sections are used to correct for forward model. The aerosol models are also included (with the exception of All the model atmospheres in MODTRAN are also available in our clouds and fog). The aerosol phase functions are not used, but the aerosols parameterized in the database.

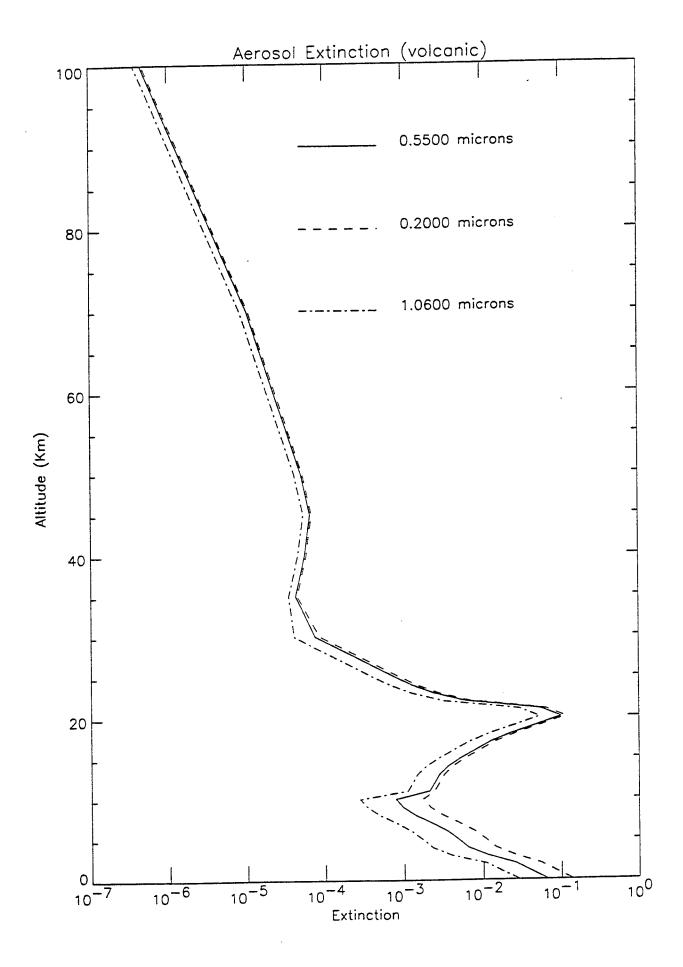
from Allen and Fredrick, and Herzberg continuum parameterization from Temperature dependent ozone cross sections are taken from Molina and Molina and WMO 1985. O<sub>2</sub> Schumann-Runga cross sections are taken Yoshino et al.

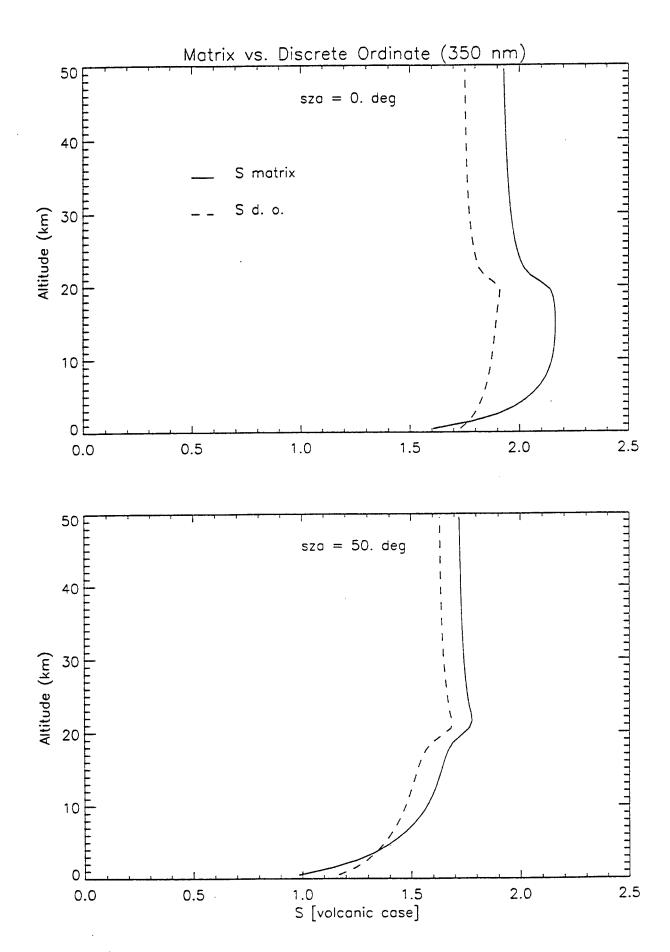
### Model Accuracy

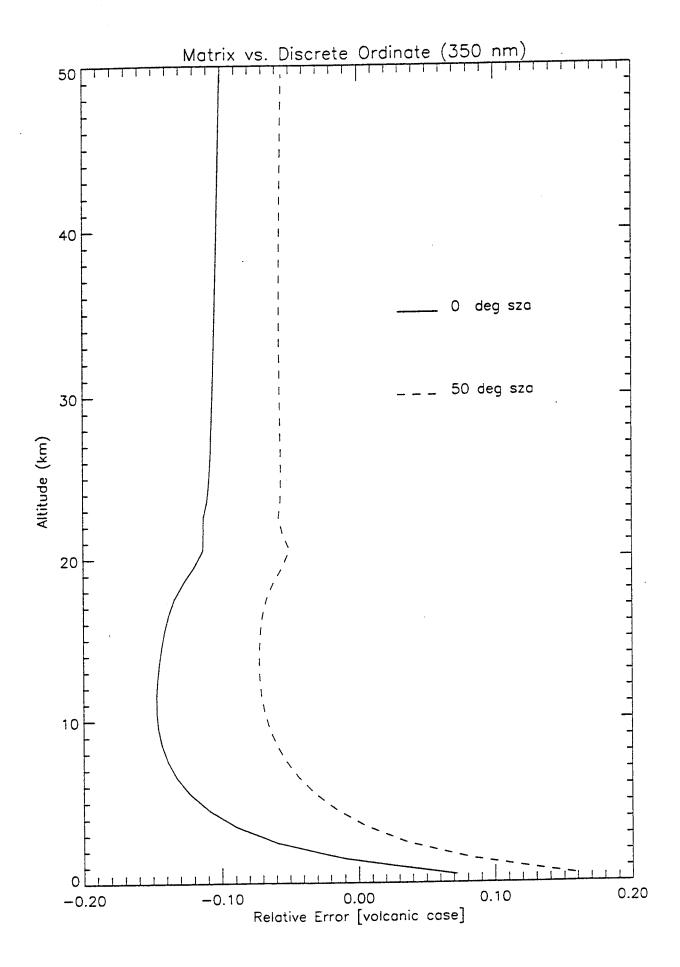
discrete ordinate method. With an extreme volcanic aerosol, agreement is Comparisons have been made with DISORT (Stamnes et al.) for various conditions. Using a background aerosol, our method is within 5% of the within 15%.



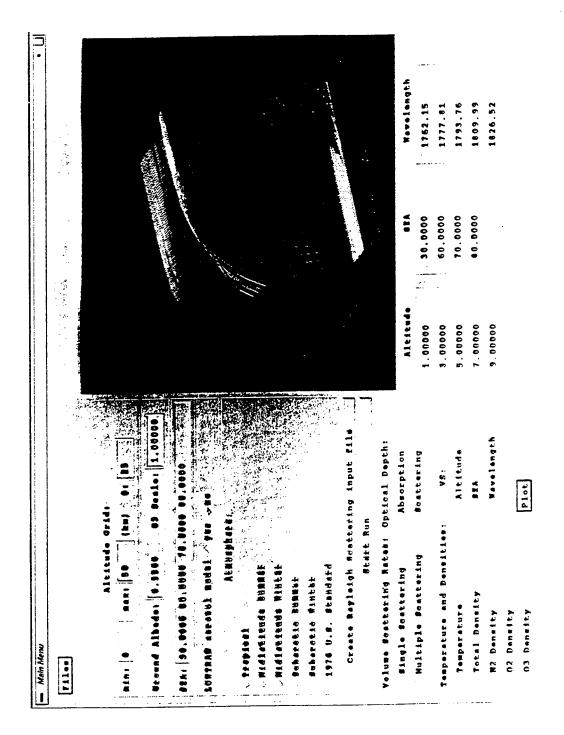








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- 日本の日本の日本の	H-02044	Probompharia (2-10 km)	
Tropical		APPRICA A	3
	## SE >		
Midlatitude Summer	- 50 km		
Midlatitude Winter	Stratospheric -	Stratospheric - Mesospheric (10-90 km)	
Subarctic Summer	Background Madanda Walania		
Subarctic Winter	High Volcanic	Agea Volcanic Fresh Volcanic	
1976 U.S. Standard	Season	TI O	
Create Rayleigh Scattering input file	Spring/Summer	Fall/Winter	
Start Run		Done	-



### Applications

We have used the model to calculate

- Photodissociation frequencies
- Local fluxes (ground level and stratospheric)
- Effective albedo of the atmosphere
- Limb profiles (Rayleigh scattered component)
- The effects of various cross section values

The model is particularly well suited to exploring the effects of ozone and aerosol variations. The speed and method of the multiple scattering calculation make this model ideal for use in photochemical models and other applications which depend on fluxes or averaged intensities.

### EXPERT SYSTEM IN PLEXUS

P.C.F. Ip, S.B. Downer, M. Noah, K. Radermacher, J.P. Kennealy

F.O. Clark

Mission Research Corp. One Tara Blvd., Suite 302 Nashua, NH 03062 Geophysics Directorate, Phillips Laboratory Hanscom AFB, MA 01731-3010

This talk will review the principles behind building the PLEXUS expert system. The use of an expert system in the PLEXUS interface will intelligently assist users in solving atmospheric background problems by directing them to the appropriate atmospheric codes(s) and only querying them for parameters important to their application. Building the PLEXUS expert system is a long and detailed process involving several iterative phases. The knowledge base is acquired by researching existing code documentation, consulting experts in the field, and establishing trends from running the atmospheric codes. As the knowledge base is developed, it is organized into meaningful subtopics and then translated into rules used by the expert system shell. Examples of each of these phases will be given, with main emphasis placed on the results obtained from a systematic study of MODTRAN input parameters. This study has yielded some results of interest to a large number of MODTRAN users.

## EXPERT SYSTEM in PLEXUS



R.J. Radermacher, and J.P. Kennealy Mission Research Corporation Nashua, NH

Geophysics Directorate, Phillips Laboratory Hanscom AFB, MA F.O. Clark



## PLEXUS Expert System Goals

Focus on Issues Important to User

Minimize User Specifications

**Verify Parameters Dynamically** 

Offer Appropriate Pre-Calculated Results

# Knowledge Acquisition Process



### **EXPERTS**

INTERVIEWS

**DOCUMENTS** 

CODES

KNOWLEDGE ENGINEERS

KNOWLEDGE BASE



## **MODTRAN Parametric Studies**

\* Clouds

Rain

Aerosols

**Ground Altitude** 

**Boundary Temperature** 

Surface Albedo Multiple Scattering

Model Atmosphere

Vertical Structure Algorithm

### **MODTRAN Cloud Studies**



LINE-OF-SIGHT

SUN

COMULUS CLOUD

N 0.66 KM

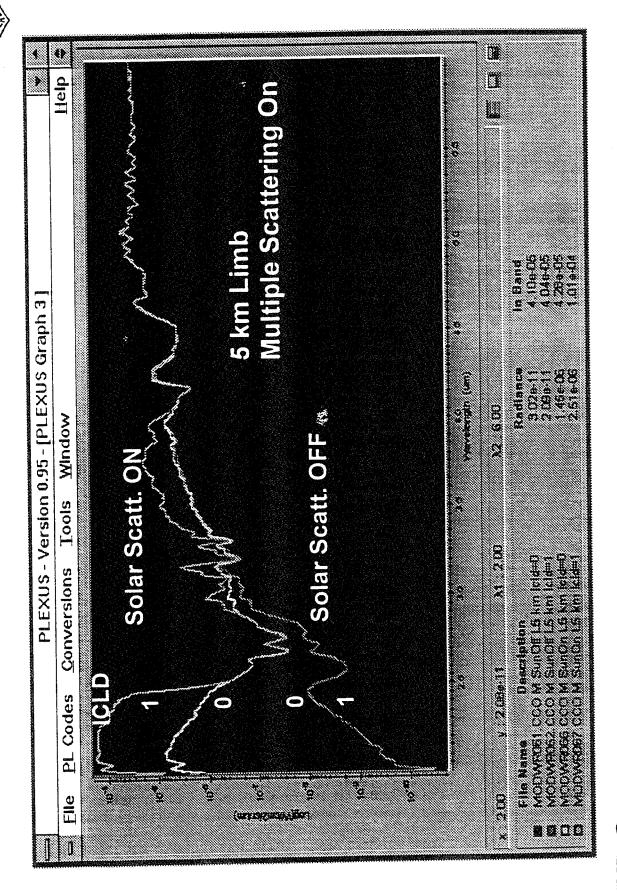
43.0 KM

**EARTH SURFACE** 

Solar Scattering
Scattering Angles
Multiple Scattering
Short and Long Paths
Surface Albedo

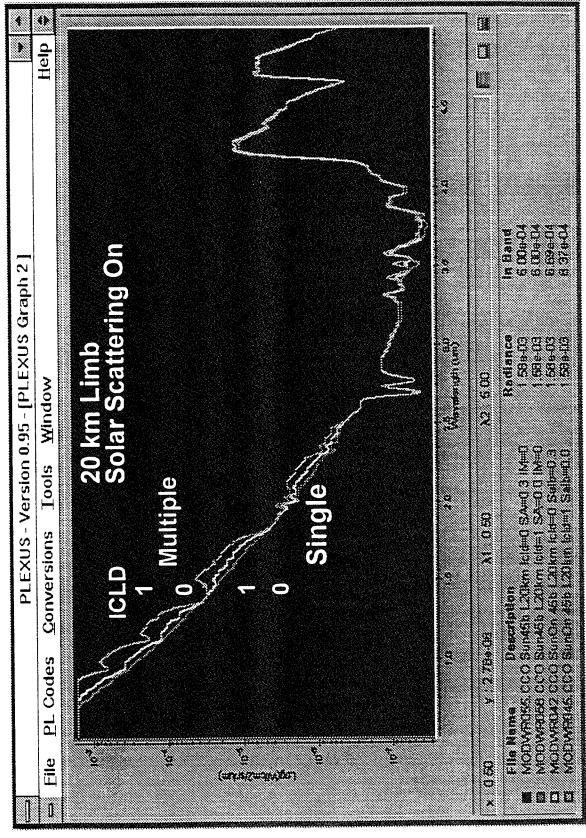


# Effect of Cloud with Solar Scattering Off and On &





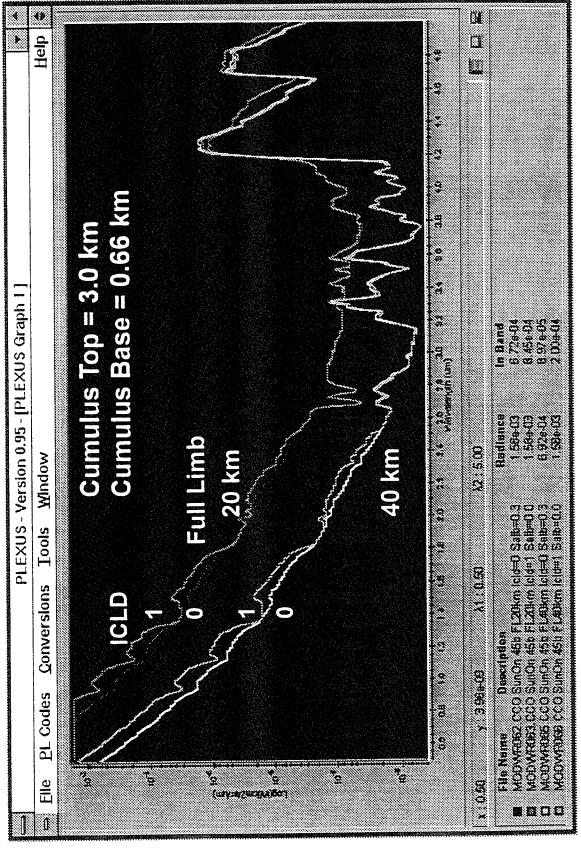
# Effect of Cloud for Single and Multiple Scattering







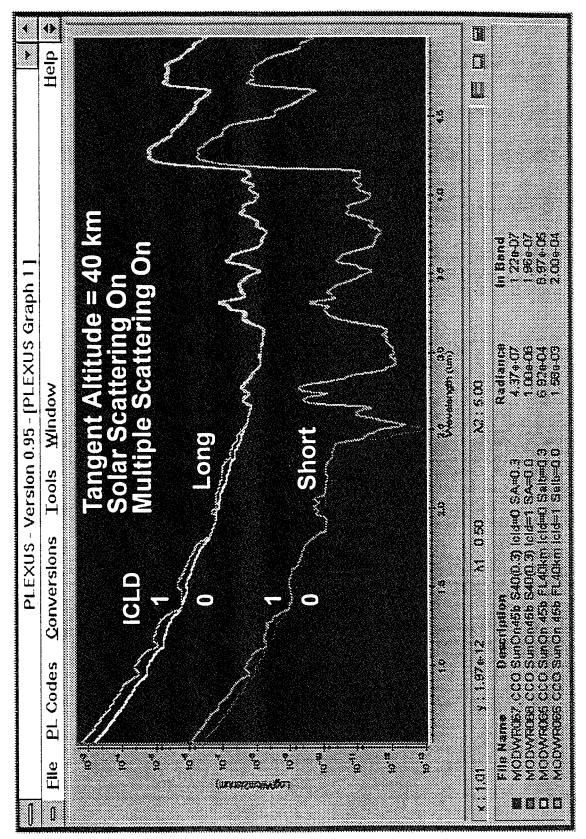
# Effect of Cloud under Multiple Solar Scattering







## Effect of Cloud for Short and Long Paths





# DOED MARKET System Dalog



Static Facts

Max. Cloud Ht. = 20 km Solar Scatt. < 5 micron

Rule 15

if (LOS, Date, Time known) then calculate Sun Visibility to LOS

Rule 30

if (Tangent Alt. <= 20 km) then ask for CLOUD information

Rule 36

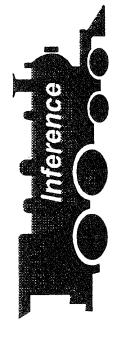
if (Tangent Alt. > 20 km)

if (Mode is Radiance) if (Solar Multiple Scattering is On) then ask for CLOUD information

knowledge base

Dynamic Facts

Line-of-Sight,
Date, Time,
Output Mode,
Spectral Range, etc.



Rule 45, Rule 35

STOIL

S S S S

## PLEXUS Expert System Plans



- Append Knowledge Base
- Offer Explanation Facility
- Give Solution Confidence Factor

### PHILLIPS LABORATORY'S EXPERT-ASSISTED USER SOFTWARE

(PLEXUS)

S.B. Downer, J.P. Kennealy, P.C.F. Ip, M. Noah, K.J. Radermacher, D. Einstein

F.O. Clark

Mission Research Corp. One Tara Blvd., Suite 302 Nashua, NH 03062 Geophysics Directorate, Phillips Laboratory Hanscom AFB, MA 01731-3010

PLEXUS Version 1.0 is now available for general release. This version supports the DoD Atmospheric codes LOWTRAN, MODTRAN, SHARC, the CBSD Celestial Codes and the FAUST Method. The FAUST Method is designed to help fulfill current DoD requirements for downward-looking background radiance and transmittance descriptors. By combining the results from the equilibrium lower atmospheric model (MODTRAN) and non-equilibrium upper atmospheric model (SHARC), a unified seamless single answer is generated. The FAUST user interface, coupled to an intelligent knowledge-based, assists users of all abilities in correctly setting up and running calculations of this nature.

PLEXUS provides a number of data visualization tools as well as importing, exporting, and printing functions. Two such tools, integrated band intensities and filter convolutions allow users to explore sensor operability ranges. Present and future capabilities are presented with a demonstration of the first general release, PLEXUS Version 1.0.

### 



# Phillips Laboratory EXpert-assisted User Software

S.B. Downer J.P. Kennealy, P.C.F. Ip, M. Noah, K.J. Radermacher, and D. Einstein Mission Research Corporation Nashua, NH

Geophysics Directorate, Phillips Laboratory Hanscom AFB, MA F.O. Clark



### 

## DOD/SDIO-Standard Phenomenologies

- Single User Interface
- Support a Diverse User Base
- Generate"Unified" Solutions
- Pre-Calculated LOS Library
- Extensive Data Analysis Tools
- Multi-Spectral Data Fusion





## PLEXUS Current Status

MS Windows 3.1

■ Beta Testing Winter 1993

General Release Summer 1993

Supports

**MODTRAN/LOWTRAN7** 

SHARC

CBSD

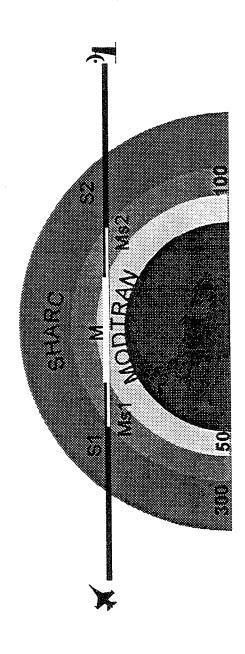
Rapid Response Data Base

**FAUST Method** 





### TAUSI Method



Radiance = (Rs1+Rms1) \* T \* T s2 + R \* T s2 + Rs2 + Rms2

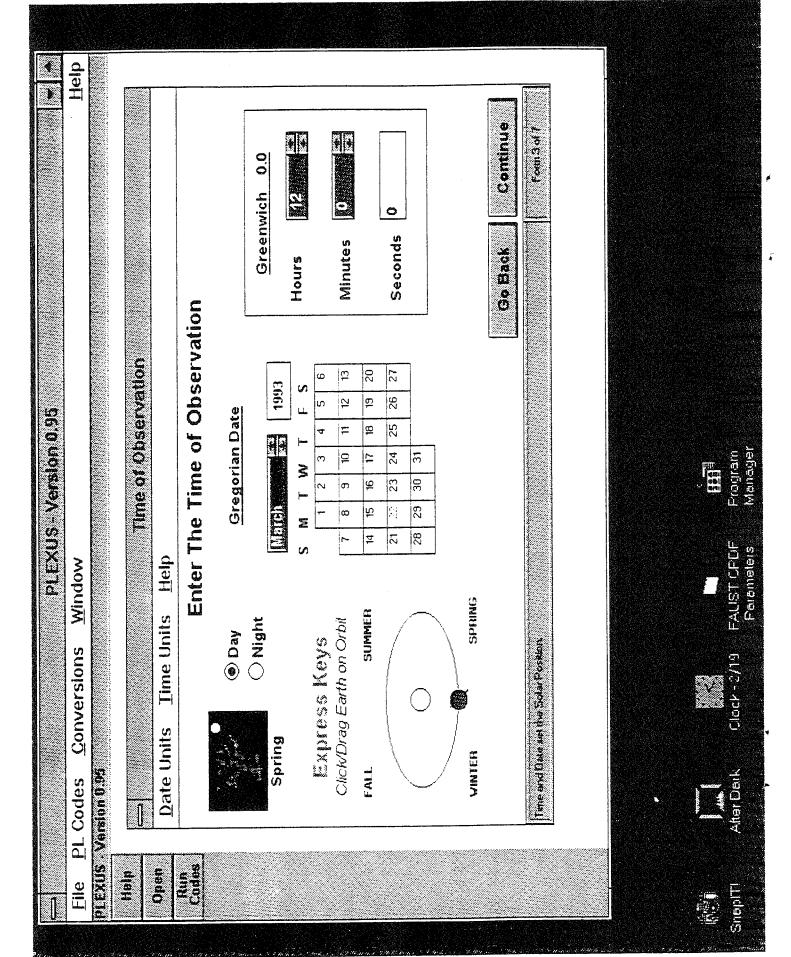
Tansmittance - 1 × 1 × T

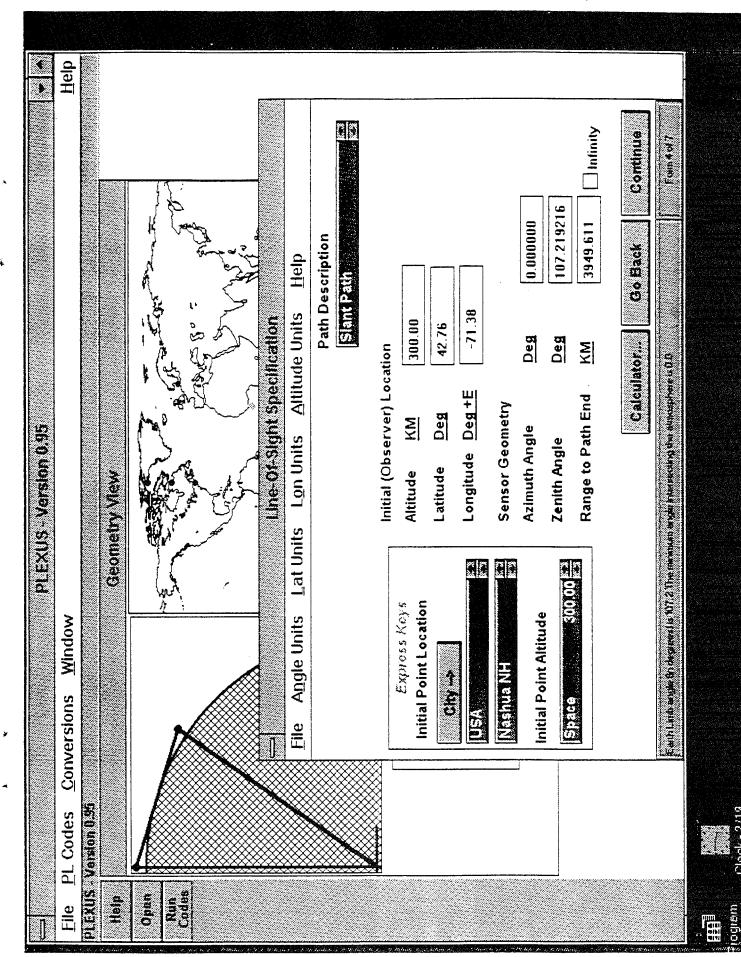


## FAUST Method Interface

- Multiple Expertise Levels
- Automatic Unit Conversions
- Express Key Input
- Arbitrary LOS
- Graphical Geometry
- Knowledge Base Driven
- Sets Up and Runs Multiple Codes
- Correct Code Selection









### 

User Defined Atmospheric Profiles

Expanded Printing

Spectral Filter Functions

SAMM

UNIX, X-Windows

Atitude Profies

Cross Dots

じくい

CD ROM Distribution

N N N



## PLEXUS Future Support

- FASCODE
- AURIC
- Additional PL Codes
- SAMM Generated Result Data Base
- Graphical Geometry Descriptor
- Batch Processing

### EARTHLIMB BACKGROUNDS IN THE STRATEGIC SCENE GENERATOR MODEL

### Susan McKenzie

Mission Research Corporation One Tara Blvd., Suite 302 Nashua, NH 03062

The Strategic Scene Generator Model (SSGM) is a computerized methodology which generates viewer-perspective radiance maps of quiescent and enhanced natural backgrounds and perturbed backgrounds with embedded targets and target induced/related events. The Earthlimb Backgrounds represents an on-line phenomenology capability for the SSGM Baseline design. The components for this phenomenology have been provided by Phillips Laboratory codes. RAD\_E v4.4 is the computer model that generates structured Earthlimb 2D radiance maps in the SSGM. The 1D radiance profiles are computed by one of two options: on-line calculations by PL radiance codes or extractions from the FASTLIMB database. FASTLIMB is a 1% resolution database generated off-line using PL radiance codes and is supplied for rapid-running profile generation. Both options store in-band 1D radiance profiles and structure information for generating 2D image output. 2D structured images can be generated by RAD\_E directly. This structure contains stochastic, non-homogeneous, non-stationary spatial structure. A correlated multi-frame option is included in this process for frame-to-frame continuity. RAD\_E radiance and structured image output generated using these methodologies will be presented.





### EARTHLIMB BACKGROUNDS

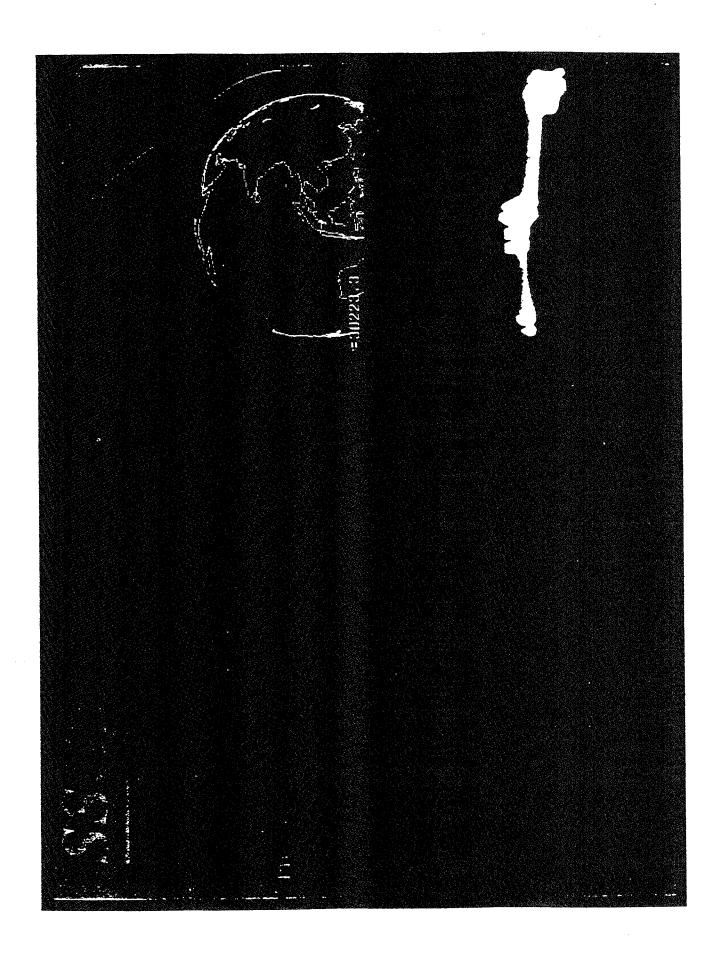
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## STRATEGIC SCENE GENERATOR MODEL

Susan M. McKenzie Russell A. Armstrong Sean P. McGowan Mission Research Corporation I Tara Blvd. Suite 302 Nashua, New Hampshire 03062

June 8 -9, 1993







### STRATEGIC SCENE GENERATOR MODEL TECHNICAL DESCRIPTION



### SSGM DEFINITION

A computerized methodology by which viewer-perspective executes the pertinent codes and selects the required data bases from which the scenes are generated using resampling, interpolation, and authenticated data bases via an interactive software system which radiance maps are derived from an ensemble of standard models and image composition techniques.

### SSCN PHENONENCIOCX

backgrounds with embedded targets and target induced/related Quiescent and enhanced natural backgrounds and perturbed Celestial Backgrounds

Opaque and Semi-transparent Clouds Auctear Perturbations to Atmosphere Quiescent Atmosphere with Airglow Enhanced Atmosphere with Aurora Earth Terrain and Ocean

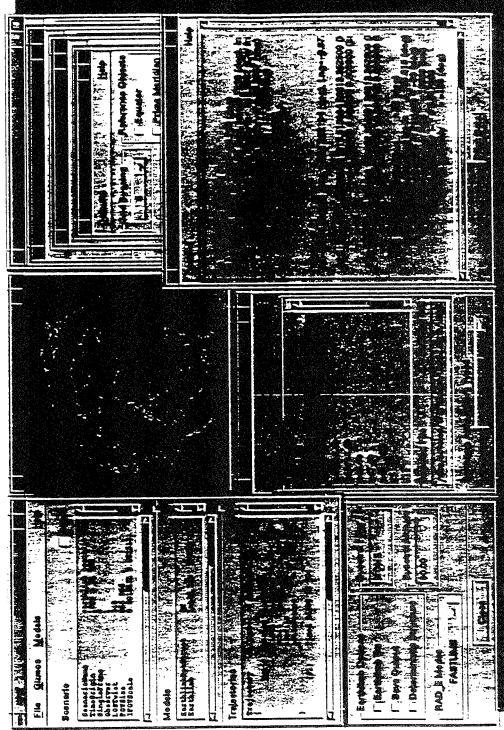
**Missile Bodies and Plumes** Fuel Vents and Debris Decoys and Satellites S. VM bun S. VM

Mission Research Corporation



### ELEVALICIO SCENE CENERALISTALIS

### EMETHLIMB COMPONENT SCENARIO CONSTRUCTION TOOL.



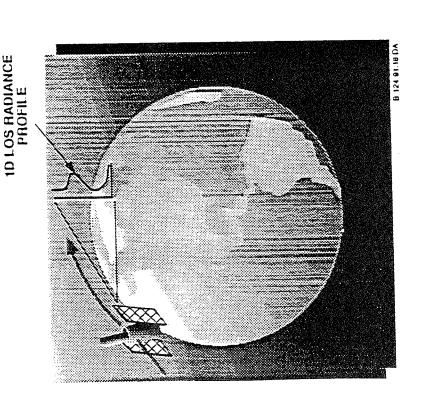
Mission Research Corporation



### EARTHLIMB



### SHADIANCE



- Two Operational Modes:
- 1D Profile
- 2D Image
- 1D Profile Mode:
- User-Specified Observer Location, LOS Boresight, Date, Time
- LOS Radiance is Computed by RAD\_E from -512 to 715 km Tangent Height by One of Two Options:
- FASTLIMB
- -- MODTRAN/SHARC
- Negative Tangent Height Radiance Provides
   Earth Atmosphere Radiance
   Below-the-Horizon
- 1D Profile is Mapped to Two-Dimensional Image by MAKEFRAME and Stochastic Structure is Added as an Option



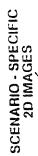


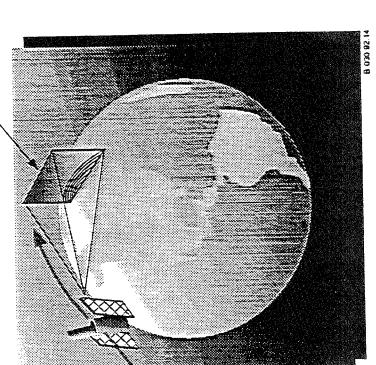
PRELEDVINE
BROWN ENGINEERING



### MARTINE 20 SAGII







- User-Specified Observer Location and LOS Boresight for Each Frame
- User-Specified IFOV, # Rows, # Columns
- 1D Profiles are Computed for Each Frame Using Either FASTLIMB or MODTRAN/SHARC Option
- Two-Dimensional Images are Computed for Each Frame by CLUTTER Code for User-Specified IFOV and FOV Array
- Radiance (from 1D Profile), PSD Component for Each Scale Height, Sub-Scenes with Invariant Structure Statistics, and Composite Scenes by Overlapping Sub-Scenes
- 2D Scenes are Not Processed Further by MAKEFRAME









158 62.1GS34



### MODTRAN/SHARC

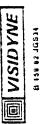


- Heights from 0 to 50 km (Every 1 km) and the SHARC (V2.0) Code (NLTE) MODTRAN/SHARC Invokes the MODTRAN 92 Code (LTE) for Tangent from 50 to 295 km (Every 5 km). Database Extrapolated to 715 km.
- LOS Radiance for Paths Intercepting Both LTE and NLTE Atmospheres are Computed with Coupled Treatment
- Output Resolution is 2 cm<sup>-1</sup> for Both Codes Which is Spectrally Integrated to **Yield In-Band Radiance**
- Two Model Atmospheres are Supported: U.S. Standard 1976 and Subarctic Summer
- MODTRAN Represents 12 Atmospheric Molecules and Multiple Scattering from Aerosols
- SHARC Represents NO, CO,  $N_2O$ ,  $O_3$ ,  $NO^+$ , and Three Isotopes of  $CO_2$



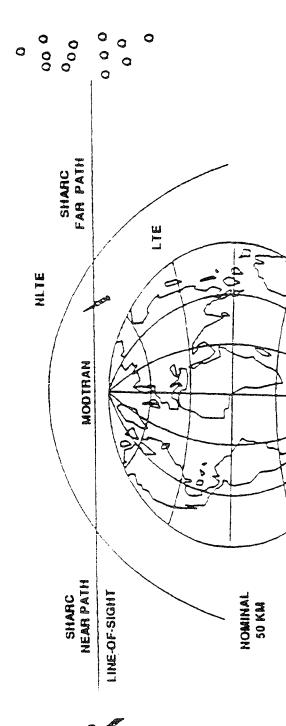








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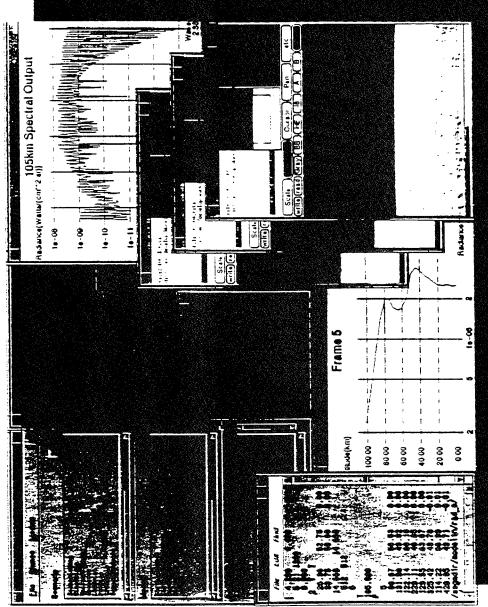






# STRATEGIC SCENE GENERATOR MODEL

# REPRESENTATIVE EARTHLAIB IMAGE AND ASCIL OUTPUT





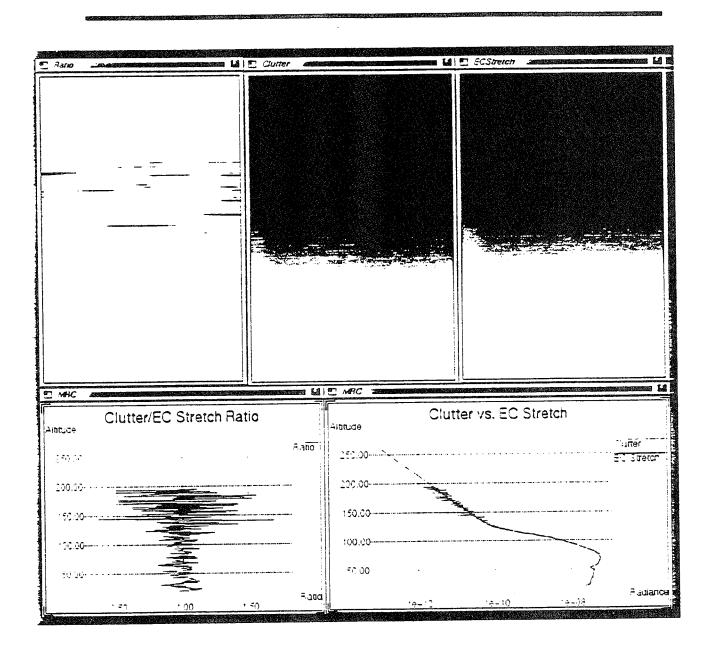




### STRATEGIC SCENE GENERATOR MODEL



REPRESENTATIVE STRUCTURED EARTHLIMB IMAGE OUTPUT

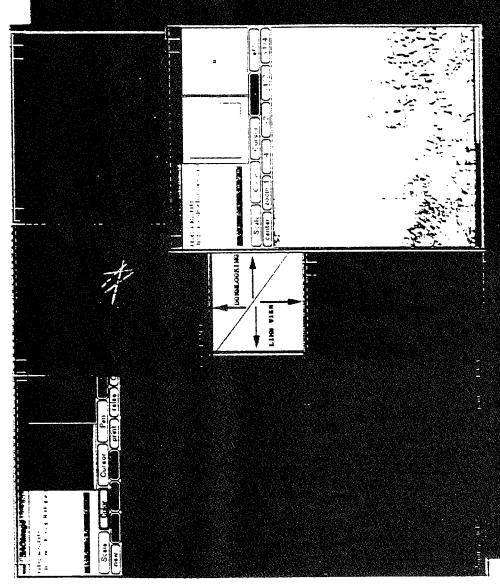




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## STRATEGIC SCENE GENERATOR MODEL AIR-TO-AIR AND AIR-TO-GROUND IR SCENES





Mission Research Corporation



### EARTHLIMB MODELING OPERATIONAL SSGM



### GLOBAL ATMOSPHERIC STATES

Expand current configuration (Modtran/Sharc), including MSIS applications and coupling to the nuclear heave.

### INICAMINATION TO THE STATE OF T

Lind terminator treatment via database approach and on-line algorithms.

### SALLAOU, A SOSZAZI, ZOLYOKY

M/S LOS Transmission via on-line and database approach. Implement SAM Single LEG/NLTE Model when available.

### SZOLVEN CERTURES ON LY

Man-made dynamics, Deterministic Gravity Waves and Stochastic Turbulant Variability Untates

# NO SELENCE MAVELENCITE COVERAGE TO THE UV

Extend wavelength coverage via database approach and on-line capabilities.



Mission Research Corporation

### ATMOSPHERIC EXTINCTION ANALYSIS FOR THE AIR FORCE AIRBORNE LASER PROGRAM

Larrene K. Harada and Daniel H. Leslie

W.J. Schafer Associates Arlington, VA

The Air Force is developing an airborne laser system capable of engaging targets at ranges of at least several hundred km, at elevation angles from 1 to 4 degrees. We will present our wavelength-trade analysis comparing the capability of several candidate laser systems. The impact of molecular and aerosol scattering and absorption has been assessed using FASCOD2 and the HITRAN92 database, and these results will be presented. We are using the NASA SAGE satellite data base to provide recent stratospheric extinction data, and comparisons with standard LOWTRAN models will be described.

### Wednesday 9 June 1993 a.m.

SESSION C: SPECTROSCOPY APPLICATIONS

Chair: Laila Jeong, PL/GPOS

### A FAST SCHEME FOR A LINE-BY-LINE FORWARD MODEL

### S. Kadokura, A. Shimota

A fast scheme for a line-by-line forward model has been developed. The keypoint of the scheme is a hybrid of analytical and numerical integration, and it reduces the calculation time approximately by an order of magnitude as compared with that of the classical scheme. The scheme is summarized as follows: (a) divide the whole range of the wave number, v, into many intervals with various widths, so that a quadric is obtained as an approximation of the cross section for each interval (making a data base of the cross section); the transmittance for a wave number interval, T, is the integral of the exponential function of the optical depth, , where , is expressed approximately with a quadric by that approximation, i.e. (b) if a > 0, calculate the exponential or error function to obtain the integral; (c) if a < 0, divide the interval into sub-intervals where the terms of  $v^2$  can be neglected, so that the transmittance for the sub-interval, T, is expressed with the exponential function; calculate the weighted mean of T to obtain T.

### VIEWGRAPHS UNAVAILABLE

### APPLICATION OF FASCODE PROGRAM TO A HIGH TEMPERATURE GAS MONITORING

### N. Takeuchi

Remote Sensing & Image Res. Center Chiba University 1-33, Yayoi-cho, Inage-ku, Chiba-shi Chiba 263 Japan

FASCODE program was used to investigate the interference absorption by CO<sub>2</sub>, which affects the monitring of CO concentration at a high temperature state. A tunable lead salt diode laser was used in 4.5 micron region and it was found that the hot band gas absortion is not satisfactly explained by the program.

### Application of FASCODE Program to a High Temperature Gas Monitoring

Interference Absorption
 by High Temperature CO<sub>2</sub> Gas.

Nobuo TAKEUCHI

RSIRC, CHIBA UNIVERSITY.

Monitor of CO in an exhaust flue. Interference by High temperature CO<sub>2</sub> gas. Comparison with FASCODE calculation.

in cooperation with W. Ihashi, S. Yajima (IHI Lab.)

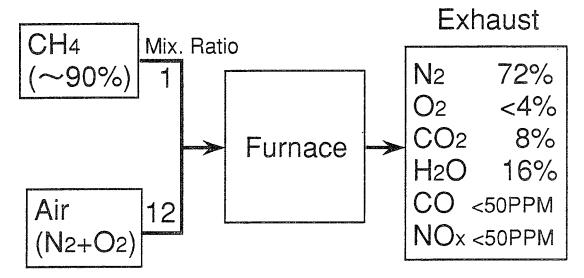
### Spectroscopy of Combustion.

- Flame
- Engine
- Exhaust Flue

### Physical Quantities to be measured:

- Velocity
- Temperature
- Pressure
- Density
- Concentration of Chemical Species
- Particles
- Size Distribution of Fuel Particles

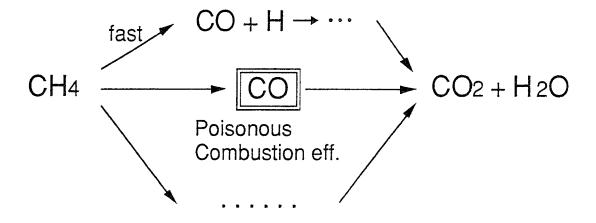
### Combustion of LNG



\* Air: 20% excess for complete combustion.

### Current Topics of Combustion.

### Intermediate Product



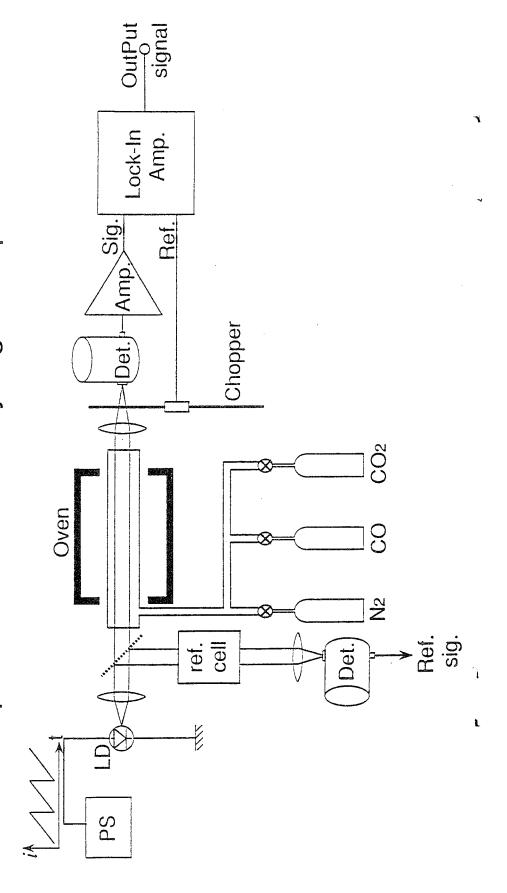
Flame Temp.	High	Low
Lower	CO	NOx
Higher	NOx	CO

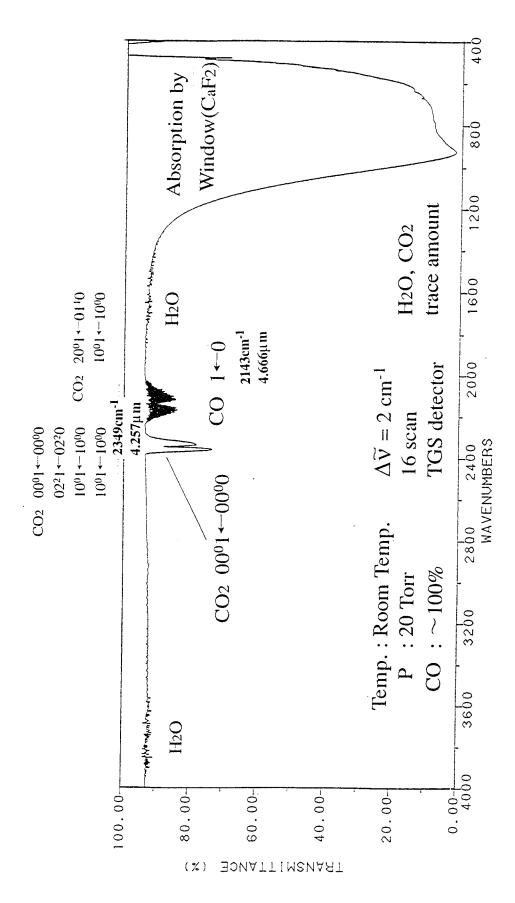
$$CH_4 \rightarrow CH_3 \rightarrow CH + N_2 \rightarrow HCN$$
  
 $\rightarrow \cdots \rightarrow NO_X$ 

400°C No growth of reaction 1000°C CO + OH → CO<sub>2</sub> + H

preferable spatially homogeneous temperature distribution to burn at higher temperature.

for Absorption Measurement by High Temperature Gases. Schematic Diagram of Laboratory Experiment.





FT-IR Spectrum by CO Absorption.

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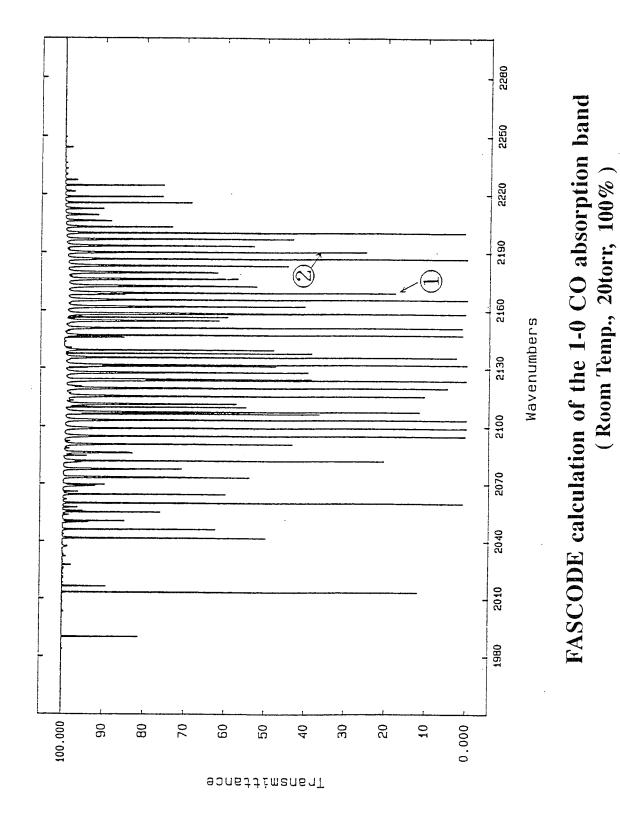
### HITRAN Data for CO and CO2

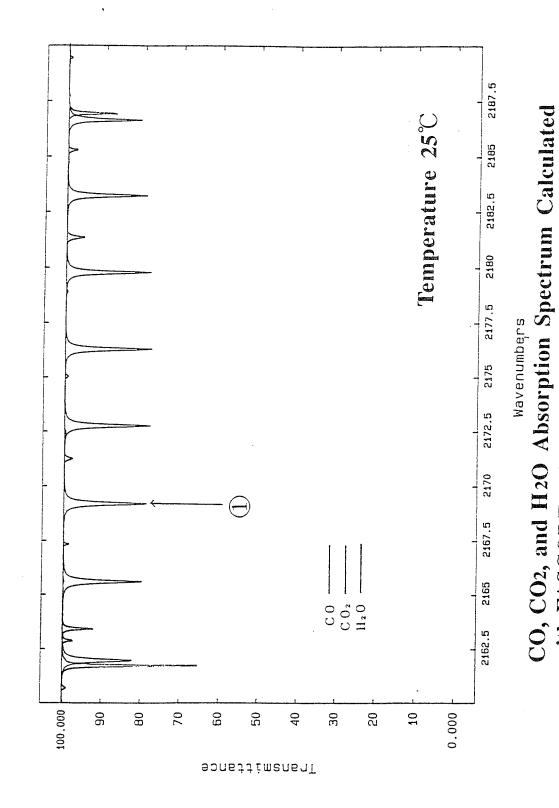
### $\mathbf{CO}$

ν'-ν"	$v_0(\text{cm}^{-1})$	V min-V max	$\Sigma S$	Smax
1-0	2143.27	1911-2288	1.036E-17	4.636E-19
2-0	4260.06	3985-4361	7.692E-20	3.532E-21
3-0	6350.44	6032-6418	4.909E-22	2.338E-23
4-0	8414.47	8053-8465	1.531E-24	8.159E-26

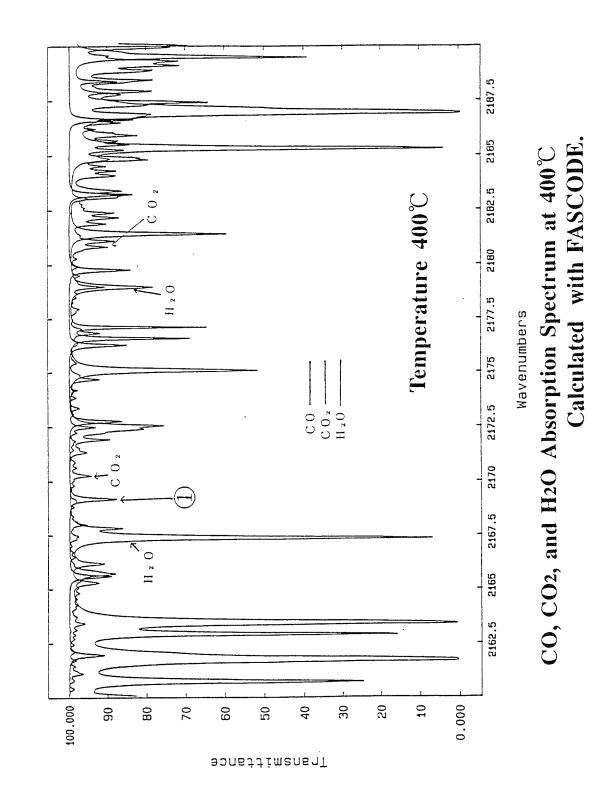
### $CO_2$

ν'-ν"	$v_0(\text{cm}^{-1})$	V min-V max	ΣS	Smax
10°02-01°01	618.03	546-687	1.46E-19	5.459E-21
$01^{1}01-00^{0}01$	667.34	593-752	8.02E-18	2.982E-19
$02^201-01^101$	667.75	600-750	6.09E-19	1.122E-20
10°01-01°01	720.80	649-791	1.564E-19	5.850E-21
$02^211-02^201$	2324.14	2227-2371	2.601E-19	4.985E-21
10°11-10°01	2326.60	2231-2372	1.021E-19	3.929E-21
10°12-10°02	2327.43	2231-2374	1.716E-19	6.621E-21
$01^{1}11-01^{1}01$	2336.63	2227-2384	7.011E-18	1.344E-19
$00^{\circ}11-00^{\circ}01$	2349.14	2230-2397	9.157E-17	3.526E-18
$10^{\circ}12-00^{\circ}01$	3612.84	3509-3661	1.003E-18	3.855E-20
10°11-00°01	3714.78	3610-3763	1.504E-18	5.846E-20

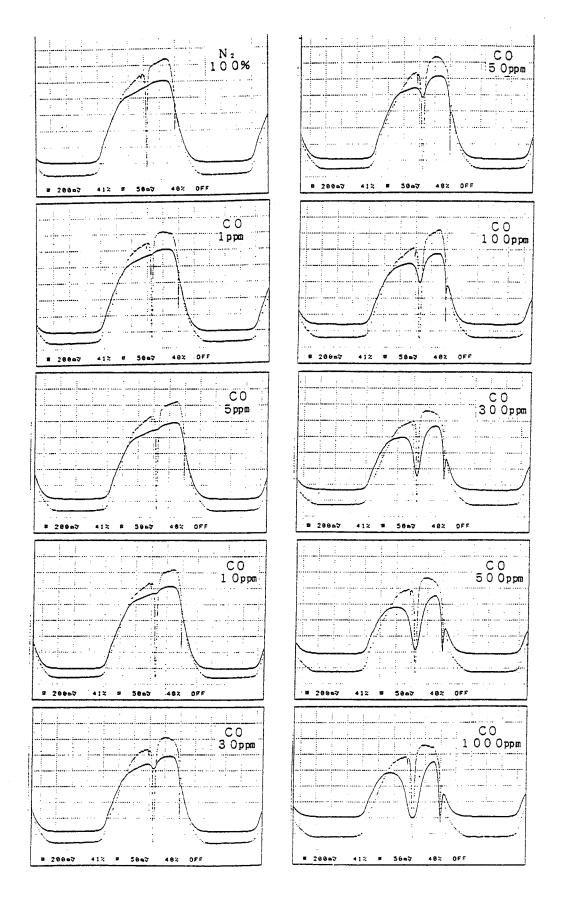




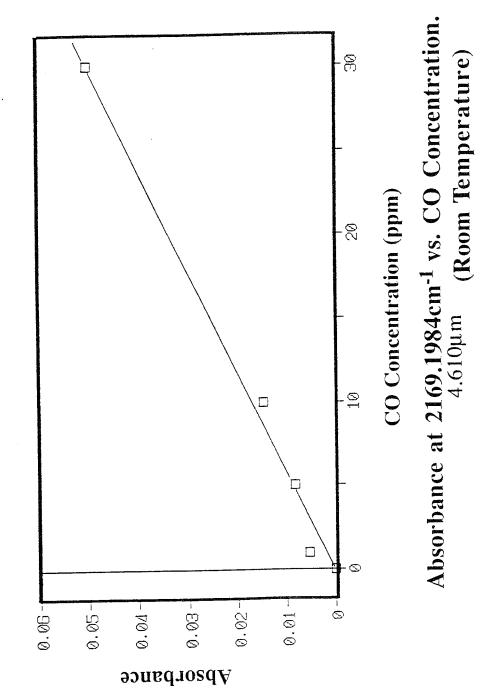
with FASCODE. (CO 50ppm, CO2 15%, H2O 20%)

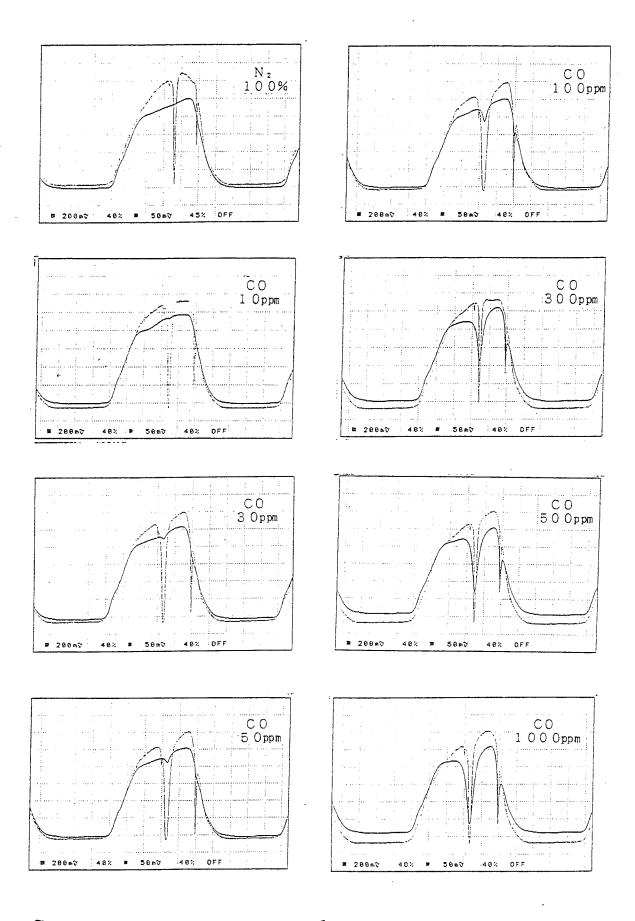


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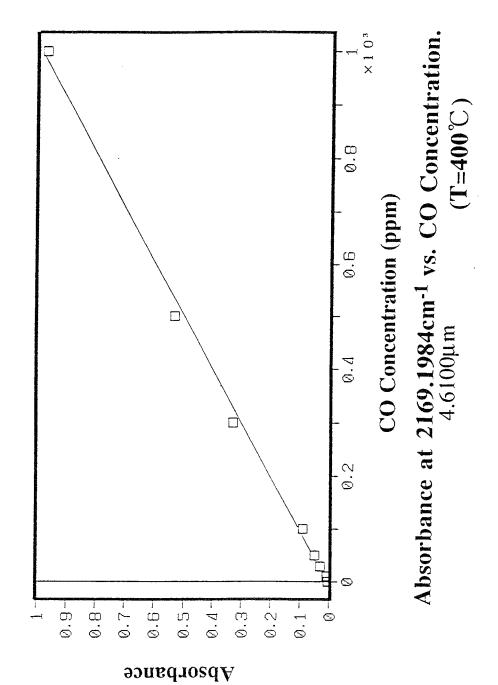


Absorption at 2169.1984cm<sup>-1</sup> (Room Temperature)





Spectrum at 2169.1984cm<sup>-1</sup> vs. CO Concentration.  $(T=400^{\circ}C)$ 



Line Strength S(T)

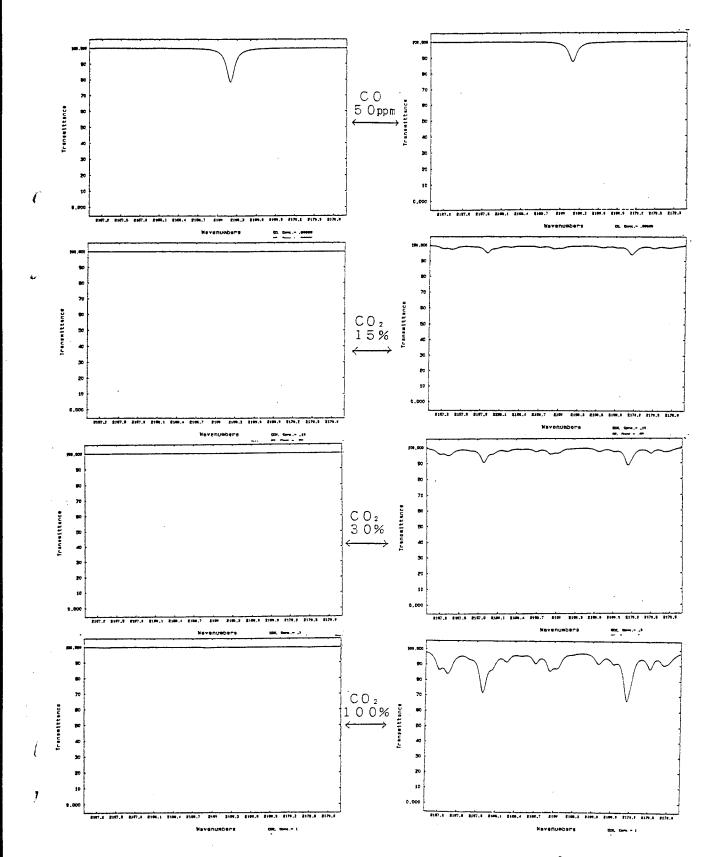
$$S(T) = \frac{8\pi^3}{3hc} \frac{s_L A_i}{Q(T)} v_0 R_0 \times 10^{-36} exp \left( -\frac{hcE_L}{k_B T} \right) \times \left[ 1 - exp \left( -\frac{hcv_0}{k_B T} \right) \right]$$

Optical Depth  $\tau$ 

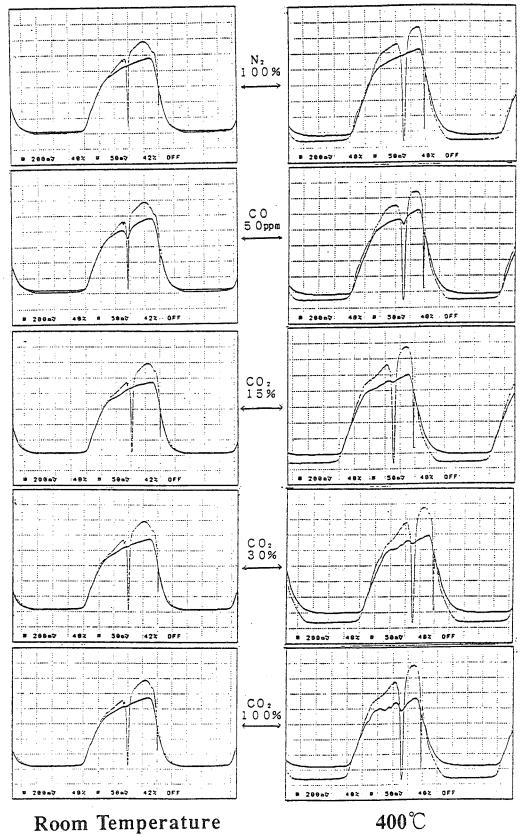
$$\tau = \int_{Z_1}^{Z_2} k(v,z) d\rho_a(z) dz$$

$$k(v) = S g(v)$$

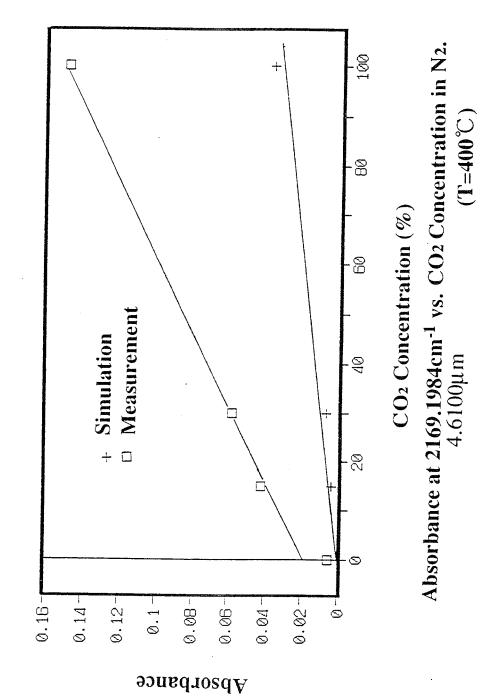
$$g(v) dv = 1$$

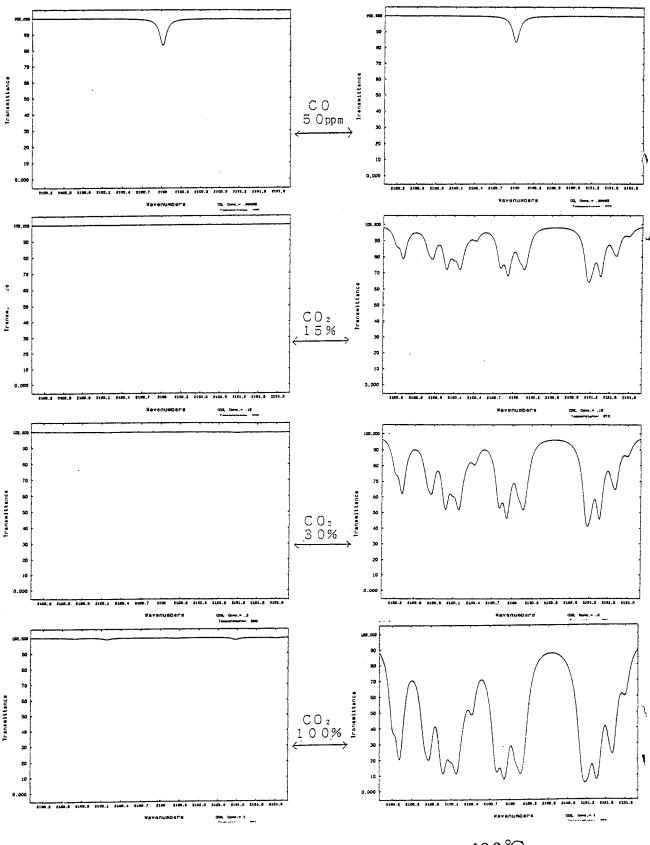


Room Temperature  $400\,^{\circ}$ C Calculated Spectrum at 2169.2cm<sup>-1</sup> with FASCODE

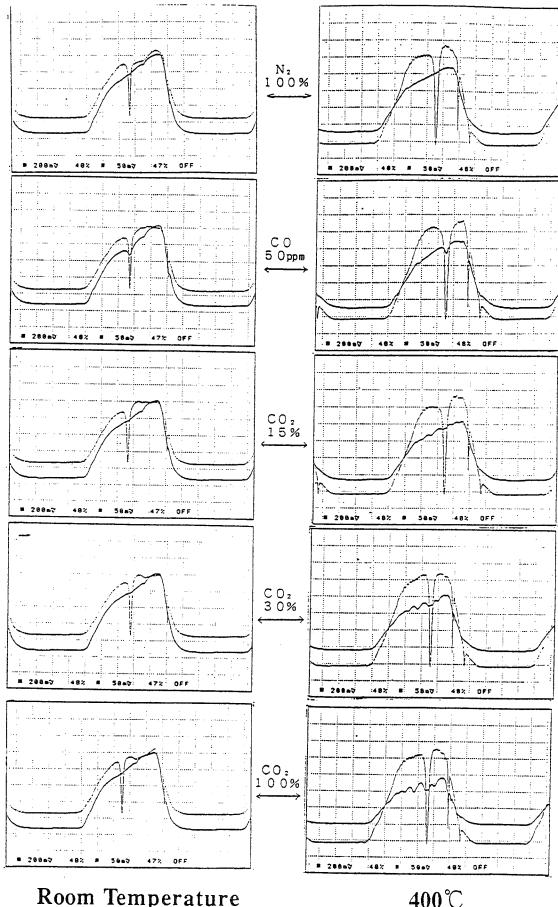


Observed Spectrum at 2169.2cm<sup>-1</sup>

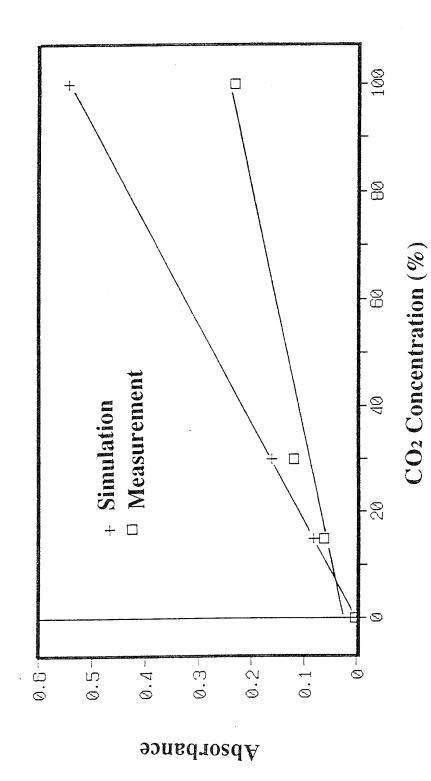




Room Temperature  $400^{\circ}$ C Calculated Spectrum at 2190.0cm<sup>-1</sup> with FASCODE



Room Temperature  $400\,^{\circ}$ C Observed Spectrum at 2190.0cm<sup>-1</sup>



Absorbance at 2190.0180cm<sup>-1</sup> vs. CO<sub>2</sub> Concentration in N<sub>2</sub>. 4.566µm (Room Temperature) (Room Temperature)

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### Summary

temperature gas monitoring was presented. An application of FASCODE to a high

Some Discrepancy between the measurement and an estimation using FASCODE for CO2 absorption at 400°C

Reason: line parameter error poor algorithm line-coupling

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experimental error?